





## Clarivate<sup>®</sup>

# 2023 RESEARCH FRONTS

Institutes of Science and Development, Chinese Academy of Sciences The National Science Library, Chinese Academy of Sciences Clarivate 2023 RESEARCH FRONTS

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**Compilation Committee** 

2023 RESEARCH FRONTS

# BACKGROUND AND METHODOLOGY

## **1. BACKGROUND**

The world of scientific research presents a sprawling, everchanging landscape. The ability to identify where the action is and, in particular, to track emerging specialty areas, provides a distinct advantage for administrators, policy makers, and others who need to monitor, support, and advance the conduct of research in the face of finite resources.

To that end, Clarivate generates data and reports on "Research Fronts." These specialties are defined when scientists undertake the fundamental scholarly act of citing one another's work, reflecting a specific commonality in their research – sometimes experimental data, sometimes a method, or perhaps a concept or hypothesis.

By tracking the world's most significant scientific and scholarly literature and the patterns and groupings of how papers are cited – in particular, clusters of papers that are frequently cited together, "Research Fronts" can be discovered. When such a group of highly cited papers attains a certain level of activity and coherence (detected by quantitative analysis), a Research Front is formed, with these highly cited papers serving as the front's foundational "core." Research Front data reveal links among researchers working on related threads of scientific inquiry, even if the researchers' backgrounds might not suggest that they belong to the same "invisible college."

In all, Research Fronts afford a unique vantage point from which to watch science unfold – not relying on the possibly subjective judgments of an indexer or cataloguer, but hinging instead on the cognitive and social connections that scientists themselves forge when citing one another's work. The Research Fronts data provide an ongoing chronicle of how discrete fields of activity emerge, coalesce, grow (or, possibly, shrink and dissipate), and branch off from one another as they self-organize into even newer nodes of activity. Throughout this evolution, the foundations of each core – the main papers, authors, and institutions in each area – can be ascertained and monitored. Meanwhile, analysis of the associated citing papers (those papers that cite the core literature) provides a tool for unveiling the latest progress and the evolving direction of scientific fields.

In 2013, Clarivate published an inaugural report in which 100 hot Research Fronts were identified. In 2014 and 2015, *Research Fronts 2014 and Research Fronts 2015* were undertaken as a collaborative project by the Joint Research Center of Emerging Technology Analysis established by Clarivate and the National Science Library, Chinese Academy of Sciences (CAS). From 2016 to 2022, the Institutes of Science and Development, CAS, National Science Library, CAS, and Clarivate jointly released a succession of annually updated reports of Research Fronts. These reports have gained widespread attention from around the world.

This year, the same methodology with some modifications was employed. For the newest edition, *Research Fronts 2023*, 110 hot Research Fronts and 18 emerging Research Fronts were identified based on co-citation analysis that generated 12922 Research Fronts in the Clarivate database Essential Science Indicators (ESI).

## 2. METHODOLOGY

The study was conducted in two parts. The process of selecting and naming 128 Research Fronts was completed collaboratively by Clarivate and the Institute of Strategic Information within the Institutes of Science and Development, CAS. Moreover, Clarivate provided data on the core papers and citing papers of the selected 128 Research Fronts. Final selection of key Research Fronts (i.e., hot Research Fronts and emerging Research Fronts), and the interpretation of these respective specialty areas, were completed by the Institute of Strategic Information. For the 2023 update, the Research Fronts drew on ESI data from 2017-2022, which were obtained in March 2023.

### 2.1 RESEARCH FRONTS SELECTION AND NAMING

Research Fronts 2023 presents a total of 128 Research Fronts, including 110 hot and 18 emerging ones. In 2023, the Research Fronts are classified into 11\* broad research areas in the sciences and social sciences. Starting from 12922 Research Fronts in ESI, the objective was to discover which Research Fronts were most active or developing most rapidly.

The specific methodology used for identifying the 128 Research Fronts is described as follows.

### 2.1.1 SELECTING THE HOT RESEARCH FRONTS

This year, two methods were used for selecting hot Research Fronts. Method 1 continued the selection methods from previous years. Method 2, based on the Research Front selection methods in the fields of mathematics and information in 2022, was further improved in 2023.

Method 1: Research Fronts in each ESI field were first ranked by total citations, and the Top 10% of the fronts in each ESI field were extracted. These Research Fronts were then merged into 11 broad areas and re-ranked according to the average (mean) year of their core papers to produce the "youngest" ones in each broad area. Based on these data, the strategic information professionals with domain knowledge adjusted and merged some Research Fronts. Through the aforementioned steps, several hot Research Fronts were selected in 11 broader areas.

Method 2: Research Fronts were ranked based on their average citations per core paper, and those above the mean calculated independently in each of the 11 broader areas were selected. Then, re-ranked them according to mean publication years of their core papers. The Research Fronts that met the criteria were selected and have the strategic information professionals assess whether the candidate fronts have accelerated the advancements of knowledge in each area. By combining the two methods mentioned above, a total of 110 hot Research Fronts were selected, with 10 in each of the 11 broader areas. The 10 fronts selected for each of the 11 highly aggregated main areas of science and social sciences represent the hottest of the largest fronts, not necessarily the hottest Research Fronts across the database (all disciplines). Due to the different characteristics and citation behaviors in various disciplines. some fronts are much smaller than others in terms of number of core and citing papers.

### 2.1.2 SELECTING THE EMERGING RESEARCH FRONTS

A Research Front with core papers of recent vintage indicates a specialty with a young foundation that is rapidly growing. To identify emerging specialties, the immediacy of the core papers is a priority, and that is why it is characterized as "emerging." For the 11 broader areas, to identify emerging specialties,

<sup>\* 11</sup> broader areas include "Agricultural, plant and animal sciences", "Ecology and environmental sciences", "Geosciences", "Clinical medicine", "Biological sciences", "Chemistry and materials science", "Physics", "Astronomy and astrophysics", "Mathematics", "Information science", and "Economics, psychology and other social sciences".

extra preference, or weight, was given to the currency of the foundation literature: only Research Fronts whose core papers dated, on average, to the second half of 2021 or more recently were considered. Then these were sorted in descending order by their total citations in each ESI field corresponding to the 11 broader areas. The top 10% Research Fronts were selected and delivered to the Institute of Strategic Information, where information professionals with domain knowledge made the final selection of emerging Research Fronts were selected as emerging for the 11 broader fields. Eighteen fronts were selected as not limited to any research area, the 18 fronts are distributed unevenly in the 11 fields. For example, there are five emerging

Research Fronts in "Clinical medicine", but only one in "Geosciences".

Based on the above two methods, the report presents the Top 10 hot fronts in each of the 11 broad areas (110 fronts in total) and 18 emerging ones.

#### 2.1.3. NAMING THE RESEARCH FRONTS

Based on the research themes, main contents, and characteristics of the selected Research Fronts, the strategic information professionals re-named each of the 128 Research Fronts and made some adjustments after consulting the domain experts.

#### 2.2 FINAL SELECTION AND INTERPRETATION OF KEY RESEARCH FRONTS

Based on the core papers and citing papers of 128 Research Fronts provided by Clarivate, information professionals at the Institute of Strategic Information, conducted a detailed analysis and interpretation to highlight 31 key Research Fronts (Chapter 2 to Chapter 12) of particular interest, including both hot and emerging fronts.

As discussed above, a Research Front consists of a core of highly cited papers along with the citing papers that have frequently co-cited the core. In other words, core papers are all highly cited papers in ESI – papers that rank in the top 1% in terms of citations in the same ESI field and in the same publication year. Since the authors, institutions and countries/regions listed on the core papers have made significant contributions to the particular specialty, a tabulation of these appears in the analysis of the Research Fronts. Meanwhile, by reading the full text of the citing articles, greater precision can be obtained in specifying the topic of the Research Front, especially in terms of its recent development or leading-edge findings. In this case, it is not necessary that the citing papers are themselves highly cited.

## 2.2.1 FINAL SELECTION OF KEY RESEARCH FRONTS

*In Research Fronts 2014*, an index known as CPT was designed to select key Research Fronts. From 2015 on, a scale indicator, the number of core papers (P), has also been considered.

#### (1) The number of core papers (P)

ESI classifies Research Fronts according to the co-cited paper clusters and reveals their development trend based on the metadata of the paper clusters, along with statistical analysis. The number of core papers (P) indicates the size of a Research Front, and average (mean) publication year and the time distribution of the core papers demonstrates the progress of the area. The number of core papers (P) also illustrates the importance of the knowledge base in the Research Fronts. In a certain period of time, a higher P value usually represents a more active Research Front.

#### (2) CPT indicator

The CPT indicator was applied to identify the key Research Fronts. C represents the number of citing articles, i.e., the tally of articles citing the core papers; P is the number of core papers; T indicates the age of citing articles, which is the number of citing years, from the earliest year of a citing paper to the latest one. For example, if the most-recent citing paper was published in 2022 and the earliest citing paper was published in 2018, the age of citing articles (T) equals 5.

$$CPT = (C/P)/T = \frac{C}{P \cdot T}$$

CPT is the ratio of the average citation impact of a Research

Front to the age/occurrence of its citing papers, meaning the higher the number, the hotter or the more impactful the topic. It measures how extensive and immediate a Research Front is and can be used to explore the emerging or developing aspects of Research Fronts and to forecast future possibilities. The degree of citation influence can be seen from the amount of citing papers, while it also takes the publication years of citing papers into account and demonstrates the trend and extent of attention on certain Research Fronts across years.

Given the condition that a particular Research Front was cited continuously,

1) When P as well as T is equal in two Research Fronts, the higher C is, the higher CPT will be, indicating the broader citation influence of the Research Front with higher C.

2) When C as well as P is equal in two Research Fronts, the lower T, the higher CPT, indicating the Research Front with lower T attracts more intensive attention in a short period.

3) When C as well as T is equal in two Research Fronts, the lower P, the higher CPT, indicating the broader citation influence of the Research Front with lower P.

In the Research Fronts 2023, for each of the 11 broad research areas, one key hot Research Front was selected based on the number of core papers (P) in combination with the professional judgment of analysts from the Institute of Strategic Information. Another key hot Research Front was chosen by the indicator CPT. Based on their knowledge, the analysts assessed the significance of the key hot Research Fronts in addressing major issues in the given area. Firstly, the Research Front with the greatest number of core papers (P) in a broad research area was selected. If the front with the greatest P had been interpreted in previous years and there was no significant change of the core papers, then the Research Front with the second highest P would be selected as the key hot Research Front, and so on. Furthermore, another key hot front was selected based on the integration of CPT and professional judgement.

By taking advantage of the above two indicators as well as our domain experts' judgment, we selected 22 key hot Research Fronts from the 110 hot Research Fronts in the 11 broad research areas. Moreover, based on CPT and experts' judgment, nine key emerging Research Fronts were selected from the emerging Research Fronts. Thus, we interpret in detail the selected 31 key Research Fronts from the 128 Research Fronts.

### 2.2.2 ANALYSIS AND INTEPRETATION OF KEY RESEARCH FRONTS

Based on the data of the selected 128 Research Fronts, the development trends of the 110 hot Research Fronts in the 11 broad areas were analyzed, and the research themes of the emerging Research Fronts were revealed and researched. The 31 key Research Fronts were subsequently examined in greater detail.

#### (1) Examination of key hot Research Fronts

In each broad area, the development trends of the Top 10 hot Research Fronts, including the important research directions, distribution characteristics, and evolving trends of Research Fronts, were analyzed based on the number of core papers, times cited, mean publication year of core papers, and the annual change of the citing paper distribution.

The first table under each discipline section lists the 10 top ranked Research Fronts for each of the 11 broad areas, as well as the number of core papers, total citations, and the average publication year of the core papers of each Research Front. A bubble diagram shows the age distribution of the citing articles in the 10 Research Fronts listed for each broad area. The size of the bubble represents the quantity of citing articles per year. Key hot Research Fronts can be easily identified, particularly when large amounts of citing papers appear in a very short publication window (i.e., the first two explanations for CPT's values, as discussed above). But other data must be considered when the number of core papers is small. Generally speaking, the number of citing papers in most fronts will grow with time, so the bubble diagram can also help us understand the development of the Research Fronts.

For the two key hot Research Fronts selected in each broad area, their concepts and connotations, development contexts, layout of research force were further analyzed and interpreted, and the research content, value, and impact of the top cited core papers were revealed.

The first table for each key hot Research Front statistically analyzes the affiliated countries/regions and institutions represented in the core papers and summarizes their active status, thereby revealing the players making fundamental contributions in the key hot Research Front. Countries/regions and institutions of the citing papers in a key hot Research Front are analyzed in the second table to reveal their research strategy as they carry forward the work in these specialty areas.

(2) Interpretation of key emerging Research Fronts

Because the emerging Research Fronts identified were usually

small in terms of number of core and citing papers, the figures did not generally lend themselves to detailed statistical analysis. Nevertheless, information professionals endeavored to examine and interpret the research topics to better understand the fundamental concepts, the current research breakthroughs, and future development prospects in the key emerging Research Fronts.

2023 RESEARCH FRONTS

**2023** RESEARCH FRONTS

# AGRICULTURAL, PLANT AND ANIMAL SCIENCES

## **1. HOT RESEARCH FRONT**

## 1.1 TREND OF THE TOP 10 RESEARCH FRONTS IN AGRICULTURAL, PLANT AND ANIMAL SCIENCES

The Top 10 hot Research Fronts in agricultural, plant and animal sciences mainly involve six subfields, consisting of food science and engineering; plant immune regulation; abiotic stress responses in plants; plant growth and development regulation; plant genome, and animal nutrition (Table 1, Figure 1). The subfield of food science and engineering accounts for three hot Research Fronts, pertaining respectively to substitution of plant-based meat and cultured meat; microencapsulation of probiotics in food; and structure and function of food protein bioactive peptides. Two hot Research Fronts concern plant immune regulation, focusing on the immune mechanism mediated by NLR immune receptors,

and the mechanism of signal transduction regulation mediated by plant RALF peptides. Two fronts occupy the subfield of abiotic stress responses in plants, with one front centering on the mechanism of nanoparticles for enhancing cadmium tolerance and drought resistance in crops, and the other examining physiological mechanisms of droughtinduced tree mortality. The subfields of plant growth and development regulation, plant genome, and animal nutrition each account for one front; these research areas are devoted. respectively, to immunoregulatory function of extracellular vesicles in plants, crop pan-genome research, and feed additives for improving the immunity of aquatic animals.

Compared with previous Research Front surveys, research in the aforementioned subfields, as represented by hot fronts, has been ongoing for a decade and has registered frequently in previous Top 10 lists for many years. Notably, both crop pan-genome research in the subfield of plant genome, and the plant immune mechanism mediated by NLR immune receptors in the subfield of plant immune regulation, have continuously appeared in the Research Front roundup for three years since 2021. Meanwhile, the current high research interest in the substitution of plant-based meat and cultured meat has made it into the Top 10 list for the first time.

| Rank | Hot Research Fronts                                                                        | Core<br>Papers | Citations | Mean Year of<br>Core Papers |
|------|--------------------------------------------------------------------------------------------|----------------|-----------|-----------------------------|
| 1    | Research on feed additives for improving the immunity of aquatic animals                   | 22             | 1561      | 2020.3                      |
| 2    | The plant immune mechanism mediated by NLR immune receptors                                | 49             | 5160      | 2020.2                      |
| 3    | Research of substitution of plant-based meat and cultured meat                             | 50             | 3961      | 2020.2                      |
| 4    | Mechanism of nanoparticles for enhancing cadmium tolerance and drought resistance in crops | 22             | 1790      | 2020.0                      |
| 5    | Study on microencapsulation of probiotics in food                                          | 20             | 1735      | 2019.9                      |
| 6    | Structure and function of food protein bioactive peptides                                  | 34             | 3123      | 2019.8                      |
| 7    | Immunoregulatory function of extracellular vesicles in plants                              | 21             | 2030      | 2019.8                      |
| 8    | The mechanism of signal transduction regulation mediated by plant RALF peptides            | 18             | 1683      | 2019.8                      |
| 9    | Physiological mechanisms in drought-induced tree mortality                                 | 19             | 2649      | 2019.7                      |
| 10   | Crop pan-genome research                                                                   | 17             | 2864      | 2019.4                      |

#### Table 1: Top10 Research Fronts in agricultural, plant and animal sciences

#### RESEARCH FRONTS 2023 AGRICULTURAL, PLANT AND ANIMAL SCIENCES



## 1.2 KEY HOT RESEARCH FRONT – "Research of substitution of plant-based meat and cultured meat"

The advancement of agricultural technology and the intensification of animal husbandry have increased the efficiency and yield of meat production. Therefore, meat is relatively cheap and easy to access in developed countries/ regions. However, intensive meat production has adverse effects on public health, the environment, and animal welfare. The Food and Agriculture Organization of the United Nations (FAO) has predicted that global meat demand will reach 455 million tons by 2050, an increase of 76% compared to 2005. Therefore, to reduce the negative impact of animal husbandry, academic and industrial communities

are striving to explore the use of nonanimal source materials to produce meat—especially through techniques such as cell culture engineering and tissue engineering—to cultivate animal muscle tissue *in vitro*. This type of meat product is called cultured meat. In 2001, the Dutch government provided funding to universities for cultured meat research, and in 2002, NASA funded research on culturing goldfish meat. In 2013, Dutch scientists achieved the first edible cultured meat. Subsequently a company was established to promote its commercial production. In 2019, researchers at Nanjing Agricultural University successfully cultivated the first

cell-cultured meat in China using pig muscle stem cells. With the continuous development of technology, the cost of cultured meat is gradually decreasing. In 2021, Future Meat Technologies, an Israeli cell meat company, developed a technology for high-density culture of animal cells in a reactor and established a patented technology for medium filtration and regeneration, reducing the price of laboratory-cultured chicken from \$150/pound in 2019 to \$3.9/pound. With the ongoing advent of new technologies and the gradual reduction of cost, the research and production of cultured meat will continue to receive attention.

Fifty core papers underlie this hot Research Front, including 22 review articles and 28 research articles. The reviews mainly discuss the technical, socio-political, and regulatory challenges facing the commercialization of cultured meat, including consumer awareness and acceptance of plant-based meat and cultured meat; production methods for plant-based meat and cultured meat; and the history, driving forces, and manufacture of plant-based meat development. On the other hand, the research articles primarily investigate consumer preferences for plant-based meat and cultured meat burgers, compare the climate-change impact of cultured meat production and beef cattle farming, and explore the structural potential and physicochemical properties of plant-based meat preparation.

Among the 50 core papers, the most frequently cited is a review article, having attracted 287 citations at this writing (Figure 2). It was published in *Trends in Food Science & Technology* in 2017 by researchers at the Swiss Federal Institute of Technology (ETH) in Zurich, providing a systematic review of consumer perceptions and behaviors towards sustainable protein consumption. Among the 28 research articles, the most cited has currently attracted 139 citations (Figure 2). Published in

Appetite in 2018 by researchers at the University of Saskatchewan in Canda, the paper investigated consumer preferences for plant-based and cultured meat burgers. The results showed that if prices were equal, 65% of consumers would purchase the beef burger, 21% would purchase the plant-based burger, 11% would purchase the cultured meat burger, and 4% would make no purchase. Those two highly cited papers suggest that the further development and largescale commercial production of plantbased meat and cultured meat still faces consumer-preference issues. Therefore, a high level of attention centers on this area.

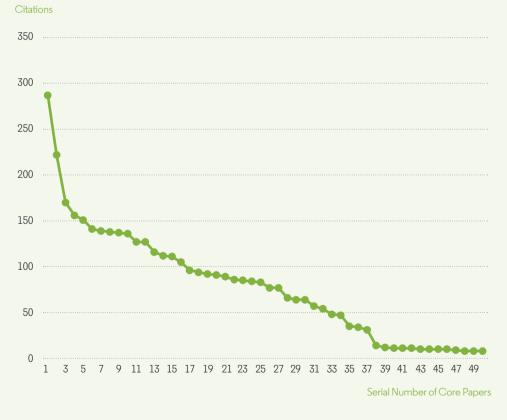
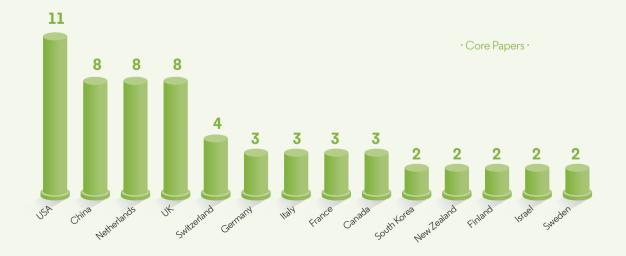


Figure 2: Citation frequency distribution curve of core papers in the Research Front "Research of substitution of plant-based meat and cultured meat"

Among the top countries and institutions producing this front's core papers (Table 2), the USA has the highest contribution rate, with its 11 papers accounting for 22.0% of the total. China, the Netherlands, and the UK each contribute nine papers and are tied for the third place. Among the prolific contributing institutions, Wageningen University & Research Center in the Netherlands has performed strongly, ranking 1<sup>st</sup>, while the University of Bath in the UK ranks 2<sup>nd</sup>. Northeast Agricultural University in China, the Swiss Federal Institute of Technology (ETH) in Zurich, Switzerland, Tufts University in USA and the National Research Institute for Agriculture, Food and Environment in France stand side by side, ranking 3<sup>rd</sup>. By this measure, it is demonstrable that the USA, China, the Netherlands, and the UK have devoted a heightened level of attention to meat alternatives research.

| Table 2: Top countries and institutions producing core papers in the Research Front |
|-------------------------------------------------------------------------------------|
| "Research of substitution of plant-based meat and cultured meat"                    |

| Country<br>Ranking | Country     | Core<br>Papers | Proportion | Institution<br>Ranking | Institution                                                          | Affiliated<br>Country | Core<br>Papers | Proportion |
|--------------------|-------------|----------------|------------|------------------------|----------------------------------------------------------------------|-----------------------|----------------|------------|
| 1                  | USA         | 11             | 22.0%      | 1                      | Wageningen University & Research Center                              | Netherlands           | 7              | 14.0%      |
| 2                  | China       | 8              | 16.0%      | 2                      | University of Bath                                                   | UK                    | 5              | 10.0%      |
| 2                  | Netherlands | 8              | 16.0%      | 3                      | Swiss Federal Institute of Technology in<br>Zurich                   | Switzerland           | 3              | 6.0%       |
| 2                  | UK          | 8              | 16.0%      | 3                      | Tufts University                                                     | USA                   | 3              | 6.0%       |
| 5                  | Switzerland | 4              | 8.0%       | 3                      | National Research Institute for Agriculture,<br>Food and Environment | France                | 3              | 6.0%       |
| 6                  | Germany     | 3              | 6.0%       | 3                      | Northeast Agricultural University                                    | China                 | 3              | 6.0%       |
| 6                  | Italy       | 3              | 6.0%       | 7                      | University of Massachusetts Amherst                                  | USA                   | 2              | 4.0%       |
| 6                  | France      | 3              | 6.0%       | 7                      | University of Oxford                                                 | UK                    | 2              | 4.0%       |
| 6                  | Canada      | 3              | 6.0%       | 7                      | Michigan State University                                            | USA                   | 2              | 4.0%       |
| 10                 | South Korea | 2              | 4.0%       | 7                      | Purdue University                                                    | USA                   | 2              | 4.0%       |
| 10                 | New Zealand | 2              | 4.0%       | 7                      | University of Kentucky                                               | USA                   | 2              | 4.0%       |
| 10                 | Finland     | 2              | 4.0%       | 7                      | Aleph Farms Ltd                                                      | lsrael                | 2              | 4.0%       |
| 10                 | lsrael      | 2              | 4.0%       | 7                      | Technion Israel Inst Technol                                         | lsrael                | 2              | 4.0%       |
| 10                 | Sweden      | 2              | 4.0%       | 7                      | University of Parma                                                  | Italy                 | 2              | 4.0%       |

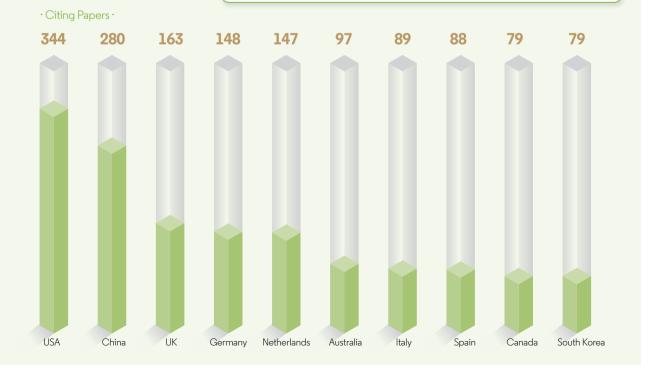


In terms of countries and institutions that cite the core papers in this hot front (Table 3), the USA and China, which, as noted above, rank 1<sup>st</sup> and 2<sup>nd</sup> by their respective number of core papers, are also the two most prolific contributing countries in terms of papers that cite the core literature—far ahead of other countries. This is a clear indication that

the USA and China continue to maintain robust research activity in this area. The UK, Germany and the Netherlands have actively pursued research in this subfield, forming a second tier according to the measure of citing papers. In terms of citing institutions, researchers at Wageningen University & Research Center in the Netherlands have contributed to 100 citing papers, leading other institutions. Jiangnan University, Nanjing Agricultural University, and the Chinese Academy of Agricultural Sciences in China are also notable for their prolific follow-up research, ranking 2<sup>nd</sup>, 7<sup>th</sup>, and 9<sup>th</sup>, respectively.

## Table 3: Top countries and institutions producing citing papers in the Research Front "Research of substitution of plant-based meat and cultured meat"

| Country<br>Ranking | Country     | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                  | Affiliated<br>Country | Citing<br>Papers                        | Proportion |
|--------------------|-------------|------------------|------------|------------------------|----------------------------------------------|-----------------------|-----------------------------------------|------------|
| 1                  | USA         | 344              | 20.1%      | 1                      | Wageningen University & Research Center      | Netherlands           | 100                                     | 5.8%       |
| 2                  | China       | 280              | 16.4%      | 2                      | Jiangnan University                          | China                 | 41                                      | 2.4%       |
| 3                  | UK          | 163              | 9.5%       | 3                      | University of Massachusetts Amherst          | USA                   | 37                                      | 2.2%       |
| 4                  | Germany     | 148              | 8.6%       | 4                      | Helsinki University                          | Finland               | 33                                      | 1.9%       |
| 5                  | Netherlands | 147              | 8.6%       | 5                      | Swiss Federal Institute of Technology Zurich | Switzerland           | 29                                      | 1.7%       |
| 6                  | Australia   | 97               | 5.7%       | 6                      | University of Oxford                         | UK                    | 24                                      | 1.4%       |
| 7                  | Italy       | 89               | 5.2%       | 7                      | Nanjing Agricultural University              | China                 | 23                                      | 1.3%       |
| 8                  | Spain       | 88               | 5.1%       | 7                      | University of Bath                           | UK                    | 23                                      | 1.3%       |
| 9                  | Canada      | 79               | 4.6%       | 9                      | Aarhus University                            | Denmark               | 22                                      | 1.3%       |
| 9                  | South Korea | 79               | 4.6%       | 9                      | Chinese Academy of Agricultural Sciences     | China                 | 22                                      | 1.3%       |
|                    |             |                  |            | 9                      | University of Hohenheim                      | Germany               | 22                                      | 1.3%       |
|                    |             |                  |            |                        |                                              |                       | ••••••••••••••••••••••••••••••••••••••• |            |



# 1.3 KEY HOT RESEARCH FRONT – "The plant immune mechanism mediated by NLR immune receptors"

The prevention and control of plant diseases in farmland remains an important research challenge to be overcome for agricultural development, and the matter is of great significance for food security, ecological security, and public health. Utilizing disease resistance genes for resistance breeding is one of the most effective means of preventing and controlling plant diseases. Among those genes, the most valuable and widely used is the gene type coding NLR immune receptors, which is the largest type of disease resistance gene in the plant immune system. Although nearly 26 years have elapsed since the NLR disease resistance gene was first cloned, the academic community still knows very little about how the NLR receptors recognize pathogen and initiate disease resistance responses. Many major scientific issues merit further study. As a result, the plant immune mechanism mediated by NLR immune receptors has become a hot frontier in the field of plant immune research, and progress has been continuous.

Forty-nine core papers underlie this hot Research Front, including 32 research articles and 17 review articles. Among the 32 research articles, 21 of were published in Cell, Science, Nature, or their respective sub journals. These research articles mainly investigate the immune and pattern recognition receptors mediated by NLR networks against various plant pathogens, the induction of NLR immune receptor complex by pathogens, and the mutual enhancement of plant immunity by cell surface and intracellular receptors. Meanwhile, the review articles mainly elaborate on the structural basis of NLR activation; the evolution, assembly, and regulation of NLR; the diversity of NLR and various strategies for binding to pathogens,

as well as its association with other receptors in immunity. Among the 49 core papers, the most cited is a review article with 332 citations at this writing (Figure 3). It was published in *Plant Cell* in 2018 by researchers at Oxford University in the UK, and systematically reviews the cloning of resistance genes and nine resistance mechanisms over the past 25 years, including the important mechanism of the interaction between the NLR protein and pathogens. Among the research articles, the most-cited paper has been cited nearly 300 times to date and was published in Science in 2019 by researchers at Tsinghua University, the Chinese Academy of Sciences, the Max Planck Society, and the University of Cologne in Germany. This article reconstructs an immune plant NLR antibody complex for studying the biochemical mechanism of plant NLR activation.

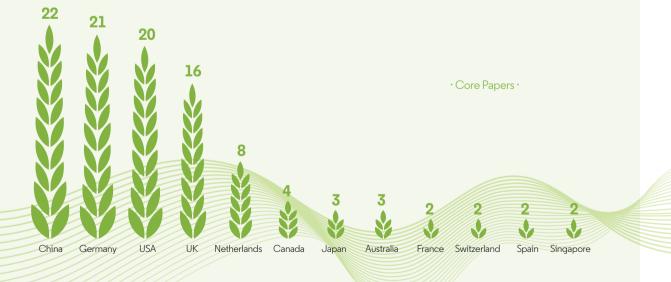




Among the countries and institutions producing core papers (Table 4), China, Germany, and the USA have higher contribution rates, with 22, 21, and 20 papers, all surpassing 40% of the total. Among the prolific contributing institutions, the Max Planck Society performs outstandingly, ranking 1<sup>st</sup> with 16 core papers and a contribution rate of 32.7%. The Biotechnology and Biological Sciences Research Council (BBSRC) in the UK ranks  $2^{nd}$ , having contributed 24.5% of the core literature. The Chinese Academy of Sciences and University of Cologne rank  $3^{rd}$  with a contribution rate of 20.4%.

| Country<br>Ranking | Country     | Core<br>Papers | Proportion | Institution<br>Ranking | Institution                           | Affiliated<br>Country | Core<br>Papers | Proportion |
|--------------------|-------------|----------------|------------|------------------------|---------------------------------------|-----------------------|----------------|------------|
| 1                  | China       | 22             | 44.9%      | 1                      | Max Planck Society                    | Germany               | 16             | 32.7%      |
| 2                  | Germany     | 21             | 42.9%      | 2                      | BBSRC                                 | UK                    | 12             | 24.5%      |
| 3                  | USA         | 20             | 40.8%      | 3                      | University of Cologne                 | Germany               | 10             | 20.4%      |
| 4                  | UK          | 16             | 32.7%      | 3                      | Chinese Academy of Sciences           | China                 | 10             | 20.4%      |
| 5                  | Netherlands | 8              | 16.3%      | 5                      | University of East Anglia             | UK                    | 8              | 16.3%      |
| 6                  | Canada      | 4              | 8.2%       | 5                      | Tsinghua University                   | China                 | 8              | 16.3%      |
| 7                  | Japan       | 3              | 6.1%       | 7                      | Howard Hughes Medical Institute       | USA                   | 7              | 14.3%      |
| 7                  | Australia   | 3              | 6.1%       | 8                      | University of California Berkeley     | USA                   | 5              | 10.2%      |
| 9                  | France      | 2              | 4.1%       | 8                      | University of North Carolina          | USA                   | 5              | 10.2%      |
| 9                  | Switzerland | 2              | 4.1%       | 10                     | University of British Columbia        | Canada                | 4              | 8.2%       |
| 9                  | Spain       | 2              | 4.1%       | 10                     | Washington University in St. Louis    | USA                   | 4              | 8.2%       |
| 9                  | Singapore   | 2              | 4.1%       | 10                     | Eberhard Karls University of Tubingen | Germany               | 4              | 8.2%       |
|                    |             |                |            | 10                     | University of Cambridge               | UK                    | 4              | 8.2%       |

## Table 4: Top countries and institutions producing core papers in the Research Front "The plant immune mechanism mediated by NLR immune receptors"



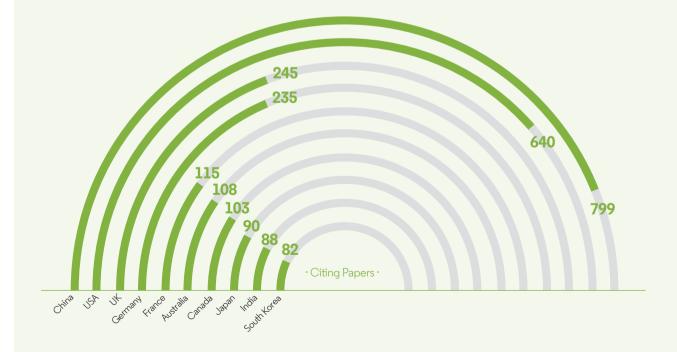
#### RESEARCH FRONTS 2023 AGRICULTURAL, PLANT AND ANIMAL SCIENCES

In terms of countries and institutions that cite the core papers of this hot front (Table 5), China and the USA rank  $1^{st}$  and  $2^{nd}$  respectively, matching their ranking in core papers, accounting for over 30%,

far surpassing other countries. In terms of citing institutions, BBSRC ranks 1<sup>st</sup>. China has five institutions in the Top 10: the Chinese Academy of Sciences, the Chinese Academy of Agricultural Sciences, Nanjing Agricultural University, China Agricultural University, and Zhejiang University.

## Table 5: Top countries and institutions producing citing papers in the Research Front "The plant immune mechanism mediated by NLR immune receptors"

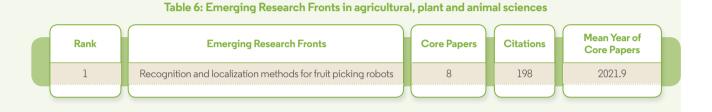
| Country<br>Ranking | Country        | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                                          | Affiliated<br>Country | Citing<br>Papers | Proportion |
|--------------------|----------------|------------------|------------|------------------------|----------------------------------------------------------------------|-----------------------|------------------|------------|
| 1                  | China          | 799              | 39.2%      | 1                      | BBSRC                                                                | UK                    | 130              | 6.4%       |
| 2                  | USA            | 640              | 31.4%      | 2                      | Chinese Academy of Sciences                                          | China                 | 123              | 6.0%       |
| 3                  | UK             | 245              | 12.0%      | 3                      | Chinese Academy of Agricultural Sciences                             | China                 | 88               | 4.3%       |
| 4                  | Germany        | 235              | 11.5%      | 4                      | Max Planck Society                                                   | Germany               | 87               | 4.3%       |
| 5                  | France         | 115              | 5.6%       | 5                      | Nanjing Agricultural University                                      | China                 | 76               | 3.7%       |
| 6                  | Australia      | 108              | 5.3%       | 6                      | National Research Institute for Agriculture, Food<br>and Environment | France                | 73               | 3.6%       |
| 7                  | Canada         | 103              | 5.0%       | 7                      | University of East Anglia                                            | UK                    | 69               | 3.4%       |
| 8                  | Japan          | 90               | 4.4%       | 8                      | National Center for Scientific Research of France<br>(CNRS)          | France                | 65               | 3.2%       |
| 9                  | India          | 88               | 4.3%       | 9                      | China Agricultural University                                        | China                 | 45               | 2.2%       |
| 10                 | South<br>Korea | 82               | 4.0%       | 10                     | Zhejiang University                                                  | China                 | 44               | 2.2%       |



## 2. EMERGING RESEARCH FRONT

## 2.1 OVERVIEW OF EMERGING RESEARCH FRONTS IN AGRICULTURAL, PLANT AND ANIMAL SCIENCES

In the area of agricultural, plant and animal sciences, one emerging Research Front has been identified: "Recognition and localization methods for fruit picking robots" (Table 6).



# 2.2 KEY EMERGING RESEARCH FRONT – "Recognition and localization methods for fruit picking robots"

A picking robot is a flexible automated or semi-automatic device that operates on fruits or vegetables. It combines partial human information perception and limb movement functions, and can be repeatedly programmed. It is an intelligent machine that integrates various disciplines such as electronics, machinery, computers, sensing technology, control technology, artificial intelligence, bionics, and agriculture. Using picking robots instead of human labor can not only reduce labor intensity, but also improve labor efficiency and help solve the problem of labor scarcity. Therefore, the picking robot has garnered attention from developed

countries with relatively small agricultural workforces and has become one of the competitive focuses of international agricultural machinery technology. For picking robots, especially fruit-picking robots, the complex natural conditions in which the fruits are located often lead to the situation that the fruit is obstructed by branches and leaves or overlapped with other fruits. This significantly hinders the recognition of machine vision systems. Therefore, the methods for fruit recognition and positioning in fruit picking robots have become a hot research topic.

All eight core papers in this emerging Research Front are research articles.

The main research contents include: the detection of banana bunches and stalks in banana orchards; a lightweight neural network model of deep learning for realtime detection of banana bunches and stalks; a deep learning algorithm for fast and precise recognition of banana fruits, inflorescence axes, and flower buds; a recognition method for detecting accurate litchi-picking locations on the main stems; a long-close distance coordination control strategy for a litchipicking robot; the visual positioning of a banana robot for accurately position the rachis and cut off point; and convolutional neural networks for grape object detection.

**2023** RESEARCH FRONTS

# ECOLOGY AND ENVIRONMENTAL SCIENCES

## **1. HOT RESEARCH FRONT**

## 1.1 TREND OF THE TOP 10 RESEARCH FRONTS IN ECOLOGY AND ENVIRONMENTAL SCIENCES

The Top 10 hot Research Fronts in ecology and environmental sciences are mainly distributed in two sub-areas: ecological sciences, and environmental sciences (Table 7 and Figure 4). Emerging environmental issues and innovative solutions are the predominant themes in this year.

The hot Research Fronts in the environmental-science subfield mainly focus on emerging environmental issues such as microplastics, climate change, ozone pollution, as well as innovative solutions or new research area such as new water pollution control technologies and environmental epidemiology.

Research on microplastic pollution has been central to hot fronts in environmental sciences in the past decade, with related topics being selected as Top 10 hot Research Fronts multiple times for the years 2015 to 2017 and 2020 to 2022. Two hot fronts for 2023 focus on microplastics, including "Adsorption of pollutants on microplastics particles" and "Environmental fate and Eco-toxicity of microplastics in soils".

Needless to say, climate change is a significant global environmental issue of current concern, with the capture of carbon dioxide and the reduction

methane registering as hot topics in the effort to reduce greenhouse gas emission. There are two related hot fronts in this year: "Techno-economic assessment of  $CO_2$  direct air capture" and "Global trends and sources of methane emissions".

In recent years, China's efforts to prevent and control air pollution have achieved phased results, with a continuous decrease in fine particulate matter (PM2.5) concentration. However, ozone pollution has shown a rapid rise and spread, leading to repeated occurrence of large-scale and longterm ozone pollution. The situation is severe. In response to this issue, "Ozone pollution and its health risks in China" has registered as a hot front this year.

In addition, degradation of organic pollutants by persulfate-related studies emerged in the 2017, 2018, and 2022 surveys. Another of this year's hot fronts, "Activating of peroxymonosulfate with singleatom catalysts", demonstrates that emerging single atom catalysts (SACs) are appealing materials in environmental catalysis with advantages such as ultrahigh performances, environmental friendliness, structural/chemical robustness, and the maximized utilization of active metal sites for advanced oxidation processes in environmental remediation.

Meanwhile, "Detection of SARS-CoV-2 in wastewater and COVID-19 epidemiologi-cal surveillance based on wastewater" has been selected as a hot front for the second consecutive year. In the post-epidemic era, wastewaterbased epidemiological research is an innovative, cost-effective solution for monitoring drugs, viruses, superbugs, as well as tracking COVID-19 outbreaks.

The hot Research Fronts in the ecological-science subfield mainly emphasize biodiversity and ecological governance, as examined in three fronts: "The current status of insect declines, extinctions, and driving factors", "The global freshwater biodiversity crisis and the impacts of dams", and "Theory and application of 'Nature-based Solutions'". Among these fronts, biodiversity-related research has been a continuous hot topic for many years, and "The current status of insect declines, extinctions, and driving factors" has now been listed as a hot front for the third consecutive year. "Nature-based Solutions" is a new concept that comprehensively utilizes multidisciplinary management approaches within ecosystems. Pertinent research on the theory, method, and applications in various fields is gra emerging.

#### RESEARCH FRONTS 2023 ECOLOGY AND ENVIRONMENTAL SCIENCES

| Rank | Hot Research Fronts                                                                                    | Core<br>Papers | Citations | Mean Year of<br>Core Papers |
|------|--------------------------------------------------------------------------------------------------------|----------------|-----------|-----------------------------|
| 1    | Activation of peroxymonosulfate with single-atom catalysts                                             | 16             | 1825      | 2021.0                      |
| 2    | Detection of SARS-CoV-2 in wastewater and COVID-19 epidemiological<br>surveillance based on wastewater | 30             | 6050      | 2020.3                      |
| 3    | Techno-economic assessment of $\mathrm{CO}_2$ direct air capture                                       | 6              | 1011      | 2020.0                      |
| 4    | Adsorption of pollutants on microplastics particles                                                    | 39             | 5732      | 2019.6                      |
| 5    | Environmental fate and eco -toxicity of microplastics in soils                                         | 48             | 9518      | 2019.5                      |
| 6    | The current status of insect declines, extinctions, and driving factors                                | 12             | 4449      | 2019.4                      |
| 7    | Ozone pollution and its health risks in China                                                          | 23             | 5898      | 2019.1                      |
| 8    | The global freshwater biodiversity crisis and the impacts of dams                                      | 14             | 3577      | 2019.1                      |
| 9    | Theory and application of "Nature-based Solutions"                                                     | 10             | 1836      | 2018.9                      |
| 10   | Trends and sources of global methane emissions                                                         | 9              | 1835      | 2018.9                      |

#### Table 7: Top 10 Research Fronts in ecology and environmental sciences

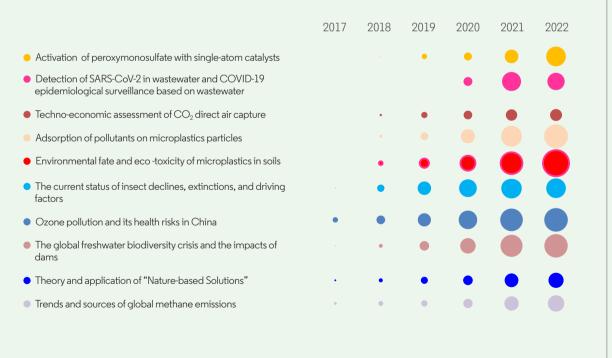


Figure 4: Citing papers for the Top 10 Research Fronts in ecology and environmental sciences

### 1.2 KEY HOT RESEARCH FRONT "Environmental fate and eco-toxicity of microplastics in soils"

Microplastics are often defined as plastic debris and particles with a diameter smaller than 5 mm. According to specific particle size, they can be categorized into nanoplastics (1~100 nm), submicron plastics (100 nm~lµm), and micron plastics (1µm~5 mm). The concept of microplastics was first proposed by British scientist Richard Thompson in 2004 and has gradually become a focus in global environmental issues. Microplastics are pervasive in the global environment. Research has reported the widespread and substantial presence of microplastics in oceans, lakes, rivers, soil, and the atmosphere. Microplastics have also been found in organisms and human tissue. Due to their tiny size, large quantities, and wide distribution, microplastics are easily ingested by organisms—thereby accumulating in the food chain-and can further concentrate in living tissue, endangering the health of organisms. Microplastic pollution is becoming one of the most serious threats to the entire ecosystem. International actions on plastic pollution have been launched. On March 2, 2022, during the fifth United Nations Environment Assembly, 175 United Nations members reached a consensus to establish a legally binding international agreement to prevent and control plastic pollution.

Microplastic pollution has been a perennial hot topic in the annual Research Fronts, with investigation of microplastic pollution in oceans and terrestrial water bodies having been selected among active fronts over several years. Recently, soil microplastic pollution has emerged as a new research hotspot.

This front includes 48 core papers, focusing on the following main directions: (1) Analytical methods for detecting microplastics in soil. (2) The sources and distribution characteristics of soil microplastics, as well as their distribution and accumulation in different tissues of organisms, especially crops. The use of plastic mulch in farmland has caused serious microplastic pollution and has led to the largescale dispersal of microplastics in agricultural environments. The distribution of microplastic pollution in China has also received considerable attention. (3) The ecological and environmental impacts caused by microplastics alone or in combination with other environmental problems. One example is the synergistic effects of pollution by microplastics and heavy metals such as cadmium, arsenic, and others. Microplastics, serving as the carriers of resistance genes, bacteria, and other pollutants, have implications in crop growth and development, not

to mention physiological functions. Additionally, microplastics can influence the physiochemical properties of the soil and the overall soil ecosystem.

In this Research Front, the most-cited core paper was published by researchers at the University of Bonn, Germany. The report reviews methods of analysis and possible sources of microplastics in soil. This article was published in Science of the Total Environment in 2018 and has been cited 522 times. In addition. Michael Scheurer and Moritz Bigalke, of the University of Bern in Switzerland, published a paper in Environmental Science & Technology in 2018, reporting that 90% of the soil in Swiss floodplains contains microplastics mainly generated by human activities and has been dispersed to remote areas via the wind. The paper has been now cited more than 460 times.



Figure 5: Citation frequency distribution curve of core papers in the Research Front "Environmental fate and eco-toxicity of microplastics in soils"

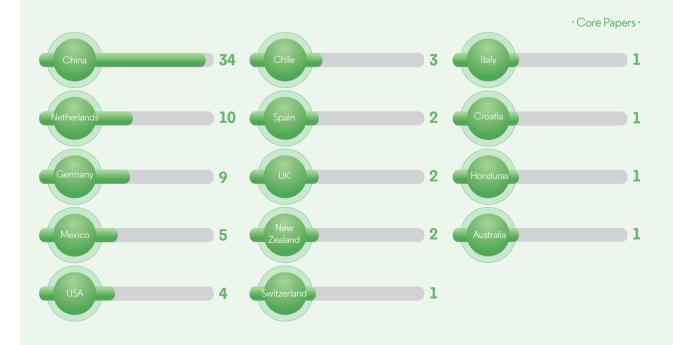
#### RESEARCH FRONTS 2023 ECOLOGY AND ENVIRONMENTAL SCIENCES

Regarding the countries and institutions behind the core papers (Table 8): China is the largest contributor to this Research Front, with a total of 34 core papers, accounting for 70.8% of the total, and far exceeding other countries. The Netherlands and Germany rank  $2^{nd}$  and  $3^{rd}$  with 20.8% and 18.8% core papers, respectively. As for the most prolific institutions, the Chinese Academy of

Sciences and Wageningen University & Research Center in the Netherlands rank as the top two, respectively fielding 10 and 8 core papers.

| Country | Country       | Core | Proportion | Institution | Institution                                                       | Affiliated  | Core       | Proportion |
|---------|---------------|------|------------|-------------|-------------------------------------------------------------------|-------------|------------|------------|
| Ranking | Papers Papers |      | Ranking    | Institution | Country                                                           | Papers      | rioportion |            |
| 1       | China         | 34   | 70.8%      | 1           | Chinese Academy of Sciences                                       | China       | 10         | 20.8%      |
| 2       | Netherlands   | 10   | 20.8%      | 2           | Wageningen University & Research<br>Center                        | Netherlands | 8          | 16.7%      |
| 3       | Germany       | 9    | 18.8%      | 3           | Colegio de la Frontera Sur                                        | Mexico      | 5          | 10.4%      |
| 4       | Mexico        | 5    | 10.4%      | 3           | Northwest A&F University                                          | China       | 5          | 10.4%      |
| 5       | USA           | 4    | 8.3%       | 3           | Free University of Berlin                                         | Germany     | 5          | 10.4%      |
| 6       | Chile         | 3    | 6.3%       | 6           | East China Normal University                                      | China       | 4          | 8.3%       |
| 7       | Spain         | 2    | 4.2%       | 6           | Peking University                                                 | China       | 4          | 8.3%       |
| 7       | UK            | 2    | 4.2%       | 8           | Chinese Academy of Agricultural<br>Sciences                       | China       | 3          | 6.3%       |
| 7       | New Zealand   | 2    | 4.2%       | 8           | Nankai University                                                 | China       | 3          | 6.3%       |
| 10      | Switzerland   | 1    | 2.1%       | 8           | University of Gottingen                                           | Germany     | 3          | 6.3%       |
| 10      | Italy         | 1    | 2.1%       | 8           | Zhejiang A&F University                                           | China       | 3          | 6.3%       |
| 10      | Croatia       | 1    | 2.1%       | 8           | China Agricultural University                                     | China       | 3          | 6.3%       |
| 10      | Honduras      | 1    | 2.1%       | 8           | Berlin-Brandenburg Institute of<br>Advanced Biodiversity Research | Germany     | 3          | 6.3%       |
| 10      | Australia     | 1    | 2.1%       |             |                                                                   |             |            |            |

## Table 8: Top countries and institutions producing core papers in the Research Front "Environmental fate and eco-toxicity of microplastics in soils"

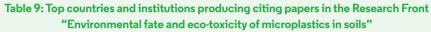


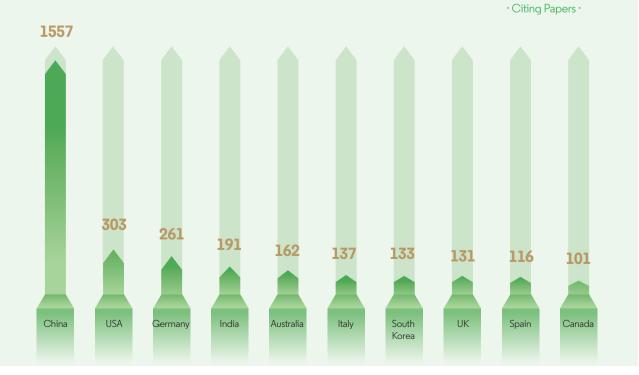
In terms of the countries and institutions citing the core papers (Table 9), China remains the country with the highest number of citing papers, with a total of 1,557, accounting for more than half of the total. The USA and Germany rank 2<sup>nd</sup> and 3<sup>rd</sup> with 303 and 261 citing papers,

respectively. Among the Top 10 citing institutions, nine are based in China, with the one exception being Wageningen University & Research Center in the Netherlands, ranking 9<sup>th</sup>. The Chinese Academy of Sciences and Northwest A&F University rank 1<sup>st</sup> and 2<sup>nd</sup> with respective totals of 305 and 123 citing papers.

Based on its number of core papers and citing papers, China plays a dominant position and has made significant contributions in this Research Front.

| Country<br>Ranking | Country     | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                 | Affiliated<br>Country | Citing<br>Papers | Proportion |
|--------------------|-------------|------------------|------------|------------------------|---------------------------------------------|-----------------------|------------------|------------|
| 1                  | China       | 1557             | 51.9%      | 1                      | Chinese Academy of Sciences                 | China                 | 305              | 10.2%      |
| 2                  | USA         | 303              | 10.1%      | 2                      | Northwest A&F University                    | China                 | 123              | 4.1%       |
| 3                  | Germany     | 261              | 8.7%       | 3                      | Chinese Academy of Agricultural<br>Sciences | China                 | 69               | 2.3%       |
| 4                  | India       | 191              | 6.4%       | 4                      | Nankai University                           | China                 | 68               | 2.3%       |
| 5                  | Australia   | 162              | 5.4%       | 5                      | Nanjing University                          | China                 | 65               | 2.3%       |
| 6                  | Italy       | 137              | 4.6%       | 6                      | South China Agricultural University         | China                 | 64               | 2.2%       |
| 7                  | South Korea | 133              | 4.4%       | 7                      | Zhejiang University                         | China                 | 62               | 2.1%       |
| 8                  | UK          | 131              | 4.4%       | 8                      | China Agricultural University               | China                 | 59               | 2.1%       |
| 9                  | Spain       | 116              | 3.9%       | 9                      | Wageningen University & Research<br>Center  | Netherlands           | 57               | 2.0%       |
| 10                 | Canada      | 101              | 3.4%       | 10                     | East China Normal University                | China                 | 53               | 1.8%       |





#### 1.3 KEY HOT RESEARCH FRONT "Theory and application of 'Nature-based Solutions'"

According to the definition first proposed by the International Union for Conservation of Nature (IUCN) in 2016, Nature-based Solutions (NbS) are actions to protect, sus-tainably manage and restore natural and modified ecosystems in ways that address societal challenges effectively and adaptively, to provide for both human well-being and bi-odiversity benefits. NbS are not only imperative for addressing the dual crises of global biodiversity loss and climate change, but also a necessary mechanism to achieve the goals of sustainable development, and an essential tool for promoting conservation. The IUCN has put forward 8 Criteria and 28 Indicators for NbS, advocating for relying on the power of nature and ecosystembased approaches to address societal challenges such as climate change (adaptation and mitigation); disaster risk reduction; ecosystem degradation and biodiversity loss; food security; human health; and water security. As a new concept, NbS was swiftly embraced by the international community upon its introduction. Many countries/regions have already taken action to incorporate NbS into their national climate strategies.

Ten core papers anchor this Research Front, largely focusing on the discussion of the connotation of NbS, application principles, implementation framework, cases and experiences of multidisciplinary practice, and evaluation of value and effectiveness. The most-cited core paper derives from collaborative work by a small group of European

countries, published in Science of the Total Environment. In this paper, the authors analyze NbS in relation to similar concepts, discussing the impact of NbS on science, policy, and practice, and proposing key elements for its implementation. The report emphasizes ensuring the rational use of multidisciplinary and interdisciplinary knowledge, highlighting the need to connect practitioners, policymakers, and scientists from different disciplinary fields to conduct joint research and design and implement NbS. Fur-thermore, the paper advocates that the practice should be based on a set of balanced, clear, widely accepted, and implementable key principles. This paper was published in 2017 and its citation total currently reach to 370.

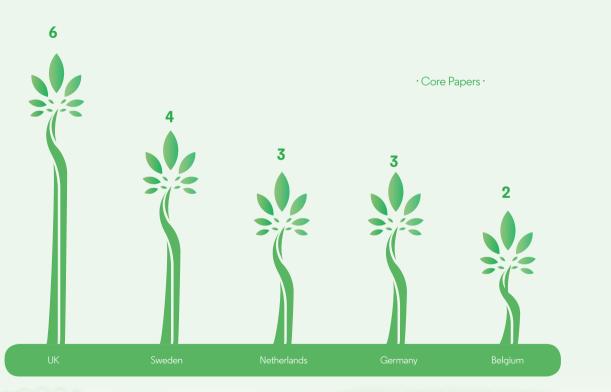


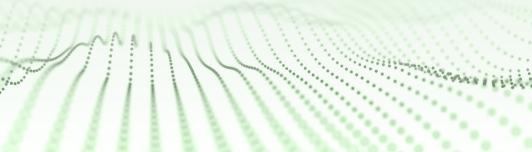
Figure 6: Citation frequency distribution curve of core papers in Research Front "Theory and application of 'Nature-based Solutions"

Statistics on the countries and institutions in this front (Table 10) indicate that the UK and other European countries have played a leading role in this Research Front, with institutions based in the UK and Germany accounting for the preponderance of core papers.

#### Table 10: Top countries and institutions producing core papers in the Research Front "Theory and application of 'Nature-based Solutions'"

| Cou<br>Ran | untry<br>nking | Country     | Core<br>Papers | Proportion | Institution<br>Ranking | Institution                   | Affiliated<br>Country | Core<br>Papers | Proportion |
|------------|----------------|-------------|----------------|------------|------------------------|-------------------------------|-----------------------|----------------|------------|
|            | 1              | UK          | 6              | 60.0%      | 1                      | University of Oxford          | UK                    | 4              | 40.0%      |
|            | 2              | Sweden      | 4              | 40.0%      | 2                      | Humboldt University of Berlin | Germany               | 3              | 30.0%      |
|            | 3              | Netherlands | 3              | 30.0%      | 3                      | Helmholtz Association         | Germany               | 2              | 20.0%      |
|            | 3              | Germany     | 3              | 30.0%      | 3                      | Erasmus University Rotterdam  | Netherlands           | 2              | 20.0%      |
|            | 5              | Belgium     | 2              | 20.0%      |                        |                               |                       |                |            |





#### RESEARCH FRONTS 2023 ECOLOGY AND ENVIRONMENTAL SCIENCES

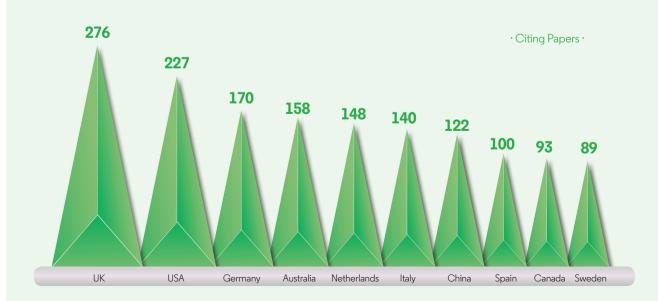
By the measure of citing papers (Table 11), the UK is also the most prolific country in following up on this research, with its 276 citing papers accounting for about a quarter of the total. The USA and Germany rank 2<sup>nd</sup> and 3<sup>rd</sup>, respectively.

China contributed 122 citing papers, ranking 7<sup>th</sup>. In terms of citing institutions, Wageningen University & Research Center in the Netherlands and the Helmholtz Association in Germany capture the top two berths, each publishing more than 50 citing papers. The Chinese Academy of Sciences contributes 29 citing papers, ranking 7<sup>th</sup>. It is the only Chinese institution among the Top 10 institutions.

| Country<br>Ranking | Country     | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                                 | Affiliated<br>Country | Citing<br>Papers | Proportion |
|--------------------|-------------|------------------|------------|------------------------|-------------------------------------------------------------|-----------------------|------------------|------------|
| 1                  | UK          | 276              | 23.5%      | 1                      | Wageningen University &<br>Research Center                  | Netherlands           | 54               | 4.6%       |
| 2                  | USA         | 227              | 19.3%      | 2                      | Helmholtz Association                                       | Germany               | 51               | 4.3%       |
| 3                  | Germany     | 170              | 14.5%      | 3                      | University of Oxford                                        | UK                    | 45               | 3.8%       |
| 4                  | Australia   | 158              | 13.4%      | 4                      | Humboldt University of Berlin                               | Germany               | 37               | 3.1%       |
| 5                  | Netherlands | 148              | 12.6%      | 5                      | University of Melbourne                                     | Australia             | 32               | 2.7%       |
| 6                  | ltaly       | 140              | 11.9%      | 5                      | Utrecht University                                          | Netherlands           | 32               | 2.7%       |
| 7                  | China       | 122              | 10.4%      | 7                      | Chinese Academy of Sciences                                 | China                 | 29               | 2.5%       |
| 8                  | Spain       | 100              | 8.5%       | 8                      | National Center for Scientific<br>Research of France (CNRS) | France                | 26               | 2.2%       |
| 9                  | Canada      | 93               | 7.9%       | 9                      | University of Exeter                                        | UK                    | 25               | 2.1%       |
| 10                 | Sweden      | 89               | 7.6%       | 10                     | University of British Columbia                              | Canada                | 24               | 2.0%       |

 Table 11: Top countries and institutions producing citing papers in the Research Front

 "Theory and application of 'Nature-based Solutions'"



## 2. EMERGING RESEARCH FRONT

## 2.1 OVERVIEW OF EMERGING RESEARCH FRONTS IN ECOLOGY AND ENVIRONMENTAL SCIENCES

The area of ecology and environmental sciences features one emerging Research Front: "Detection and exposure of microplastics in human tissue".



#### Table 12: Emerging Research Fronts in ecology and environmental sciences

# 2.2 KEY EMERGING RESARCH FRONT "Detection and exposure of microplastics in human tissue"

As discussed above, microplastics constitute a global pollutant that has been widely detected in oceans, fresh water, terrestrial soil, sediments, atmosphere, organisms, and food and drinking water. Research shows that microplastics may enter the human body through various pathways including air, water, food, or personal care products such as toothpastes, cosmetics, and the like.

Two core papers in this emerging front focus on quantitative detection and exposure studies of microplastics in human tissue. In 2022, a study led by Netherlands-based scientists at the Vrije Universiteit Amsterdam, was published in Environment International. This research team reported the detection of microplastics in human blood. Microplastics were detected in 80% of blood samples from 22 healthy volunteers. This pioneering humanbiomonitoring study demonstrated that plastic particles are bioavailable for uptake into the human bloodstream. At this writing, the paper has been cited 172 times. Another core paper was also published in 2022, in Science of the Total Environment. Researchers at the University of Hull in the UK reported for the first time that microplastic pollution was detected in human lung tissue.

Microplastics even exist in the lower lung regions.

This emerging front sparks further contemplation of troubling questions: Where in the human body will microplastics next turn up? Can they be eliminated or will they accumulate in certain organs, potentially even pass through the blood-brain barrier? Therefore, it is necessary to continue to monitor the prevalence and character of microplastics in the human body and the hazards associated with microplastics exposure, and to determine the precise extent of the public-health risks posed by these pollutants. 2023 RESEARCH FRONTS

# GEOSCIENCES

## **1. HOT RESEARCH FRONT**

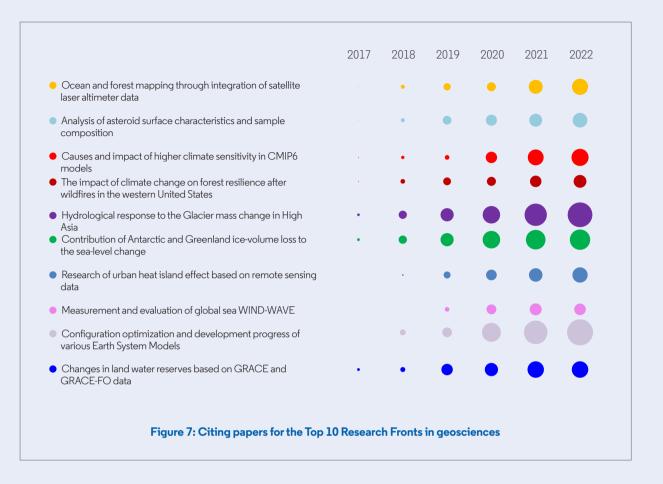
### 1.1 TREND OF THE TOP 10 RESEARCH FRONTS IN GEOSCIENCES

The multidisciplinary field of geosciences is highly dependent on observation technology. In 2023, six of the Top 10 Research Fronts in geosciences focus on geography, with three fronts pertaining to atmospheric science and one to planetary geology. Most of these specialties use advanced technical methods and models such as Earth system models and Earth observation technologies to promote new scientific discoveries. "Causes and impact of higher climate sensitivity in CMIP6 models", and "Configuration optimization and development progress of various Earth System Models" continuously optimize Earth system models to help to better analyze past,

present, and future climate change, while providing strong scientific support for the study of global changes. "Ocean and forest mapping through integration of satellite laser altimeter data", "Changes in land water reserves based on GRACE and GRACE-FO data", and "Research of urban heat island effect based on remote sensing data" reflect the important role played by Earth-observation technology in obtaining spatiotemporal information on the global surface and on such phenomena as water storage in large lakes and rivers, as well as shifting sea levels and ocean currents. These data points are essential to quantitatively studying the dynamic evolution of Earth's habitability.

#### Table 13: Top10 Research Fronts in geosciences

| Rank | Hot Research Fronts                                                                            | Core<br>Papers | Citations | Mean Year of<br>Core Papers |
|------|------------------------------------------------------------------------------------------------|----------------|-----------|-----------------------------|
| 1    | Ocean and forest mapping through integration of satellite laser altimeter data                 | 15             | 1439      | 2020.0                      |
| 2    | Analysis of asteroid surface characteristics and sample composition                            | 15             | 1969      | 2019.7                      |
| 3    | Causes and impact of higher climate sensitivity in CMIP6 models                                | 8              | 1509      | 2019.5                      |
| 4    | The impact of climate change on forest resilience after wildfires in the western United States | 8              | 1037      | 2019.4                      |
| 5    | Hydrological response to the Glacier mass change in High Asia                                  | 31             | 5327      | 2019.3                      |
| 6    | Contribution of Antarctic and Greenland ice-volume loss to the sea-level change                | 26             | 4140      | 2019.3                      |
| 7    | Research of urban heat island effect based on remote sensing data                              | 7              | 1152      | 2019.3                      |
| 8    | Measurement and evaluation of global sea WIND-WAVE                                             | 6              | 749       | 2019.3                      |
| 9    | Configuration optimization and development progress of various Earth System Models             | 33             | 5382      | 2019.2                      |
| 10   | Changes in land water reserves based on GRACE and GRACE-FO data                                | 10             | 1876      | 2019.2                      |



## 1.2 KEY HOT RESEARCH FRONT – "Causes and impact of higher climate sensitivity in CMIP6 models"

Global climate models are computer programs that incorporate fundamental disciplines such as mathematics, physics, and chemistry in order to simulate the interaction and feedback processes of the various strata of the Earth's climate system. "A National Strategy for Advancing Climate Modeling", a report released in 2012, noted that climate models are one of the most complex simulation tools for human development and are indispensable platforms for understanding the causes of climate change, evaluating its effects, and predicting and estimating its future changes. Relying on the continuous development and improvement of climate models, the level of human awareness of climate change has made great strides, and the global response to climate change has a solid scientific foundation.

In order to improve the accuracy of the simulation results, the "Working Group on Coupled Modelling" of the World Climate Research Programme has organized and implemented the "Coupled Model Intercomparison Project" (CMIP) since 1995. The goal is to better understand past, present, and future climate change, emphasizing the sharing, comparison, and analysis of global climate model results to provide high-quality climate information. The project has played a vital role in the formulation of model experiments, the standardization of model data formats, and the establishment of data-sharing platforms. The latest iteration, CMIP6, has more than 50 models from 28 participating research institutions around the world, focusing on three key scientific issues. These are: how the earth system responds to external forced changes; identifying the causes and consequences of model system deviations; and learning how to predict future climate change under the influence of internal climate variability, predictability, and scenario uncertainty. However, one-fifth of the climate models participating in CMIP6 have an equilibrium climate sensitivity of more than 5°C, which exceeds the range of 2-5°C that the United Nations' Intergovernmental Panel on Climate Change's sixth assessment report considers as very likely (with a probability exceeding 90%), indicating a state of "overheating".

The academic community has conducted extensive research on the causes and effects of the high climate sensitivity of the CMIP6 model. In this hot Research Front, "Causes of higher climate sensitivity in CMIP6 models," a paper published in Geophysical Research Letters by researchers based at Lawrence Livermore National Laboratory (USA), the University of Leeds (UK), and Imperial College London (UK), has garnered the most citations. This paper reports that the temperature response to an abrupt quadrupling of atmospheric carbon dioxide has increased substantially in the latest generation of global climate models. This is primarily because low cloud water content and coverage decrease more strongly with global warming, causing enhanced planetary absorption of sunlight—thereby amplifying feedback that ultimately results in more warming.

Another paper, "High Climate Sensitivity in the Community Earth System Model Version 2", by authors affiliated with the National Center for Atmospheric Research (NCAR, USA) was also published in *Geophysical Research Letters*. This report determined that CESM2 has an Equilibrium Climate Sensitivity of 5.3 K, and that cloud radiation is the cause of this change. Meanwhile, the process of cloud feedback affecting equilibrium climate sensitivity also influences the radiative forcing of aerosols.



Figure 8: Citation frequency distribution curve of core papers in the Research Front "Causes and impact of higher climate sensitivity in CMIP6 models"

The CMIP6 model that displays the phenomenon of "overheating" was principally developed at the traditionally dominant R&D centers that occupy the leading positions internationally in the development of model physical process solutions. For example, as Table 14 shows, the NCAR ranks 1<sup>st</sup>,

while the UK's Meteorological Office Hadley Centre shares 3<sup>rd</sup> position with the University of Leeds. In participating in the model version of CMIP6, these institutions updated the important physical process schemes in CMIP5, such as the adoption of a new, more complex physical scheme containing aerosol-cloud interaction, which resulted in an overly strong cooling effect. Among nations, Switzerland actively participates in related research on this topic, with the Swiss Federal Institute of Technology (ETH) in Zurich ranking 2<sup>nd</sup> among the top-producing institutions.

 Table 14: Top countries and institutions producing core papers in the Research Front

 "Causes and impact of higher climate sensitivity in CMIP6 models"

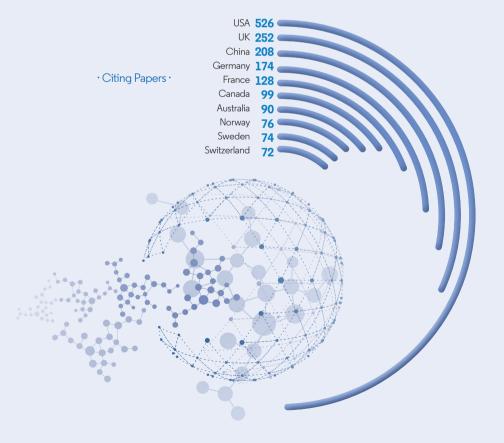
| Country<br>Ranking | Country     | Core<br>Papers | Proportion | Institution<br>Ranking | Institution                                                 | Affiliated<br>Country | Core<br>Papers | Proportion |
|--------------------|-------------|----------------|------------|------------------------|-------------------------------------------------------------|-----------------------|----------------|------------|
| 1                  | USA         | 7              | 87.5%      | 1                      | National Center for Atmospheric<br>Research (NCAR)          | USA                   | 5              | 62.5%      |
| 2                  | UK          | 6              | 75.0%      | 2                      | Swiss Federal Institute of Technology<br>Zurich             | Switzerland           | 4              | 50.0%      |
| 3                  | Switzerland | 5              | 62.5%      | 3                      | University of Leeds                                         | UK                    | 3              | 37.5%      |
| 4                  | Germany     | 3              | 37.5%      | 3                      | Meteorological Office                                       | UK                    | 3              | 37.5%      |
| 5                  | France      | 2              | 25.0%      | 5                      | National Aeronautics & Space<br>Administration (NASA)       | USA                   | 2              | 25.0%      |
| 5                  | Japan       | 2              | 25.0%      | 5                      | University of Edinburgh                                     | UK                    | 2              | 25.0%      |
| 5                  | Sweden      | 2              | 25.0%      | 5                      | University of Tokyo                                         | Japan                 | 2              | 25.0%      |
| 8                  | Canada      | 1              | 12.5%      | 5                      | Stockholm University                                        | Sweden                | 2              | 25.0%      |
| 8                  | Australia   | 1              | 12.5%      | 5                      | Max Planck Society                                          | Germany               | 2              | 25.0%      |
| 8                  | China       | 1              | 12.5%      | 5                      | National Center for Scientific Research of<br>France (CNRS) | France                | 2              | 25.0%      |
| 8                  | Netherlands | 1              | 12.5%      |                        |                                                             |                       |                |            |
| 8                  | Denmark     | 1              | 12.5%      |                        |                                                             |                       |                |            |



In terms of countries producing the citing papers, the USA and the UK are still the two most prolific. China ranks  $8^{th}$  in core-paper contributions, and  $3^{rd}$  in citing papers. The Chinese Academy of Sciences ranks  $5^{th}$  on the list of citing institutions.

| Country<br>Ranking | Country     | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                                 | Affiliated<br>Country | Citing<br>Papers | Proportion |
|--------------------|-------------|------------------|------------|------------------------|-------------------------------------------------------------|-----------------------|------------------|------------|
| 1                  | USA         | 526              | 48.8%      | 1                      | National Center for Atmospheric Research<br>(NCAR)          | USA                   | 126              | 11.7%      |
| 2                  | UK          | 252              | 23.4%      | 2                      | United States Department of Energy (DOE)                    | USA                   | 110              | 10.2%      |
| 3                  | China       | 208              | 19.3%      | 3                      | National Center for Scientific Research of<br>France (CNRS) | France                | 103              | 9.6%       |
| 4                  | Germany     | 174              | 16.1%      | 4                      | National Aeronautics & Space Administration<br>(NASA)       | USA                   | 94               | 8.7%       |
| 5                  | France      | 128              | 11.9%      | 5                      | Chinese Academy of Sciences                                 | China                 | 87               | 8.1%       |
| 6                  | Canada      | 99               | 9.2%       | 6                      | National Oceanic Atmospheric Admin (NOAA)                   | USA                   | 80               | 7.4%       |
| 7                  | Australia   | 90               | 8.3%       | 7                      | Meteorological Office                                       | UK                    | 78               | 7.2%       |
| 8                  | Norway      | 76               | 7.1%       | 8                      | Columbia University                                         | USA                   | 74               | 6.9%       |
| 9                  | Sweden      | 74               | 6.9%       | 9                      | Helmholtz Association                                       | Germany               | 67               | 6.2%       |
| 10                 | Switzerland | 72               | 6.7%       | 10                     | Sorbonne University                                         | France                | 66               | 6.1%       |

| Table 15: Top countries and institutions producing citing papers in the Research Front |
|----------------------------------------------------------------------------------------|
| "Causes and impact of higher climate sensitivity in CMIP6 models"                      |



## 1.3 KEY HOT RESARCH FRONT – "Changes in land water reserves based on GRACE and GRACE-FO data"

Earth's gravity field represents the current heterogeneous distribution of matter inside the Earth and on its surface. On a scale of a few years or less, the migration and exchange of substances such as the atmosphere, water, and shallow groundwater will cause the Earth's mass to redistribute, which in turn will lead to changes in Earth's gravity field. Therefore, the use of static or time-varying gravity field signals can provide insights into the material migration of the Earth's circumpolar layer, especially information on land water reserves and their changes. This is of great significance for the study of global climate change.

The US-German GRACE mission is one of the representative missions of satellite gravity exploration program in this century. Launched in March of 2002, GRACE (Gravity Recovery and Climate Experiment) maps Earth's gravity field by making accurate measurements of the distance between two identical satellites that were launched together. The gravity variations studied by GRACE include changes due to surface and deep currents in the ocean; runoff and ground water storage on land masses; exchanges between ice sheets or glaciers and the ocean; and variations of mass within the Earth. The mission's application results have also been extended to oceanography, glaciology, hydrology, seismology, and other fields. GRACE-FO (GRACE Follow-On) is a successor to the original mission, continuing the work of tracking Earth's water movement to monitor changes in underground water storage, the amount of water in large lakes and rivers, soil moisture, ice sheets and glaciers, and sea level caused by the addition of water to the ocean.

The Research Front "Changes in land water reserves based on GRACE and GRACE-FO data" consists of 10 core papers, focusing on the use of satellite data for research into global climate change, changes in groundwater reserves and global mass, and ice sheet loss in Greenland and Antarctica. Among the core papers, "Emerging trends in global freshwater availability", published in Nature by scientists based at NASA and the University of Maryland, is currently the most cited, with 655 citations at this writing. The research reported in this paper used data from the GRACE mission from 2002 to 2016 to assess the trends and causes of changes in terrestrial freshwater

reserves and to identify areas where significant changes in land water storage have occurred, while also clearly revealing the impact of human activity on the global water cycle. These findings provide a reference for assessing and predicting the threats of human influence and climate change on water and food security.

During its 15 years of operation, the GRACE mission and its satellite-based findings have accounted for several research highlights. For example, given the increasing number of locations in which humans are pumping out groundwater faster than it is replenished, a third of Earth's largest groundwater basins are being rapidly depleted. Ice losses from Greenland and Antarctica have been shown to be dramatically larger than previously estimated. GRACE findings have also helped to distinguish the extent of the impact of changes in water mass and ocean temperatures on sea-level variations; to calculate the effects of ice sheet loss and groundwater depletion on the rotation of Earth; and to assist in determining the abrupt changes in mass during major earthquakes.

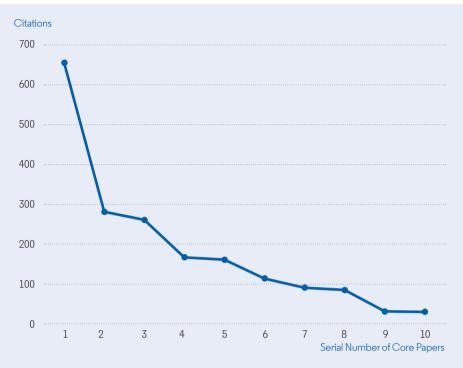


Figure 9: Citation frequency distribution curve of core papers in Research Front "Changes in land water reserves based on GRACE and GRACE-FO data"

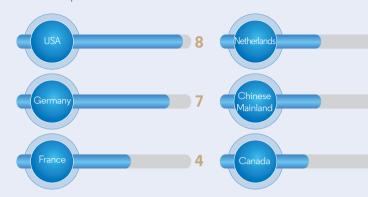
As the countries/regions responsible for developing the satellite mission, the USA and Germany account for the largest output of core papers. The Jet Propulsion Laboratory (administered by the California Institute of Technology), the Helmholtz Centre Potsdam, and the University of Texas, Austin are responsible for satellite data processing and product release, and both rank among the top institutions producing core papers.

| Country/<br>region<br>Ranking | Country/<br>Regions | Core<br>Papers | Proportion | Institution<br>Ranking | Institution                                                 | Affiliated<br>Country | Core<br>Papers | Proportion |
|-------------------------------|---------------------|----------------|------------|------------------------|-------------------------------------------------------------|-----------------------|----------------|------------|
| 1                             | USA                 | 8              | 80.0%      | 1                      | National Aeronautics & Space<br>Administration (NASA)       | USA                   | 7              | 70.0%      |
| 2                             | Germany             | 7              | 70.0%      | 2                      | California Institute of Technology                          | USA                   | 6              | 60.0%      |
| 3                             | France              | 4              | 40.0%      | 3                      | University of Texas Austin                                  | USA                   | 5              | 50.0%      |
| 4                             | Netherlands         | 3              | 30.0%      | 4                      | Helmholtz Association                                       | Germany               | 3              | 30.0%      |
| 4                             | Chinese<br>Mainland | 3              | 30.0%      | 4                      | Technical University of Berlin                              | Germany               | 3              | 30.0%      |
| 6                             | Canada              | 2              | 20.0%      | 6                      | National Center for Scientific Research<br>of France (CNRS) | France                | 2              | 20.0%      |
| 7                             | Australia           | 1              | 10.0%      | 6                      | Tsinghua University                                         | China                 | 2              | 20.0%      |
| 7                             | Chinese<br>Taiwan   | 1              | 10.0%      | 6                      | University of Bretagne Loire                                | France                | 2              | 20.0%      |
| 7                             | Austria             | 1              | 10.0%      | 6                      | Utrecht University                                          | Netherlands           | 2              | 20.0%      |
|                               |                     |                |            | 6                      | University of Rennes                                        | France                | 2              | 20.0%      |
|                               |                     |                |            | 6                      | Chinese Academy of Sciences                                 | China                 | 2              | 20.0%      |
|                               |                     |                |            | 6                      | Univ Saskatchewan                                           | Canada                | 2              | 20.0%      |

 Table 16: Top countries/regions and institutions producing core papers in the Research Front

 "Changes in land water reserves based on GRACE and GRACE-FO data"

 $\cdot$  Core Papers  $\cdot$ 



As for countries producing the most citing papers: China has most actively followed up on research in this front, followed by the USA and Germany. The Chinese Academy of Sciences has been the most prolific in terms of citing papers.

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Meanwhile, NASA and the California Institute of Technology rank  $2^{nd}$  and  $3^{rd}$ .

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| Table 17: Top countries and institutions producing citing papers in the Research Front |
|----------------------------------------------------------------------------------------|
| "Changes in land water reserves based on GRACE and GRACE-FO data"                      |

| Country<br>Ranking | Country     | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                                             | Affiliated<br>Country | _   | Proportion |
|--------------------|-------------|------------------|------------|------------------------|-------------------------------------------------------------------------|-----------------------|-----|------------|
| 1                  | China       | 557              | 41.5%      | 1                      | Chinese Academy of Sciences                                             | China                 | 206 | 15.4%      |
| 2                  | USA         | 498              | 37.1%      | 2                      | National Aeronautics & Space Administration<br>(NASA)                   | USA                   | 140 | 10.4%      |
| 3                  | Germany     | 204              | 15.2%      | 3                      | California Institute of Technology                                      | USA                   | 88  | 6.6%       |
| 4                  | UK          | 128              | 9.5%       | 4                      | Helmholtz Association                                                   | Germany               | 80  | 6.0%       |
| 5                  | Australia   | 107              | 8.0%       | 5                      | National Center for Scientific Research of<br>France (CNRS)             | France                | 77  | 5.7%       |
| 6                  | France      | 99               | 7.4%       | 6                      | Wuhan University                                                        | China                 | 65  | 4.8%       |
| 7                  | Canada      | 90               | 6.7%       | 7                      | University of Texas Austin                                              | USA                   | 61  | 4.5%       |
| 8                  | Netherlands | 88               | 6.6%       | 8                      | French National Research Institute for<br>Sustainable Development (IRD) | France                | 45  | 3.4%       |
| 9                  | India       | 64               | 4.8%       | 9                      | University of Toulouse                                                  | France                | 42  | 3.1%       |
| 10                 | Italy       | 53               | 3.9%       | 10                     | Beijing Normal University                                               | China                 | 39  | 2.9%       |



### 2. EMERGING RESEARCH FRONT

### 2.1 OVERVIEW OF EMERGING RESEARCH FRONTS IN GEOSCIENCES

"Global Impact of the Tonga volcanic eruption" was selected as the emerging Research Front in Geosciences for 2023.

**Table 18: Emerging Research Front in geosciences** 

| Rank | Emerging Research Front                      | Core Papers | Citations | Mean Year of<br>Core Papers |  |
|------|----------------------------------------------|-------------|-----------|-----------------------------|--|
| 1    | Global Impact of the Tonga volcanic eruption | 17          | 328       | 2022.0                      |  |

### 2.2 KEY EMERGING RESARCH FRONT - "Global Impact of the Tonga volcanic eruption"

On 14 January 2022, the submarine volcano Tonga-Hon'aha'apai Island Volcano (HTHH), located in the backarc region of the Tonga Subduction Zone in the South Pacific Ocean, produced a "volcanic explosivity index" (VEI) 6 eruption. The event released enormous amounts of energy, and most of the main body of the volcano fell into the ocean, triggering a global tsunami due to seawater transport and atmospheric resonance. This wave spread rapidly to the Pacific Rim coastal region within a matter of hours, leading to tsunami warnings for the region's atrisk countries/regions. Tsunami signals then reached the Atlantic, Indian, and Caribbean Oceans, and even in the Mediterranean Sea 18,000 km away from the source of the volcanic eruption, a low amplitude far-field tsunami occurred.

Atmospheric waves caused by sudden movements of the Earth's surface propagate into the upper atmosphere, eventually causing traveling ionospheric disturbances (TIDs). Such changes have been observed in the past in scenarios such as earthquakes, tsunamis, volcanic eruptions, and underground nuclear explosions. Satellite observations showed that the enormous energy released by the HTHH eruption threw debris high into the air, creating a volcanic plume up to 58km high that reached the middle layer of the atmosphere and was accompanied by 200,000 lightning flashes per hour. The global nature of the eruption was monitored by a variety of sensors—for example, barometers in Japan observed four transit disturbances of atmospheric pressure waves three days after the eruption. In addition, the explosion generated multi-band atmospheric resonances that propagated sufficiently to reach the ionosphere, causing detectable electron density fluctuations.

This emerging front has 17 core papers,

focusing on the atmospheric waves and global seismoacoustic observations of the HTHH eruption, as well as observations of the global propagation of tsunamis and ionospheric disturbances. The most-cited paper, "Atmospheric waves and global seismoacoustic observations of the January 2022 Hunga eruption, Tonga" was published in Science in July 2022, and as of this writing has received 110 citations. The research team reported that the atmospheric waves propagated for four passages around the Earth over six days. The paper observes and analyses the fluctuations and seismoacoustic observations of the Tonga eruption, revealing the contribution of atmospheric Lamb waves to the propagation of the tsunami. The paper also emphasizes that the Tonga eruption produced "an explosion in the atmosphere of a size that has not been documented in the modern geophysical record".

2023 RESEARCH FRONTS

# CLINICAL MEDICINE

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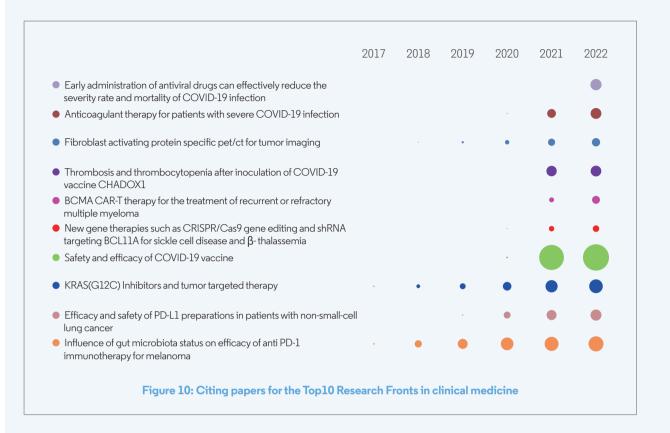
### **1. HOT RESEARCH FRONT**

### 1.1 TREND OF THE TOP 10 RESEARCH FRONTS IN CLINICAL MEDICINE

The Top10 Research Fronts in clinical medicine focus mainly on these subfields: immunotherapy; targeted therapy and molecular specific PET imaging of tumors; gene therapy for genetic diseases; and COVID-19-related drug treatment and vaccine evaluation. Tumor immunotherapy and targeted therapy have maintained a high profile over the years and are the core topics of hot research fronts this year. In addition, after years of development, the clinical application of gene therapy has emerged this year as a hot front for the first time, with other, related areas expected to distinguish themselves as hot fronts in the future. Meanwhile, with the COVID-19 epidemic coming to an end, research interest in clinical medicine regarding the 2019 novel coronavirus and its infection has decreased compared to the past two years, and the focus has shifted. Research over the previous two years primarily concerned the clinical manifestations, complications, and pathogenesis of COVID-19. Since last year, COVID-19 drug treatment and vaccine evaluation have become new hot fronts. This year, all four COVID-19 hot fronts focus on those aspects, representing a continuation of last year's trend.

| Rank | Hot Research Fronts                                                                                                          | Core<br>Papers | Citations | Mean Year of<br>Core Papers |
|------|------------------------------------------------------------------------------------------------------------------------------|----------------|-----------|-----------------------------|
| 1    | Early administration of antiviral drugs can effectively reduce the severity rate and mortality of COVID-19 infection         | 3              | 972       | 2021.3                      |
| 2    | Anticoagulant therapy for patients with severe COVID-19 infection                                                            | 12             | 2131      | 2021.1                      |
| 3    | Fibroblast activating protein specific pet/ct for tumor imaging                                                              | 32             | 2589      | 2021.0                      |
| 4    | Thrombosis and thrombocytopenia after inoculation of COVID-19 vaccine<br>CHADOX1                                             | 4              | 2482      | 2021.0                      |
| 5    | BCMA CAR-T therapy for the treatment of recurrent or refractory multiple myeloma                                             | 2              | 632       | 2021.0                      |
| 6    | New gene therapies such as CRISPR/Cas9 gene editing and shRNA targeting<br>BCL11A for sickle cell disease and β- thalassemia | 2              | 479       | 2021.0                      |
| 7    | Safety and efficacy of COVID-19 vaccine                                                                                      | 4              | 13014     | 2020.8                      |
| 8    | KRAS(G12C) Inhibitors and tumor targeted therapy                                                                             | 31             | 5665      | 2020.5                      |
| 9    | Efficacy and safety of PD-L1 preparations in patients with non-small-cell lung cancer                                        | 4              | 2090      | 2020.3                      |
| 10   | Influence of gut microbiota status on efficacy of anti PD-1<br>immunotherapy for melanoma                                    | 26             | 10137     | 2019.7                      |
|      |                                                                                                                              |                |           |                             |

### Table 19: Top10 Research Fronts in clinical medicine



## 1.2 KEY HOT RESEARCH FRONT – "New gene therapies such as CRISPR/Cas9 gene editing and shRNA targeting BCL11A for sickle cell disease and $\beta$ -thalassemia"

Sickle cell disease and  $\beta$ -thalassemia are the most common single-gene hereditary diseases worldwide. Both result from mutations in the hemoglobin  $\beta$  subunit (HBB), leading to abnormal hemoglobin and subsequently causing hemolytic anemia, which can be lifethreatening in severe cases. At present, although allogeneic bone-marrow transplantation can eradicate the two diseases in clinical practice, the procedure is costly, also presenting difficulty in the matching of donors with patients, and carrying the risk of complications. Only a few patients can be cured through this method, and most can only rely on conventional blood transfusion and other auxiliary

supportive therapies, which cannot effectively provide a cure. Therefore, gene therapy that corrects pathogenic gene expression at the molecular level has become a new direction of effective treatment for sickle cell disease and β-thalassemia.

The technical solution of gene therapy mainly includes gene replacement therapy, RNA silencing therapy (RNAi), and gene editing. Among these approaches, CRISPR-Cas9, as a new generation of gene-editing technology, has developed in just a few years into the most mainstream gene-editing system, due to its advantages of efficiency, simplicity, and low cost. The technology

won the Nobel Prize in Chemistry in 2020, triggering a new wave of research in gene therapy. Clinical findings suggest that a high level of erythrocyte fetal hemoglobin (HbF) expression may ameliorate the manifestations of sickle cell disease and  $\beta$ -thalassemia by mitigating abnormal hemoglobin polymerization and erythrocyte deformation. BCL11A is a transcription factor in adult erythrocytes that regresses γ-globin expression and HbF production in erythroid cells; therefore its downregulation induces HbF and becomes a promising target of gene therapy for these two diseases.

The key hot research front "New gene

therapies such as CRISPR/Cas9 gene editing and shRNA targeting BCL11A for sickle cell disease and  $\beta$ -thalassemia" includes two core papers, which were simultaneously published online in December 2020 in The New England Journal of Medicine. Both are clinical trials to treat sickle cell disease or betathalassemia by specifically downregulating the expression of BCL11A in erythroid cells, achieving  $\gamma$ -globin reactivation and inducing fetal hemoglobin production—but the research methods are different. The paper with a higher citation frequency is "CRISPR-Cas9 Gene Editing for Sickle Cell Disease and  $\beta$ -Thalassemia"(H. Frangoul, et al.), coauthored by researchers at the Sarah Cannon Cancer Institute (Nashville, Tennessee, USA) and the University of Regensburg, Germany. The work described in this report edits autologous CD34+ cells to reduce BCL11A protein expression in erythroid cells with CRISPR-Cas9 targeting the BCL11A erythroid-specific enhancer. It is the first peer-reviewed case of CRISPR gene-editing for the treatment of hereditary anemia worldwide.

The other NEJM paper, "Post Transcriptional Genetic Silencing of BCL11A to Treat Sickle Cell Disease" (E.G. Esrick, *et al.*) represents a collaboration by researchers based at the Harvard Medical School and Bluebird Biology (both in Cambridge, Massachusetts, USA). This research transduced autologous CD34+ cells with the BCH-BB694 lentivirus vector encoding a short hairpin RNA (shRNA) targeting BCL11A mRNA, allowing erythroid lineage-specific knockdown.

Both studies demonstrate the effectiveness of the gene-therapy strategy targeting BCL11A and the possibility of functional cure for sickle cell disease and  $\beta$ -thalassemia with CRISPR-Cas9 and shRNA technology. Although both studies represent successful cases of gene therapy transitioning from basic research to clinical application, more patients are needed to further validate

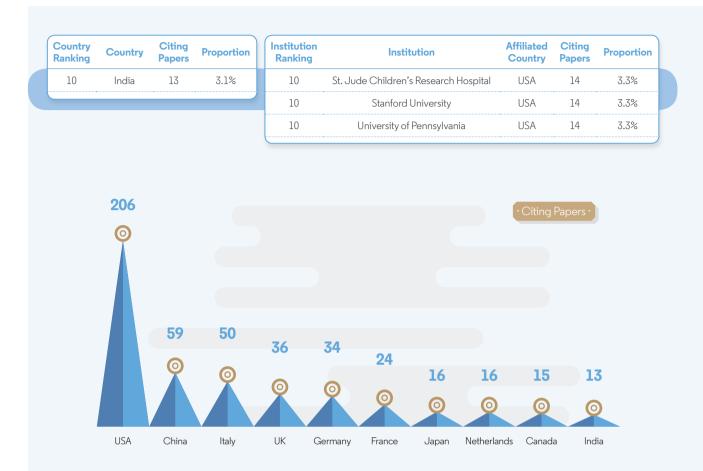
the effectiveness and safety of this approach.

As for the top countries producing core papers in this key hot front, the USA has contributed in both of the foundational papers, occupying an absolute advantage in gene-therapy clinical research. Other participating countries are mainly distributed in Europe and North America, with Italy, Germany, the UK, Greece, and Canada among the nations each contributing one core paper.

In terms of the citing papers, the USA contributes nearly half, far surpassing other countries, followed by China, Italy, the UK, and Germany. Among these countries, China ranks 2<sup>nd</sup> with 59 papers. Regarding the top institutions producing citing papers, nine are based in the USA. Harvard University takes the top spot, while two institutions are situated in France. The Chinese Academy of Sciences is the only Chinabased institution on the list.

Table 20: Top countries and institutions producing citing papers in the Research Front "New gene therapies such as CRISPR/Cas9 gene editing and shRNA targeting BCL11A for sickle cell disease and β- thalassemia"

| Country<br>Ranking | Country     | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                                   | Affiliated<br>Country | Citing<br>Papers | Proportion |
|--------------------|-------------|------------------|------------|------------------------|---------------------------------------------------------------|-----------------------|------------------|------------|
| 1                  | USA         | 206              | 49.3%      | 1                      | Harvard University                                            | USA                   | 41               | 9.8%       |
| 2                  | China       | 59               | 14.1%      | 2                      | National Institutes of Health (NIH)                           | USA                   | 31               | 7.4%       |
| 3                  | Italy       | 50               | 12.0%      | 3                      | Boston Children's Hospital                                    | USA                   | 19               | 4.5%       |
| 4                  | UK          | 36               | 8.6%       | 4                      | Dana Farber Cancer Center                                     | USA                   | 17               | 4.1%       |
| 5                  | Germany     | 34               | 8.1%       | 4                      | National Institute of Health and Medical<br>Research (INSERM) | France                | 17               | 4.1%       |
| 6                  | France      | 24               | 5.7%       | 6                      | University of Paris Cite                                      | France                | 16               | 3.8%       |
| 7                  | Japan       | 16               | 3.8%       | 7                      | Broad Institute                                               | USA                   | 15               | 3.6%       |
| 8                  | Netherlands | 16               | 3.8%       | 7                      | Chinese Academy of Sciences                                   | China                 | 15               | 3.6%       |
| 9                  | Canada      | 15               | 3.6%       | 7                      | Massachusetts Institute of Technology<br>(MIT)                | USA                   | 15               | 3.6%       |

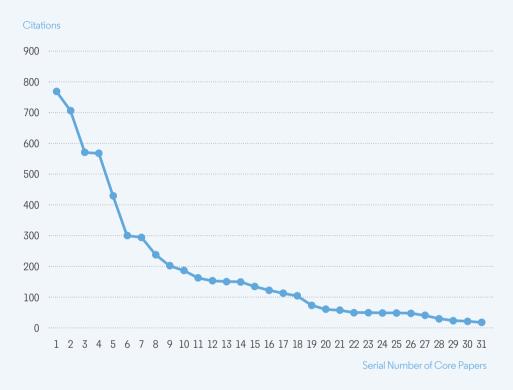


### 1.3 KEY HOT RESEARCH FRONT - "KRAS(G12C) Inhibitors and tumor targeted therapy"

KRAS is one of the most frequently mutated oncogenes in human tumors and plays an important role in regulating cell growth signaling pathways. Following KRAS mutation, the protein continues to activate, leading to uncontrolled cell growth and tumorigenesis. KRAS has been found to exist in a variety of mutational forms, of which G12C mutation has the highest incidence. KRAS (G12C) specifically refers to the mutation of glycine Gly at position 12 of KRAS to cysteine Cys. In recent years, significant breakthroughs have been made in the research and development of KRAS (G12C) inhibitors, finally breaking the long-standing

bottleneck of the non-druggability of KRAS protein. Multiple KRAS (G12C) inhibitors have entered clinical trials and shown good anti-tumor effects. Among these, Sotorasib (R&D code AMG510), developed by Amgen Inc., was first approved in the USA in 2021. However, during continuous clinical use, KRAS (G12C) inhibitors have shown obvious drug resistance, which limits their further role and challenges the development of a new generation of more effective KRAS inhibitors. Therefore, in-depth research on the drug-resistance mechanism and effective reduction of drug resistance is also an important direction in the development of KRAS inhibitors.

The key hot front of "KRAS (G12C) inhibitors and tumor targeted therapy" includes 31 core papers, focusing on anti-tumor mechanisms, clinical trials, research and development, and the drug resistance mechanisms of KRAS (G12C) inhibitors (such as sotorasib, adagrasib, ARS-1620). Other focal areas include RAS protein regulation mechanisms, RAS mutation frequency, and the prospects for RAS targeted therapy. More than 10 of these core papers are related to the first batch of approved KRAS (G12C) inhibitors: sotorasib and Adagrasib (R&D code MRTX849). Among the 24 nonreview articles, the two papers with the highest citation frequency respectively discuss anti-tumor immune mechanism (cited 699 times at this writing) and Phase I clinical trial treating advanced solid tumors with sotorasib (563 citations). These citations indicate that the drug has received widespread attention as the first approved KRAS (G12C) inhibitor and played a leading role in the industry.



### Figure 11: Citation frequency distribution curve of core papers in the Research Front "KRAS (G12C) inhibitors and tumor targeted therapy"

As for the top countries producing core papers in this key hot front: the USA has a contribution rate of 90.3%, far ahead of other countries, reflecting its dominant and leading position in this front. Most of the top institutions producing core papers are based in the USA. Harvard University, Memorial Sloan Kettering Cancer Center, and Mirati Medical Co. Ltd. are the top three, among which Mirati is the developer of Adaglasib. Also appearing on the list are two Australiabased institutions.

| Country<br>Ranking | Country   | Core<br>Papers | Proportion | Institution<br>Ranking | Institution                               | Affiliated<br>Country | Core<br>Papers | Proportion |
|--------------------|-----------|----------------|------------|------------------------|-------------------------------------------|-----------------------|----------------|------------|
| 1                  | USA       | 28             | 90.3%      | 1                      | Harvard University                        | USA                   | 8              | 25.8%      |
| 2                  | Japan     | 4              | 12.9%      | 1                      | Memorial Sloan-Kettering Cancer<br>Center | USA                   | 8              | 25.8%      |
| 2                  | Australia | 4              | 12.9%      | 3                      | Mirati Therapeut Inc.                     | USA                   | 7              | 22.6%      |
| 4                  | UK        | 3              | 9.7%       | 4                      | New York University                       | USA                   | 6              | 19.4%      |
| 4                  | Austria   | 3              | 9.7%       | 4                      | Sarah Cannon Research Institute           | USA                   | 6              | 19.4%      |
| 4                  | France    | 3              | 9.7%       | 6                      | Dana Farber Cancer Center                 | USA                   | 5              | 16.1%      |

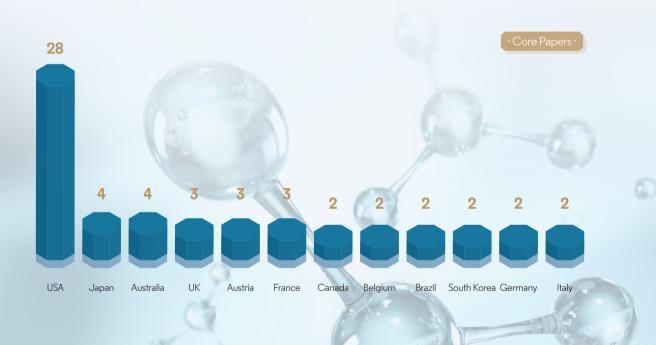
 Table 21: Top countries and institutions producing core papers in the Research Front

 "KRAS (G12C) inhibitors and tumor targeted therapy"

### RESEARCH FRONTS 2023 CLINICAL MEDICINE

| Country<br>Ranking | Country     | Core<br>Papers | Proportion |
|--------------------|-------------|----------------|------------|
| 7                  | Canada      | 2              | 6.5%       |
| 7                  | Belgium     | 2              | 6.5%       |
| 7                  | Brazil      | 2              | 6.5%       |
| 7                  | South Korea | 2              | 6.5%       |
| 7                  | Germany     | 2              | 6.5%       |
| 7                  | Italy       | 2              | 6.5%       |

| Institution<br>Ranking | Institution                            | Affiliated<br>Country | Core<br>Papers | Proportion |
|------------------------|----------------------------------------|-----------------------|----------------|------------|
| 6                      | Cornell University                     | USA                   | 5              | 16.1%      |
| 6                      | University of California San Francisco | USA                   | 5              | 16.1%      |
| 9                      | Amgen Inc.                             | USA                   | 4              | 12.9%      |
| 9                      | University of California Irvine        | USA                   | 4              | 12.9%      |
| 9                      | Queen Elizabeth Hospital               | Australia             | 4              | 12.9%      |
| 9                      | University of Adelaide                 | Australia             | 4              | 12.9%      |
| 9                      | Pfizer Inc.                            | USA                   | 4              | 12.9%      |

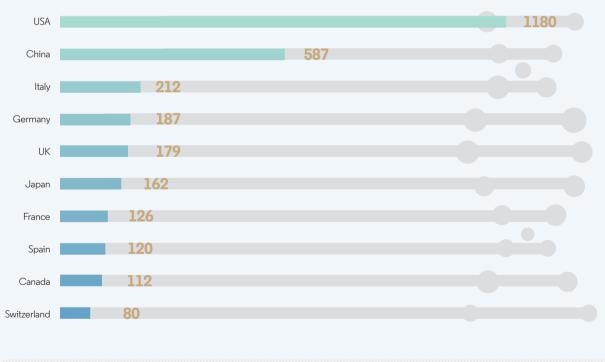


In terms of the papers that cite the core literature in this front, the USA contributes nearly half, reflecting its high level of attention to this area. China ranks  $2^{nd}$  with 587 articles, indicating that the

nation's research in this field is also quite active. The majority of Top10 institutions are based in the USA, with a few located in France, China, and Canada. The Chinese institutions on the list are the Chinese Academy of Sciences and Shanghai Jiaotong University, indicating that their relevant research has attained a notable scale.

| Country<br>Ranking | Country     | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                                   | Affiliated<br>Country | Citing<br>Papers | Proportion |
|--------------------|-------------|------------------|------------|------------------------|---------------------------------------------------------------|-----------------------|------------------|------------|
| 1                  | USA         | 1180             | 44.1%      | 1                      | Harvard University                                            | USA                   | 163              | 6.1%       |
| 2                  | China       | 587              | 21.9%      | 2                      | Dana Farber Cancer Center                                     | USA                   | 99               | 3.7%       |
| 3                  | ltaly       | 212              | 7.9%       | 3                      | University of California San Francisco                        | USA                   | 94               | 3.5%       |
| 4                  | Germany     | 187              | 7.0%       | 4                      | Memorial Sloan-Kettering Cancer Center                        | USA                   | 87               | 3.3%       |
| 5                  | UK          | 179              | 6.7%       | 5                      | National Institutes of Health (NIH)                           | USA                   | 80               | 3.0%       |
| 6                  | Japan       | 162              | 6.1%       | 6                      | National Institute of Health and Medical<br>Research (INSERM) | France                | 66               | 2.5%       |
| 7                  | France      | 126              | 4.7%       | 7                      | Chinese Academy of Sciences                                   | China                 | 60               | 2.2%       |
| 8                  | Spain       | 120              | 4.5%       | 8                      | University of Toronto                                         | Canada                | 59               | 2.2%       |
| 9                  | Canada      | 112              | 4.2%       | 9                      | Shanghai Jiao Tong University                                 | China                 | 53               | 2.0%       |
| 10                 | Switzerland | 80               | 3.0%       | 10                     | Cornell University                                            | USA                   | 51               | 1.9%       |

### Table 22: Top countries and institutions producing citing papers in the Research Front "KRAS (G12C) inhibitors and tumor targeted therapy"



• Citing Papers •

### 2. EMERGING RESEARCH FRONT

### 2.1 SUMMARY OF EMERGING RESEARCH FRONTS IN CLINICAL MEDICINE

Five emerging research fronts in clinical medicine are mainly related to the epidemiological study of monkeypox, melanoma immunotherapy, cardiovascular risk of Tofatinib treatment, combined treatment of prostate cancer, and the treatment of type 2 diabetes, as shown in Table 23. Based on the comprehensive analysis of CPT indicators (Please refer to the methodology section for details), development potential of the fronts, and the judgment of scientific and technological information researchers, "Ongoing monkeypox virus outbreak" is ultimately selected as the focal point of analysis.

| Table 23: | Emerging | Research | <b>Fronts in</b> | clinica | medicine |
|-----------|----------|----------|------------------|---------|----------|
|-----------|----------|----------|------------------|---------|----------|

| 10 784 2022.0     |
|-------------------|
| 2 286 2022.0      |
| is 4 188 2022.0   |
| HSPC 4 208 2021.8 |
| 14 688 2021.7     |
|                   |

### 2.2 KEY EMERGING RESEARCH FRONT - "Ongoing Monkeypox virus outbreak "

In the past, monkeypox had been endemic in the regions of West and Central Africa. Since the first case of monkeypox was reported in the UK in May 2022, multiple countries/regions in Europe and the USA have successively experienced atypical outbreaks of the infection, attracting worldwide attention. On July 23, 2022, the World Health Organization issued the highestlevel alert regarding the rapid spread of monkeypox, announcing that the outbreaks occurring in multiple countries and regions worldwide constitutes an "international public health emergency of concern".

The emerging front "Ongoing monkeypox virus outbreak" includes 10 core papers, mainly focusing on case reports, including epidemiological investigations, clinical manifestations, and virus testing. Among them, five papers published in *Eurosurveillance* respectively reported monkeypox cases with genital rash symptoms after returning to Melbourne, Australia from Europe; the interpersonal transmission of monkeypox in the UK from April to May 2022; DNA testing of monkeypox virus in clinical samples from 12 patients in Spain from May to June 2022; epidemiological investigation of confirmed cases of monkeypox in Portugal from April 29 to May 23 2022; and epidemiological, clinical, and virological analysis of monkeypox transmitted through sexual contact in Italy. In addition, a paper published in The New England Journal of Medicine analyzed 528 infected individuals from 43 locations within 16

countries/regions and found that sexual contact is the main transmission route of monkeypox. Despite its various clinical manifestations, the mortality rate of monkeypox virus is relatively low.

Studies have shown that gay men, bisexuals, and other men who have sex with men (especially those with symptoms such as blisters or pustular rash) are currently at high risk of contracting monkeypox virus. Rash, mucosal lesion, fever, drowsiness, and lymph node lesions are common clinical manifestations. A few patients may also experience rectal pain and penile edema. Increasing the ability of health professionals to rapidly and accurately identify and diagnose monkeypox infections is an effective way to curb the spread of an outbreak.

2023 RESEARCH FRONTS

**2023 RESEARCH FRONTS** 

## BIOLOGICAL SCIENCES



### **1. HOT RESEARCH FRONT**

### 1.1 TREND OF THE TOP 10 RESEARCH FRONTS IN BIOLOGICAL SCIENCES

The Top 10 Research Fronts in biological sciences include Prime Editing technology, new sequencing technology, protein structure prediction using artificial intelligence (AI), pancancer analysis of whole genomes, blood biomarkers of Alzheimer's disease, biological functions of exosomes, SARS-CoV-2 infection, and other research directions.

Gene-editing technology has been a popular topic at the forefront of biological sciences research for several years. In recent years, this technology has made significant progress and has been selected among the hot Research Fronts in many consecutive annual surveys. The Prime Editing technology selected this year has taken gene editing to a new level. Meanwhile, new sequencing technologies have been continuously updated and improved in recent years, and single-cell RNA sequencing technology broke through as an emerging front in biological sciences in 2020.

In 2023, novel sequencing technologies and auxiliary methods—such as thirdgeneration sequencing technology, spatial transcriptome technology, longread, and cell communication analysis technology with the characteristics of long-read—have now made the list of hot fronts. Al prediction of protein structure (e.g., the AlphaFold program, from DeepMind) became a key emerging front in biological sciences in 2022 and has now developed into a hot front. This major technological leap will accelerate the development of new drugs, promote basic scientific research, and lead a new revolution in biology. After being selected as an emerging front in 2021, pan-cancer analysis of whole genomes has become a hot front in 2023.

Among the fronts listed in Table 24, research on Alzheimer's focuses on the discovery of new blood biomarkers for early disease. Research on the biological function of exosomes has been selected as a hot Research Front for the first time. In addition, two fronts related to SARS-CoV-2 are "Neutralizing antibody level predicts the immune protective effect of COVID-19 vaccine" and "Genetic mechanism of COVID-19 critical disease".

| Rank | Hot Research Fronts                                                                                              | Core<br>Papers | Citations | Mean Year of<br>Core Papers |
|------|------------------------------------------------------------------------------------------------------------------|----------------|-----------|-----------------------------|
| 1    | Neutralizing antibody level predicts the immune protective efficacy of COVID-19 vaccine                          | 5              | 2406      | 2021.4                      |
| 2    | Artificial intelligence such as AlphaFold predicts protein structure                                             | 4              | 6106      | 2021.0                      |
| 3    | Blood biomarkers of Alzheimer's disease                                                                          | 25             | 2974      | 2020.5                      |
| 4    | Space transcriptomics technology                                                                                 | 30             | 4662      | 2020.4                      |
| 5    | Genetic mechanism of COVID-19 critical disease                                                                   | 3              | 1712      | 2020.3                      |
| 6    | Cellular communication analysis technology                                                                       | 4              | 1261      | 2020.0                      |
| 7    | Pan-cancer analysis of whole genomes                                                                             | 8              | 3280      | 2019.6                      |
| 8    | Prime Editing techniques                                                                                         | 44             | 11305     | 2019.4                      |
| 9    | Analysis of structural variations in the human genome using the third generation long-read sequencing technology | 11             | 8878      | 2018.9                      |
| 10   | The Biological Function of Exosomes                                                                              | 5              | 11095     | 2018.8                      |

#### Table 24: Top10 Research Fronts in biological sciences

|                                                                                                                    | 2017     | 2018      | 2019      | 2020       | 2021 | 2022 |
|--------------------------------------------------------------------------------------------------------------------|----------|-----------|-----------|------------|------|------|
| <ul> <li>Neutralizing antibody level predicts the immune protective<br/>officer up (COVID 10 up raises)</li> </ul> |          |           |           |            | •    |      |
| efficacy of COVID-19 vaccine<br>Artificial intelligence such as AlphaFold predicts protein structure               |          |           |           |            | •    |      |
| Blood biomarkers of Alzheimer's disease                                                                            |          | 9         | •         | •          | •    |      |
| Space transcriptomics technology                                                                                   |          | •         | ٠         | •          | •    |      |
| Genetic mechanism of COVID-19 critical disease                                                                     |          |           |           | •          | •    | •    |
| Cellular communication analysis technology                                                                         |          |           |           | •          | •    |      |
| Pan-cancer analysis of whole genomes                                                                               |          |           | •         | •          |      |      |
| Prime Editing techniques                                                                                           | •        | •         | •         |            |      |      |
| • Analysis of structural variations in the human genome using the third generation long-read sequencing technology | •        | •         | •         |            |      |      |
| The Biological Function of Exosomes                                                                                | •        | •         |           |            |      |      |
|                                                                                                                    |          |           |           |            |      |      |
| Figure 12: Citing papers for the Top 10                                                                            | Research | Fronts in | biologica | Il science | S    |      |

### 1.2 KEY HOT RESEARCH FRONT – "Spatial transcriptomics technology"

Spatial transcriptomics is a technique that analyzes and describes the expression profiles of specific cell types at a spatial level to understand the differences in expression between organs, tissue, and pathological states. Spatial transcriptomics sequencing technology has become another hot topic in biotechnological research, following single-cell sequencing technology. In 2020 and 2022, it was rated as one of the seven noteworthy technologies of the year by the journal Nature Methods. On June 26, 2023, the World Economic Forum released the "Top 10 Emerging Technologies Report of 2023", and spatial transcriptomics technology was selected as one of the emergent technologies with the greatest potential

to have a significant global impact. The era of spatial transcriptomics has arrived, and spatial genomics has opened a new chapter in biomedicine, referred to as the "next big thing" in life sciences.

The hot front on "spatial transcriptomics technology" includes 30 core papers, most of which focus on the proposal of new technologies, including in situ sequencing technology (ISS), which includes STARmap and ExSeq; In situ hybridization (ISH) techniques, including smFISH, seqFISH+, MERFISH, osmFISH; and in situ capture technologies, such as Slide Seq, HDST, Stereo seq, DBiT seq, Seq Scope, and others. Among these core documents, a paper published in *Science* in 2019, "Slide seq: A scalable technology for measuring genome wide expression at high spatial resolution" has achieved the highest citation count, now exceeding 630. Slide seq technology utilizes gene sequencing to draw detailed three-dimensional tissue maps without the need for specialized imaging equipment. It can reveal not only which cell types exist in the tissue, but also their spatial location and function.

Since spatial transcriptomics data contains multiple levels of information, it must be analyzed using a combination of histological experience, bioinformatics tools, and algorithms. In terms of analytical methods, four core papers have proposed clustering methods for spatial transcriptomics data samples, such as SpaGCN and BayeSpace. Another six core papers have reported the development of methods and algorithms for joint analysis of single cell transcriptome and spatial transcriptome (including Giotto, RCTD, MIA, SPOTlight, and Cell2location), which perform deconvolution analysis to improve the resolution of the spatial transcriptome. Moreover, a core paper proposes a new statistical-analysis method, SPARK, that can be used to analyze transcriptome spatial expression patterns.

In addition, AI can help identify tissue substructures from spatially resolved transcriptomics. A core paper published by researchers at the Chinese Academy of Sciences has developed a map attention automatic encoder framework, STAGATE, which integrates spatial information and gene expression profiles to learn low-dimensional embedded parts, thereby accurately identifying the spatial domain.

At present, spatial transcriptomics technology has been widely applied in various fields such as development, neuroscience, and oncology. Several core papers in this Research Front introduce the achievements of spatial transcriptomics technology in physiological, developmental, and disease-mechanism research. For

example, the tumor microenvironment of skin squamous cell carcinoma has been mapped using spatial transcriptomics technology, and has also been used to elucidate the relationship between cancer cells in different states. Spatial transcriptomics technology when combined with conventional single-cell sequencing technology, in situ technology, and other omics technologies—can enable the study of cellular heterogeneity and provide spatial localization within tissue. This offers a more precise research direction for disease studies and is of great significance for in-depth understanding of disease pathogenesis and targeted treatment.

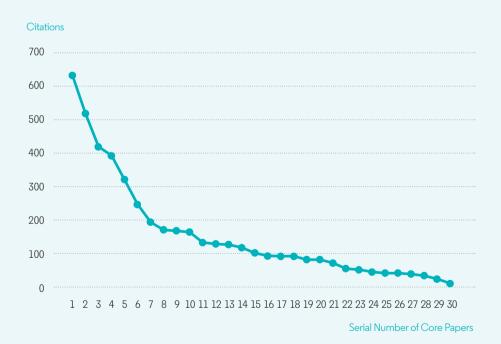


Figure 13: Citation frequency distribution curve of core papers in the Research Front "Spatial transcriptomics technology"

### RESEARCH FRONTS 2023 BIOLOGICAL SCIENCES

From the perspective of the countries and institutions that produce core papers, the USA has contributed 83.3% of the core, putting it in a leading position. China and Sweden are tied for second place. Seven top-output institutions are based in the USA, with Harvard University having contributed the most core papers, with a total of 11, accounting for more than one-third of the total. The other three institutions are the Royal Swedish Institute of Technology, the Karolinska Institute, and the Helmholtz Federation in Germany (Table 25).

### Table 25: Top countries and institutions producing core papers in the Research Front "Spatial transcriptomics technology"

| Country<br>Ranking | Country   | Core<br>Papers | Proportion |  |
|--------------------|-----------|----------------|------------|--|
| 1                  | USA       | 25             | 83.3%      |  |
| 2                  | China     | 5              | 16.7%      |  |
| 2                  | Sweden    | 5              | 16.7%      |  |
| 4                  | Israel    | 3              | 10.0%      |  |
| 4                  | Germany   | 3              | 10.0%      |  |
| 4                  | UK        | 3              | 10.0%      |  |
| 7                  | Spain     | 2              | 6.7%       |  |
| 8                  | Denmark   | 1              | 3.3%       |  |
| 8                  | Russia    | 1              | 3.3%       |  |
| 8                  | France    | 1              | 3.3%       |  |
| 8                  | Japan     | 1              | 3.3%       |  |
| 8                  | Australia | 1              | 3.3%       |  |
| l                  |           |                |            |  |

| Institution<br>Ranking | Institution                                    | Affiliated<br>Country | Core<br>Papers | Proportion |  |
|------------------------|------------------------------------------------|-----------------------|----------------|------------|--|
| 1                      | Harvard University                             | USA                   | 11             | 36.7%      |  |
| 2                      | Massachusetts Institute of<br>Technology (MIT) | USA                   | 9              | 30.0%      |  |
| 3                      | Broad Institute                                | USA                   | 8              | 26.7%      |  |
| 4                      | Howard Hughes Medical Institute                | USA                   | 7              | 23.3%      |  |
| 5                      | Stanford University                            | USA                   | 4              | 13.3%      |  |
| 5                      | KTH Royal Institute of Technology              | Sweden                | 4              | 13.3%      |  |
| 5                      | Dana Farber Cancer Center                      | USA                   | 4              | 13.3%      |  |
| 8                      | California Institute of Technology             | USA                   | 3              | 10.0%      |  |
| 8                      | Helmholtz Association                          | Germany               | 3              | 10.0%      |  |
| 8                      | Karolinska Institutet                          | Sweden                | 3              | 10.0%      |  |
|                        |                                                |                       |                |            |  |

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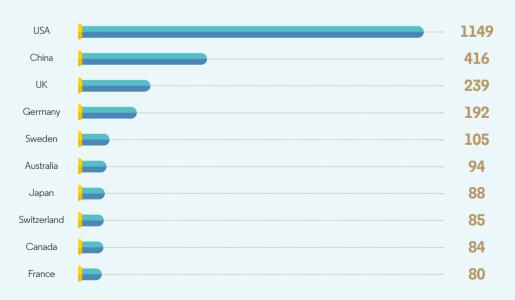
| 25  | 5     | 5      | 3      | 3       | 3  | 2     | 1       | 1      | 1      | 1     | 1         |
|-----|-------|--------|--------|---------|----|-------|---------|--------|--------|-------|-----------|
|     |       |        |        |         |    |       |         |        |        |       |           |
| USA | China | Sweden | Israel | Germany | UK | Spain | Denmark | Russia | France | Japan | Australia |

As for the distribution of citing papers (Table 26), the USA is the most active country, participating in 1,149 citing papers, accounting for over half the total. Next is China, actively following up on this research direction and participating in 416 citing papers. Among the Top 10 institutions producing citing papers, seven are based in the USA, including the top five positions. The Chinese Academy of Sciences ranks 6<sup>th</sup> with 86 citing papers.

### Table 26: Top countries and institutions producing citing papers in the Research Front "Spatial transcriptomics technology"

| Country<br>Ranking | Country     | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                    | Affiliated<br>Country | Citing<br>Papers | Proportion |
|--------------------|-------------|------------------|------------|------------------------|------------------------------------------------|-----------------------|------------------|------------|
| 1                  | USA         | 1149             | 53.1%      | 1                      | Harvard University                             | USA                   | 250              | 11.6%      |
| 2                  | China       | 416              | 19.2%      | 2                      | Massachusetts Institute of<br>Technology (MIT) | USA                   | 138              | 6.4%       |
| 3                  | UK          | 239              | 11.0%      | 3                      | Stanford University                            | USA                   | 110              | 5.1%       |
| 4                  | Germany     | 192              | 8.9%       | 4                      | Broad Institute                                | USA                   | 107              | 4.9%       |
| 5                  | Sweden      | 105              | 4.9%       | 5                      | Howard Hughes Medical Institute                | USA                   | 98               | 4.5%       |
| 6                  | Australia   | 94               | 4.3%       | 6                      | Chinese Academy of Sciences                    | China                 | 86               | 4.0%       |
| 7                  | Japan       | 88               | 4.1%       | 7                      | Helmholtz Association                          | Germany               | 69               | 3.2%       |
| 8                  | Switzerland | 85               | 3.9%       | 8                      | University of Cambridge                        | UK                    | 63               | 2.9%       |
| 9                  | Canada      | 84               | 3.9%       | 9                      | Karolinska Institutet                          | Sweden                | 59               | 2.7%       |
| 10                 | France      | 80               | 3.7%       | 10                     | Johns Hopkins University                       | USA                   | 56               | 2.6%       |
|                    |             |                  |            | 10                     | National Institutes of Health (NIH)            | USA                   | 56               | 2.6%       |

Citing Papers ·



### 1.3 KEY HOT RESARCH FRONT – "Analysis of structural variations in the human genome using the third generation long-read sequencing technology"

DNA-sequencing technology marked by non-amplified single molecule sequencing and long-read length is called third-generation sequencing. Because DNA molecules do not require PCR amplification during sequencing, individual sequencing of each DNA molecule is achieved; this process is also known as single molecule sequencing. At present, the commercially available long-read sequencing platforms mainly include Oxford Nanopore's Nanopore sequencing platform, and the Single Molecule Real Time (SMRT) sequencing platform, developed by Pacific Biosciences (PacBio) in the USA. Third-generation long-read sequencing has demonstrated its facility in the detection of structural variations and is gradually progressing towards the study of structural variations on a population scale, continuously fueling the hotspots in genomic genetic variation research.

Compared to second-generation sequencing, the third-generation sequencing platform has increased the read length by 10,000 times, but has higher requirements for error rates, costs, and sample. Scientists have gradually developed new algorithms, software, databases, and other supporting tools and technologies. Six of the 11 core papers in this Research Front involve the development of new third-generation long-read sequencing tools and technologies. Minimap2 is a comparison tool developed for third-generation data, published in Bioinformatics in 2019 by Heng Li of the Broad Institute, Cambridge, Massachusetts, USA, and colleagues. The article has been cited 2,681 times at this writing and has the highest citation influence in this Research Front.

Other instances of new technology include de novo assembly analysis tools, which compare assembled genomes with reference genes to detect structural variations. Canu is a third-generation data assembly tool written by researchers at the US National Human Genome Research Institute in JAVA language, specifically designed to assemble thirdgeneration sequencing data (applicable to PacBio or Oxford Nanopore sequences). The related paper was published in *Genome Research* in 2017, with 2,616 citations to date. In addition, the core papers also introduce tools such as Racon, Flye, and Wtdbg2 to optimize assembly results. Among these tools, Wtdbg2 runs about 10 times faster than software such as Canu, also improving the analysis speed by 5 times compared to Flye.

The core papers also discusses the application of population-scale thirdgeneration long-read sequencing in the detection of structural variations in the human genome, with the following objectives: 1) Discover new structural variations; 2) Assist in establishing the gold standard for structural variation; 3) Obtain large-scale sequencing data to reveal the role of structural variation in human phenotype; 4) Explore the characteristics of structural variation groups and explore the environmental adaptability of human populations.

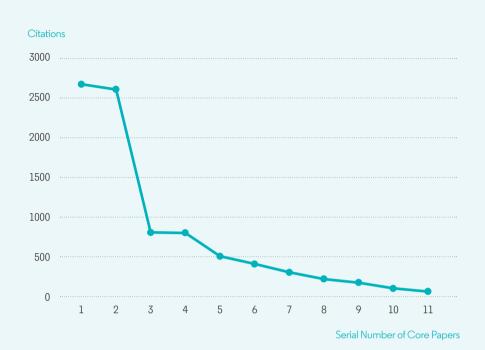


Figure 14: Citation frequency distribution curve of core papers in the Research Front "Analysis of structural variations in the human genome using the third-generation long-read sequencing technology"

From the distribution of core papers, the USA contributes 90.9% of this front's foundational literature, displaying an absolute advantage in this Research

Front. Among the top-producing institutions, all nine with more than two core papers are based in the USA. The Broad Institute, Massachusetts Institute of Technology, and Harvard University all participated in publishing six core papers, sharing the #1 ranking.

| Country<br>Ranking | Country     | Core<br>Papers | Proportion | Institution<br>Ranking | Institution                                    | Affiliated<br>Country | Core<br>Papers | Proportion |
|--------------------|-------------|----------------|------------|------------------------|------------------------------------------------|-----------------------|----------------|------------|
| 1                  | USA         | 10             | 90.9%      | 1                      | Broad Institute                                | USA                   | 6              | 54.5%      |
| 2                  | China       | 3              | 27.3%      | 1                      | Massachusetts Institute of<br>Technology (MIT) | USA                   | 6              | 54.5%      |
| 2                  | Australia   | 3              | 27.3%      | 1                      | Harvard University                             | USA                   | 6              | 54.5%      |
| 2                  | Germany     | 3              | 27.3%      | 4                      | University of Southern California              | USA                   | 3              | 27.3%      |
| 5                  | UK          | 2              | 18.2%      | 4                      | Howard Hughes Medical Institute                | USA                   | 3              | 27.3%      |
| 5                  | South Korea | 2              | 18.2%      | 4                      | University of Michigan                         | USA                   | 3              | 27.3%      |
| 5                  | Canada      | 2              | 18.2%      | 4                      | University of Washington                       | USA                   | 3              | 27.3%      |
| 8                  | Austria     | 1              | 9.1%       | 4                      | Johns Hopkins University                       | USA                   | 3              | 27.3%      |
| 8                  | Malaysia    | 1              | 9.1%       | 4                      | Washington University in St. Louis             | USA                   | 3              | 27.3%      |

 Table 27: Top countries and institutions producing core papers in the Research Front "Analysis of structural variations in the human genome using the third generation long-read sequencing technology"

### RESEARCH FRONTS 2023 BIOLOGICAL SCIENCES

| Country<br>Ranking | Country                    | Core<br>Papers                                                   | Proportion                                       |                                                                                                                                                                                                                                                         | Institution<br>Ranking                                                                                                                                                                                                                                                                                       | Institution<br>Ranking Institution                                                                                                                                                                                                                                                                           | Institution Institution Affiliated Ranking Country                                                                                                                                                                | Institution Institution Affiliated Core<br>Ranking Country Papers                                                                                                                                      |
|--------------------|----------------------------|------------------------------------------------------------------|--------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 8                  | Netherlands                | 1                                                                | 9.1%                                             |                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                                                                                                                                                                        |
| 8                  | Croatia                    | 1                                                                | 9.1%                                             |                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                                                                                                                                                                        |
| 8                  | Turkey                     | 1                                                                | 9.1%                                             |                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                                                                                                                                                                        |
| 8                  | Singapore                  | 1                                                                | 9.1%                                             |                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                                                                                                                                                                        |
| 8                  | Spain                      | 1                                                                | 9.1%                                             |                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                                                                                                                                                                        |
|                    | 8<br>8<br>8<br>8<br>8<br>8 | 8 Netherlands<br>8 Croatia<br>8 Turkey<br>8 Singapore<br>8 Spain | 8Netherlands18Croatia18Turkey18Singapore18Spain1 | 8         Netherlands         1         9.1%           8         Croatia         1         9.1%           8         Turkey         1         9.1%           8         Singapore         1         9.1%           8         Spain         1         9.1% | 8         Netherlands         1         9.1%           8         Croatia         1         9.1%           8         Turkey         1         9.1%           8         Singapore         1         9.1%           8         Singapore         1         9.1%           8         Spain         1         9.1% | 8         Netherlands         1         9.1%           8         Croatia         1         9.1%           8         Turkey         1         9.1%           8         Singapore         1         9.1%           8         Singapore         1         9.1%           8         Spain         1         9.1% | 8       Netherlands       1       9.1%         8       Croatia       1       9.1%         8       Turkey       1       9.1%         8       Singapore       1       9.1%         8       Spain       1       9.1% | 8         Netherlands         1         9.1%           8         Croatia         1         9.1%           8         Turkey         1         9.1%           8         Singapore         1         9.1% |



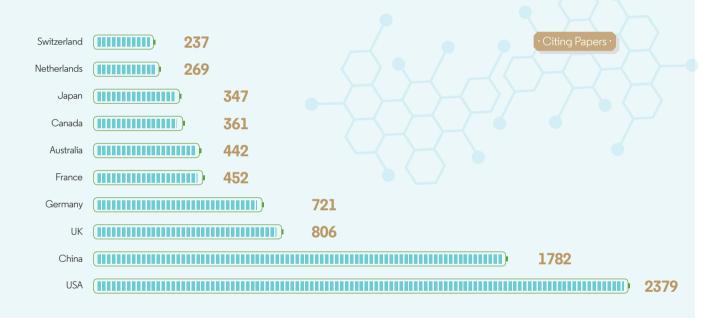
In terms of countries that cite the front's core papers, the USA and China are the two most active countries, contributing 2,379 and 1,782 citing papers, respectively (Table 28). Among the Top

10 institutions, five are in the USA, while China and France both account for two, and Germany is home to one. The institution with the largest number of citing papers is the Chinese Academy of Sciences, which has published 362 papers following up in this front. In addition, the Chinese Academy of Agricultural Sciences ranks 5<sup>th</sup> and has published a total of 197 citing papers.

 Table 28: Top countries and institutions producing citing papers in the Research Front "Analysis of structural variations in the human genome using the third generation long-read sequencing technology"

| Country<br>Ranking | Country | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                                 | Affiliated<br>Country | Citing<br>Papers | Proportion |
|--------------------|---------|------------------|------------|------------------------|-------------------------------------------------------------|-----------------------|------------------|------------|
| 1                  | USA     | 2379             | 37.7%      | 1                      | Chinese Academy of Sciences                                 | China                 | 362              | 5.7%       |
| 2                  | China   | 1782             | 28.2%      | 2                      | National Center for Scientific<br>Research of France (CNRS) | France                | 261              | 4.1%       |
|                    |         |                  |            |                        |                                                             |                       |                  |            |

| Country<br>Ranking | Country     | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                                          | Affiliated<br>Country | Citing<br>Papers | Proportion |
|--------------------|-------------|------------------|------------|------------------------|----------------------------------------------------------------------|-----------------------|------------------|------------|
| 3                  | UK          | 806              | 12.8%      | 3                      | United States Department of<br>Agriculture (USDA)                    | USA                   | 213              | 3.4%       |
| 4                  | Germany     | 721              | 11.4%      | 4                      | Harvard University                                                   | USA                   | 212              | 3.4%       |
| 5                  | France      | 452              | 7.2%       | 5                      | Chinese Academy of Agricultural<br>Sciences                          | China                 | 197              | 3.1%       |
| 6                  | Australia   | 442              | 7.0%       | 6                      | National Institutes of Health (NIH)                                  | USA                   | 153              | 2.4%       |
| 7                  | Canada      | 361              | 5.7%       | 7                      | Max Planck Society                                                   | Germany               | 141              | 2.2%       |
| 8                  | Japan       | 347              | 5.5%       | 8                      | Cornell University                                                   | USA                   | 138              | 2.2%       |
| 9                  | Netherlands | 269              | 4.3%       | 9                      | National Research Institute for<br>Agriculture, Food and Environment | France                | 134              | 2.1%       |
| 10                 | Switzerland | 237              | 3.8%       | 10                     | Johns Hopkins University                                             | USA                   | 128              | 2.0%       |



### 2. EMERGING RESEARCH FRONT

### 2.1 OVERVIEW OF EMERGING RESEARCH FRONTS IN BIOLOGICAL SCIENCES

Four studies in biological sciences have been selected as emerging fronts, with the main research topics including "Epstein Barrvirus (EBV) is the main cause of multiple sclerosis", "The complete sequence of the human genome", "Cuproptosis: mechanism of copper induced tumor cell death", and "Tryptophan metabolism: a new target for disease treatment". Based on the comprehensive analysis of CPT indicators (Please refer to the methodology section for details)., the development potential of these Research Fronts, and the judgment of scientific and technological information researchers, the front "Cuproptosis: mechanism of copper induced tumor cell death" was ultimately selected for key analysis.

#### RESEARCH FRONTS 2023 BIOLOGICAL SCIENCES

| Rank | Emerging Research Fronts                                         | Core<br>papers | Citations | Mean Year of<br>Core Papers |  |
|------|------------------------------------------------------------------|----------------|-----------|-----------------------------|--|
| 1    | Epstein Barr virus (EBV) is the main cause of multiple sclerosis | 2              | 334       | 2022.0                      |  |
| 2    | The complete sequence of the human genome                        | 10             | 561       | 2021.7                      |  |
| 3    | Cuproptosis: mechanism of copper induced tumor cell death        | 14             | 908       | 2021.6                      |  |
| 4    | Tryptophan metabolism: a new target for disease treatment        | 12             | 404       | 2021.6                      |  |

### Table 29: Emerging Research Fronts in biological sciences

## 2.2 KEY EMERGING RESARCH FRONT – "Cuproptosis: mechanism of copper induced tumor cell death"

Copper is an essential mineral nutrient for all living organisms and a fundamental element in many biological processes, including mitochondrial respiration, iron absorption, antioxidant, and detoxification processes. Recent reports have noted that copper also has a signaling effect, which can regulate or trigger several biological pathways under external stimuli. Evidence has also suggested that copper may play a role in the etiology, occurrence, development, severity, and progression of cancer. Currently, significant changes in copper levels have been found in the serum and tumor tissues of patients afflicted with various cancers. Therefore, the study of copper is of great significance, and may also become a potential target for inhibiting cancer occurrence.

In March 2022, a research paper titled "Copper induced cell death by targeting lipoylated TCA cycle proteins" was published in Science, reporting the discovery that cuproptosis is a new form of cell death caused by excessive copper. In just one year, the paper has been cited 359 times as of this writing. Cuproptosis is a novel cell-death mode that differs from the currently known mechanism of cell death. Intracellular copper stimulates the thioacylation aggregation process of mitochondrial-related proteins, promotes the degradation of iron sulfur cluster proteins, leads to protein toxicity stress, and ultimately concludes in cell death. This major discovery undoubtedly provides a new perspective for the treatment of copper metabolism imbalance, especially in the treatment of diseases related to copper overload.

In addition, multiple core papers in this emerging front have analyzed the molecular changes and clinical relevance of cuproptosis related genes (CRGs) in cancers such as melanoma, renal cell carcinoma, and hepatocellular carcinoma, exploring the potential mechanisms of cuproptosis in the development of related diseases.

The discovery of a new mechanism of cuproptosis has also paved the way for future drug development targeting copper as a therapeutic approach. Further research on cuproptosis related regulatory pathways under different pathological backgrounds holds significant value and transformative significance in the clinical treatment of related diseases.

2023 RESEARCH FRONTS

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2023 RESEARCH FRONTS

## CHEMISTRY AND MATERIALS SCIENCE

### **1. HOT RESEARCH FRONT**

### 1.1 TREND OF THE TOP 10 RESEARCH FRONTS IN CHEMISTRY AND MATERIALS SCIENCE

The Top 10 Research Fronts in chemistry and materials science in 2023 spotlight specialty areas as diverse as electrochemistry, nanomaterials, organic chemistry, and emerging fields. In the field of electrochemistry, hot fronts have been identified in electrocatalysts for seawater electrolysis, electrocatalytic nitrate reduction, anion exchange membrane fuel cells, and electrocatalytic hydrogen peroxide production. Highentropy alloy catalysts, quantum dot lightemitting diodes, and two-dimensional transistors have been chosen as hot fronts in the field of nanomaterials. This chapter also emphasizes two Research Fronts in the field of organic chemistry one involving artificial molecular machines and the other supramolecular adhesives. Notably, representatives of an emerging cross-disciplinary research direction—mechanochemistry, and the related specialty of artificial molecular machines-have distinguished themselves by appearing among the Top 10 Research Fronts for the second year in a row.

| Rank | Hot Research Fronts                           | Core<br>Papers | Citations | Mean Year of<br>Core Papers |
|------|-----------------------------------------------|----------------|-----------|-----------------------------|
| 1    | Electrocatalysts for seawater electrolysis    | 11             | 2003      | 2020.0                      |
| 2    | High-entropy alloy catalysts                  | 13             | 2119      | 2019.9                      |
| 3    | Electrocatalytic nitrate reduction            | 13             | 2673      | 2019.8                      |
| 4    | Quantum dot light-emitting diodes             | 13             | 2391      | 2019.7                      |
| 5    | Mechanochemistry                              | 16             | 3095      | 2019.6                      |
| 6    | Anion exchange membrane fuel cells            | 15             | 3096      | 2019.5                      |
| 7    | Two-dimensional transistors                   | 10             | 2692      | 2019.5                      |
| 8    | Electrocatalytic hydrogen peroxide production | 39             | 7728      | 2019.4                      |
| 9    | Artificial molecular machines                 | 17             | 3240      | 2019.4                      |
| 10   | Supramolecular adhesives                      | 13             | 2987      | 2019.4                      |

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### Table 30 Top10 Research Fronts in chemistry and materials science

|                                                       | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 |
|-------------------------------------------------------|------|------|------|------|------|------|
| Electrocatalysts for seawater electrolysis            |      |      |      | •    |      |      |
| High-entropy alloy catalysts                          |      | •    | •    | •    |      |      |
| Electrocatalytic nitrate reduction                    |      | •    | •    | •    |      |      |
| <ul> <li>Quantum dot light-emitting diodes</li> </ul> | •    | •    | •    |      |      |      |
| Mechanochemistry                                      | •    | •    | •    | •    |      |      |
| Anion exchange membrane fuel cells                    |      | •    |      |      |      |      |
| Two-dimensional transistors                           | •    | •    | •    |      |      |      |
| Electrocatalytic hydrogen peroxide production         |      | •    | •    |      |      |      |
| Artificial molecular machines                         | •    | •    |      |      |      |      |
| <ul> <li>Supramolecular adhesives</li> </ul>          |      | •    | •    |      |      |      |

### 1.2 KEY HOT RESEARCH FRONT - "Electrocatalysts for seawater electrolysis"

Given the natural abundance of seawater and the low cost of working with it, seawater electrolysis is not only a promising approach to producing clean hydrogen energy, but also of great significance to seawater desalination. However, the undesirable chlorine ion oxidation reactions occurring simultaneously during the process greatly hinder the overall performance of seawater electrolysis. Therefore, the implementation of the process requires efficient and robust electrocatalysts that can sustain oxygen evolution reactions (OER) against chloride corrosion, especially for the anode.

The 11 highly cited papers constituting the core of this Research Front address electrocatalysts for OER or hydrogen evolution reactions (HER), with a focus on the former. Electrocatalysts with various combinations of active elements such as Ni, Fe, and Co have been developed and can afford superior catalytic activity and corrosion resistance in alkaline seawater electrolysis at industrially required current densities and room temperature, outperforming the performance of commercial Irbased catalysts. The most-cited core paper is coauthored by researchers at Central China Normal University (China) and the University of Houston (USA). In this paper, researchers assemble an outstanding water electrolyzer for overall seawater splitting, which outputs current densities of 500 and 1000 mA cm<sup>-2</sup> at record low voltages of 1.608 and 1.709 V, respectively, in alkaline natural seawater at 60 °C.



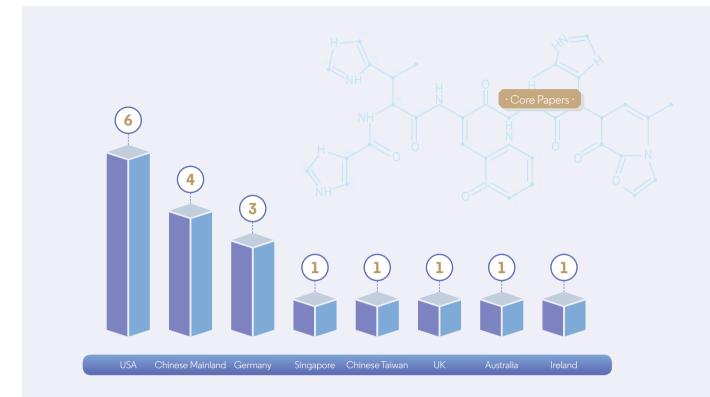
Figure16: Citation frequency distribution curve of core papers in the Research Front "Electrocatalysts for seawater electrolysis"

As shown in Table 31, the USA has contributed six core papers, five of which are from the University of Houston. Chinese Mainland has published four core papers, with half from the collaboration between Central China Normal University and the University of Houston. Three core papers from Germany all represent the Technical University of Berlin.

| Country/<br>region<br>Ranking | Country/<br>Region  | Core<br>Papers | Proportion | Institution<br>Ranking | Institution                     | Affiliated<br>Country | Core<br>Papers | Proportion |
|-------------------------------|---------------------|----------------|------------|------------------------|---------------------------------|-----------------------|----------------|------------|
| 1                             | USA                 | 6              | 54.5%      | 1                      | University of Houston           | USA                   | 5              | 45.5%      |
| 2                             | Chinese<br>Mainland | 4              | 36.4%      | 2                      | Technical University of Berlin  | Germany               | 3              | 27.3%      |
| 3                             | Germany             | 3              | 27.3%      | 3                      | Central China Normal University | China                 | 2              | 18.2%      |
| 4                             | Singapore           | 1              | 9.1%       |                        |                                 |                       |                |            |
| 4                             | Chinese<br>Taiwan   | 1              | 9.1%       |                        |                                 |                       |                |            |
| 4                             | UK                  | 1              | 9.1%       |                        |                                 |                       |                |            |
| 4                             | Australia           | 1              | 9.1%       |                        |                                 |                       |                |            |
| 4                             | Ireland             | 1              | 9.1%       |                        |                                 |                       |                |            |

 Table 31 Top countries/regions and institutions producing core papers in the Research Front

 "Electrocatalysts for seawater electrolysis"



As shown in Table 32, Chinese Mainland has published the greatest number of citing papers in this front, far more than those of the USA and South Korea, which rank  $2^{nd}$  and  $3^{rd}$ ,

respectively. All the Top 10 institutions producing citing papers are based in China, demonstrating a strong research concentration advantage in the field.

| Country/<br>region<br>Ranking | Country/<br>Region   | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                     | Affiliated<br>Country | Citing<br>Papers | Proportion |
|-------------------------------|----------------------|------------------|------------|------------------------|-------------------------------------------------|-----------------------|------------------|------------|
| 1                             | Chinese<br>Mainland  | 902              | 73.9%      | 1                      | Chinese Academy of Sciences                     | China                 | 141              | 11.6%      |
| 2                             | USA                  | 121              | 9.9%       | 2                      | Qingdao University of Science<br>and Technology | China                 | 44               | 3.6%       |
| 3                             | South Korea          | 90               | 7.4%       | 3                      | Zhengzhou University                            | China                 | 36               | 3.0%       |
| 4                             | Australia            | 49               | 4.0%       | 4                      | China University of Petroleum                   | China                 | 35               | 2.9%       |
| 5                             | Germany              | 44               | 3.6%       | 5                      | Wuhan University of Technology                  | China                 | 31               | 2.5%       |
| 5                             | UK                   | 44               | 3.6%       | 6                      | Beijing University of Chemical<br>Technology    | China                 | 27               | 2.2%       |
| 7                             | India                | 43               | 3.5%       | 7                      | Shenzhen University                             | China                 | 26               | 2.1%       |
| 8                             | Chinese<br>Hong Kong | 38               | 3.1%       | 8                      | Suzhou University                               | China                 | 25               | 2.0%       |
| 9                             | Japan                | 29               | 2.4%       | 9                      | Tianjin University                              | China                 | 24               | 2.0%       |
| 10                            | Singapore            | 23               | 1.9%       | 10                     | Jilin University                                | China                 | 23               | 1.9%       |

 Table 32: Top countries/regions and institutions producing citing papers in the Research Front

 "Electrocatalysts for seawater electrolysis"

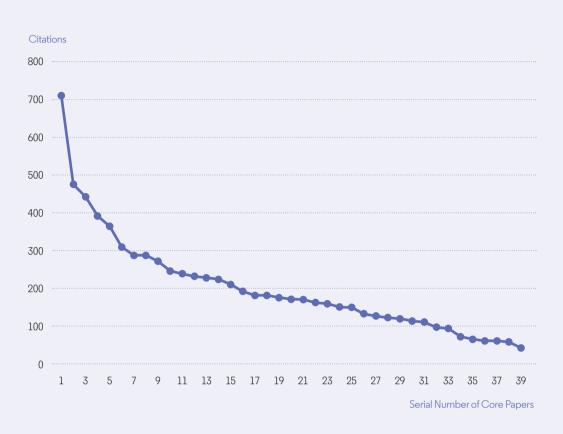


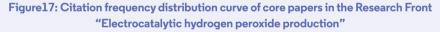
### 1.3 KEY HOT RESARCH FRONT - "Electrocatalytic hydrogen peroxide production"

As a valuable and environmentally friendly oxidizing agent, hydrogen peroxide  $(H_2O_2)$  is widely used in processes such as wastewater treatment and chemical synthesis. However, the current industrial synthesis of  $H_2O_2$  involves an energy-intensive anthraquinone process that is costly and impractical for routine on-site use. Electrochemical synthesis of  $H_2O_2$ through a two-electron oxygen reduction reaction (2e-ORR) or a two-electron water oxidation reaction (2e-WOR) has emerged as an appealing alternative in locally producing this chemical on demand. However, the development of cost-effective, efficient, and selective electrocatalysts for this process remains a challenge.

The 39 highly cited papers constituting the core of this Research Front address the design of electrocatalysts and electrodes, with a focus on the former. Many different types of electrocatalysts, ranging from metal single atoms (e.g., Co, Mo) and carbon-based materials for 2e-ORR to metal oxides (e.g., BiVO<sub>4</sub>) for 2e-WOR have been developed. The most-cited core paper is coauthored by researchers at Stanford University and SLAC National Accelerator Laboratory (USA). In this paper, researchers demonstrate a simple and general approach to catalyst development via the surface oxidation of abundant carbon materials, which significantly enhances both the activity and selectivity (~90%) for  $H_2O_2$  production by electrochemical oxygen reduction.

#### RESEARCH FRONTS 2023 CHEMISTRY AND MATERIALS SCIENCE





As shown in Table 33, China and the USA have respectively contributed 20 and 14 core papers, more than that of the other listed nations, ranking them

in 1<sup>st</sup> and 2<sup>nd</sup> place. Among the Top institutions producing core papers, the US Department of Energy's National Laboratories have contributed nine core

papers, ranking 1<sup>st</sup>, followed by Stanford University (USA), Chinese Academy of Sciences(China), and the University of Calgary (Canada).

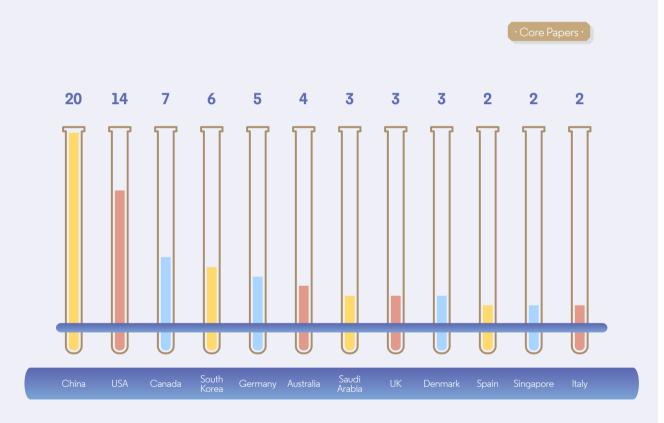
 Table 33 Top countries and institutions producing core papers in the Research Front

 "Electrocatalytic hydrogen peroxide production"

| Country<br>Ranking | Country     | Core<br>Papers | Proportion | Institution<br>Ranking | Institution                                     | Affiliated<br>Country | Core<br>Papers | Proportion |
|--------------------|-------------|----------------|------------|------------------------|-------------------------------------------------|-----------------------|----------------|------------|
| 1                  | China       | 20             | 51.3%      | 1                      | Department of Energy's National<br>Laboratories | USA                   | 9              | 23.1%      |
| 2                  | USA         | 14             | 35.9%      | 2                      | Stanford University                             | USA                   | 7              | 17.9%      |
| 3                  | Canada      | 7              | 17.9%      | 3                      | Chinese Academy of Sciences                     | China                 | 6              | 15.4%      |
| 4                  | South Korea | 6              | 15.4%      | 3                      | University of Calgary                           | Canada                | 6              | 15.4%      |
| 5                  | Germany     | 5              | 12.8%      | 5                      | Rice University                                 | USA                   | 5              | 12.8%      |
| 6                  | Australia   | 4              | 10.3%      | 6                      | Tsinghua University                             | China                 | 4              | 10.3%      |

### RESEARCH FRONTS 2023 CHEMISTRY AND MATERIALS SCIENCE

| ſ | Country | Country   | Core<br>Papers | Proportion | Institution | Institution                                                 | Affiliated      | Core<br>Papers | Proportion |
|---|---------|-----------|----------------|------------|-------------|-------------------------------------------------------------|-----------------|----------------|------------|
|   | Ranking | Country   | Papers         | Proportion | Ranking     | Institution                                                 | Country         | Papers         | Froportion |
|   | 7       |           | 3              | 7.7%       | 7           | King Abdulaziz University                                   | Saudi<br>Arabia | 3              | 7.7%       |
|   | 7       | UK        | 3              | 7.7%       | 7           | University of Electronic Science<br>and Technology of China | China           | 3              | 7.7%       |
|   | 7       | Denmark   | 3              |            | 7           | Technical University of Berlin                              | Germany         | 3              | 7.7%       |
|   | 10      | Spain     | 2              | 5.1%       | 7           | Henan University                                            | China           | 3              | 7.7%       |
|   | 10      | Singapore | 2              | 5.1%       | 7           | University of Adelaide                                      | Australia       | 3              | 7.7%       |
|   | 10      | ltalv     | 2              | 5.1%       |             |                                                             |                 |                |            |



As shown in Table 34, China has published the greatest number of citing papers in this front, far more than that of the other listed nations. The USA and Australia have also made contributions to citing articles, coming in at 2<sup>nd</sup> and 3<sup>rd</sup> place, respectively. Among the Top institutions producing citing papers, 10 are based in China, demonstrating that China has a very active group of researchers in the field. The US Department of Energy's National Laboratories come in at No. 6 on the list.

### RESEARCH FRONTS 2023 CHEMISTRY AND MATERIALS SCIENCE

| Country<br>Ranking | Country     | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                                 | Affiliated<br>Country | Citing<br>Papers | Proportion |
|--------------------|-------------|------------------|------------|------------------------|-------------------------------------------------------------|-----------------------|------------------|------------|
| 1                  | China       | 2245             | 72.5%      | 1                      | Chinese Academy of Sciences                                 | China                 | 492              | 15.9%      |
| 2                  | USA         | 388              | 12.5%      | 2                      | Tsinghua University                                         | China                 | 130              | 4.2%       |
| 3                  | Australia   | 191              | 6.2%       | 3                      | Harbin Institute of Technology                              | China                 | 92               | 3.0%       |
| 4                  | South Korea | 174              | 5.6%       | 4                      | Tianjin University                                          | China                 | 89               | 2.9%       |
| 5                  | Germany     | 153              | 4.9%       | 5                      | Nankai University                                           | China                 | 83               | 2.7%       |
| 6                  | Japan       | 113              | 3.6%       | 6                      | Department of Energy's National<br>Laboratories             | USA                   | 82               | 2.6%       |
| 7                  | UK          | 108              | 3.5%       | 7                      | Suzhou University                                           | China                 | 73               | 2.4%       |
| 8                  | India       | 107              | 3.5%       | 8                      | Zhengzhou University                                        | China                 | 70               | 2.3%       |
| 9                  | Canada      | 95               | 3.1%       | 9                      | Beijing University of Chemical<br>Technology                | China                 | 68               | 2.2%       |
| 10                 | Singapore   | 92               | 3.0%       | 10                     | Dalian University of Technology                             | China                 | 65               | 2.1%       |
|                    |             |                  |            | 10                     | University of Electronic Science<br>and Technology of China | China                 | 65               | 2.1%       |

### Table 34 Top countries and institutions producing citing papers in the Research Front "Electrocatalytic hydrogen peroxide production"

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### 2. EMERGING RESEARCH FRONT

### 2.1 OVERVIEW OF EMERGING RESEARCH FRONTS IN CHEMISTRY AND MATERIALS SCIENCE

Two topics have been selected as the emerging Research Fronts in the field of chemistry and materials science (Table 35), both related to energy conversion and storage. "The development of high-performance HER and ORR photocatalysts and their applications in the synthesis of solar fuel" principally involves the use of photocatalysts, such as covalent organic framework compounds and metal oxide semiconductors (mainly BiVO<sub>4</sub>), to convert solar energy into green fuels such as hydrogen and hydrogen peroxide by hydrogen reduction reactions (HER) and oxygen reduction reactions (ORR). "The preparation of polymer dielectric capacitors" chiefly involves using polymers as the dielectric of capacitors, by adjusting their composition and structure, to achieve the simultaneous improvement of capacitor energy density and discharge efficiency.

#### Table 35: Emerging Research Fronts in chemistry and materials science

| Rank | Emerging Research Fronts                                                                                             | Core<br>Papers | Citation | Mean Year of<br>Core Papers |  |
|------|----------------------------------------------------------------------------------------------------------------------|----------------|----------|-----------------------------|--|
| 1    | The development of high-performance HER and ORR photocatalysts and their applications in the synthesis of solar fuel | 8              | 195      | 2021.9                      |  |
| 2    | The preparation of polymer dielectric capacitors                                                                     | 6              | 245      | 2021.7                      |  |

### 2.2 KEY EMERGING RESEARCH FRONT – "The development of high-performance HER and ORR photocatalysts and their applications in the synthesis of solar fuel"

Using artificial photosynthesis to collect solar fuel is of great value in coping with climate change, environmental pollution, and the energy crisis. In various solar fuel artificial photosynthesis reactions, due to factors such as scalability and costeffectiveness, solar-driven hydrogen production by water splitting (HER) and two-electron oxygen reduction (ORR) by using abundant water and oxygen to artificially photosynthesize  $H_2O_2$ have attracted the attention of many researchers.

In this emerging Research Front, the

preparation and optimization path of high-performance HER and ORR photocatalysts are mainly discussed in terms of achieving the efficient and rapid production of solar fuels (hydrogen and hydrogen peroxide). Among these methods, the strategies for hydrogen production using HER photocatalysts revolve around improving the stability of covalent organic frameworks (COFs) and enhancing the delocalization ability of electrons. Fujian Institute of Research on the Structure of Matter, Chinese Academy of Sciences (China) adopted the strategy of post-oxidative cyclization to convert N-acylhydrazonelinked COF (H-COF) into a stable and pi-conjugated oxadiazole-linked COF (ODA-COF), achieving the simultaneous enhancement of COF chemical stability and pi-electron delocalization capability throughout the reticular framework, and leading to a high hydrogen evolution rate upon visible light irradiation, which is over four times higher than that of H-COF. Due to the excellent stability and electron delocalization of carbon - carbon double bond as the connecting units of COFs, researchers at Qingdao University of Science and Technology (China) prepared vinylenelinked 2D COFs crystallines (BTH-1, 2, 3) containing benzobisthiazoles units as functional groups COFs (benzodithiazole structure) based on the Knoevenagel reaction, which exhibited an attractive photocatalytic HER of 15.1 mmol  $h(^{1})g(^{1})$  under visible light irradiation.

Regarding research on the use of ORR photocatalytic reactions to produce  $H_2O_2$ , this emerging Research Front mainly involves the preparation of two types of photocatalytic materials and their applications in  $H_2O_2$  synthesis: covalent organic framework compounds (COFs) and inorganic BiVO<sub>4</sub>. China Three Gorges University (China) and

Swinburne University of Technology (Australia) have initially demonstrated that bipyridine-based covalent organic framework photocatalyst (denoted as COF-TfpBpy) can photocatalytically generate H<sub>2</sub>O<sub>2</sub> without sacrificing reagents or buffer solution from water and air; while Beijing Institute of Technology (China) has developed a partially fluorinated, metal-free, iminelinked two-dimensional triazine covalent organic framework (TF50-COF) photocatalyst, which demonstrated high selectivity and stability in O<sub>2</sub> photoreduction into  $H_2O_2$ , with a high  $H_2O_2$  yield rate of 1739 µmol h(<sup>-1</sup>) g(<sup>-1</sup>) and a remarkable apparent quantum

efficiency of 5.1 % at 400 nm. In addition, teams at Zhejiang University (China) and Chuo University (Japan) have prepared an efficient overall H<sub>2</sub>O<sub>2</sub> photosynthesis system using inorganic Mo-doped faceted BiVO<sub>4</sub> (Mo:BiVO<sub>4</sub>). This system can significantly enhance charge separation and suppress rapid capture and recombination of charge carriers, exhibiting a high overall  $H_2O_2$ photosynthesis efficiency among inorganic photocatalysts, with an apparent quantum yield of 1.2% and a solar-to-chemical conversion efficiency of 0.29% at full spectrum, as well as an apparent quantum yield of 5.8% at 420 nm.





2023 RESEARCH FRONTS

# PHYSICS

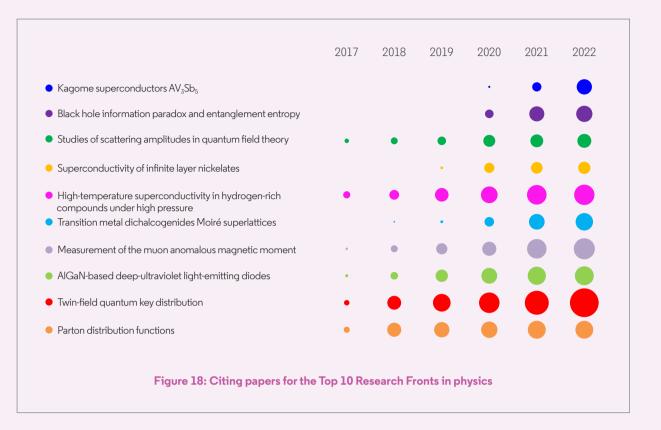
### **1. HOT RESEARCH FRONT**

### **1.1 TREND OF THE TOP 10 RESEARCH FRONTS IN PHYSICS**

The Top 10 Research Fronts in physics mainly focus on the subfields of condensed matter physics, theoretical physics, high-energy physics, optics, and quantum physics. There are four Research Fronts in condensed matter physics, in which novel superconducting materials make a strong impression, including Kagome superconductors AV<sub>3</sub>Sb<sub>5</sub>, infinite layer nickelates, and hydrogen-rich compounds. Moreover, transition metal dichalcogenides Moiré superlattices emerge as a hot topic. In theoretical physics, two hot fronts have attracted much attention: One is focused on the black hole information paradox and entanglement entropy, while the other centers on the study of scattering amplitudes in quantum field theory. In high-energy physics, parton distribution functions has newly emerged, while measurement of the muon anomalous magnetic moment has now registered as a hot topic for two consecutive years. In optics and quantum physics, there are two newly emerging hot fronts. They respectively focus on AIGaNbased deep-ultraviolet light-emitting diodes and twin-field quantum key distribution.

| Table 36: | Top10 | Research Fronts in physics |
|-----------|-------|----------------------------|
|-----------|-------|----------------------------|

| Rank   | Hot Research Fronts                                                                  | Core<br>Papers | Citations | Mean Year of<br>Core Papers |
|--------|--------------------------------------------------------------------------------------|----------------|-----------|-----------------------------|
| 1      | Kagome superconductors $AV_3Sb_5$                                                    | 45             | 3121      | 2021.2                      |
| 2      | Black hole information paradox and entanglement entropy                              | 45             | 3277      | 2020.9                      |
| 3      | Studies of scattering amplitudes in quantum field theory                             | 42             | 3251      | 2020.2                      |
| 4      | Superconductivity of infinite layer nickelates                                       | 22             | 1981      | 2020.2                      |
| 5      | High-temperature superconductivity in hydrogen-rich compounds under high<br>pressure | 26             | 4222      | 2020.1                      |
| 6      | Transition metal dichalcogenides Moiré superlattices                                 | 12             | 1817      | 2020.1                      |
| 7      | Measurement of the muon anomalous magnetic moment                                    | 34             | 5845      | 2019.6                      |
| 8      | AlGaN-based deep-ultraviolet light-emitting diodes                                   | 11             | 1957      | 2019.3                      |
| 9      | Twin-field quantum key distribution                                                  | 31             | 5825      | 2019.2                      |
| <br>10 | Parton distribution functions                                                        | 20             | 3140      | 2019.2                      |



### 1.2 KEY HOT RESEARCH FRONT - "Kagome superconductors AV<sub>3</sub>Sb<sub>5</sub>"

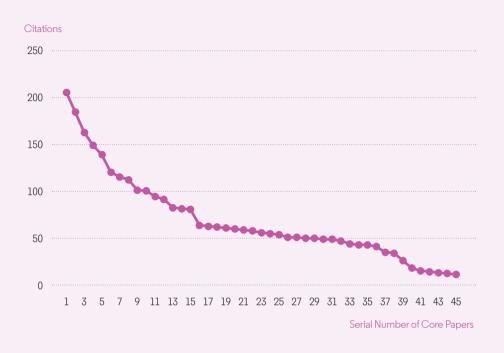
Kagome lattices, made up of cornersharing triangles, featuring flat bands, van Hove singularities and Dirac cones in its electronic structure, have become a novel platform for studying electron correlations, topological states, and geometrical frustration. Kagome lattice materials have revealed rich emergent phenomena—such as quantum spin liquids, magnetic Weyl fermions, giant anomalous Hall effect—and have subsequently become a hot topic in condensed matter physics.

Previous research on Kagome materials mainly focused on their magnetism and

topology. Recently, superconductivity in Kagome materials, observed in Kagome superconductors  $AV_3Sb_5$  (A = K, Rb, Cs), has attracted considerable attention from researchers. The  $AV_3Sb_5$ Kagome family was discovered and synthesized by researchers at the University of California, Santa Barbara (UCSB) and their collaborators in 2019. Subsequently, superconductivity was found in CsV<sub>3</sub>Sb<sub>5</sub> in 2020. The finding has triggered an upsurge in research into the properties of Kagome superconductors.

As for the citation frequency of individual

core papers (Figure 19): the report on the discovery of superconductivity in  $CsV_3Sb_5$ , published in 2020 by researchers at UCSB and collaborating institutions, has garnered the highest citation total, currently exceeding 200. In addition, research findings from institutions including UCSB in 2019 on the AV\_3Sb\_5 Kagome family, Princeton University in 2021 on the unconventional chiral charge order in KV\_3Sb\_5, and the Max Planck Institute of Microstructure Physics in Halle, Germany in 2020 on the discovery of Giant anomalous Hall effect in KV\_3Sb\_5, have been also widely cited.



### Figure 19: Citation frequency distribution curve of core papers in the Research Front "Kagome superconductors $AV_3Sb_5$ "

China and the USA are the most active countries in this front. Authors based in the two nations participated in 32 and 25 core papers, respectively (Table 37), accounting for 71.1% and 55.6% of the

total. Germany and Switzerland also demonstrate strong performance. On the list of top institutions, China is host to five, while the USA contains four, and Germany and Switzerland each claim one. Among individual organizations, the Chinese Academy of Sciences contributed the highest numbers of core papers, followed by UCSB and Renmin University of China.

| Table 37: Top countries and institutions producing core papers in the Research Front |
|--------------------------------------------------------------------------------------|
| "Kagome superconductors $AV_3Sb_5$ "                                                 |

| Country<br>Ranking | Country     | Core<br>Papers | Proportion | Institution<br>Ranking | Institution                               | Affiliated<br>Country | Core<br>Papers | Proportion |
|--------------------|-------------|----------------|------------|------------------------|-------------------------------------------|-----------------------|----------------|------------|
| 1                  | China       | 32             | 71.1%      | 1                      | Chinese Academy of<br>Sciences            | China                 | 23             | 51.1%      |
| 2                  | USA         | 25             | 55.6%      | 2                      | University of California Santa<br>Barbara | USA                   | 16             | 35.6%      |
| 3                  | Germany     | 8              | 17.8%      | 3                      | Renmin University of China                | China                 | 9              | 20.0%      |
| 3                  | Switzerland | 8              | 17.8%      | 4                      | Boston College                            | USA                   | 8              | 17.8%      |
| 5                  | India       | 4              | 8.9%       | 5                      | University of Wurzburg                    | Germany               | 7              | 15.6%      |
| 6                  | Singapore   | 3              | 6.7%       | 6                      | Songshan Lake Materials<br>Laboratory     | China                 | 6              | 13.3%      |
| 6                  | Japan       | 3              | 6.7%       | 6                      | Lawrence Berkeley National<br>Laboratory  | USA                   | 6              | 13.3%      |



In terms of papers that cite the core literature (Table 38), Chinese Mainland and the USA are again the most prolific countries/regions, with paper counts far exceeding those of other countries/ regions. Meanwhile, Germany, Japan, and Switzerland are actively catching up. On the list of citing institutions, four of the top entities are based in China, while the USA is host to three, while Germany, Switzerland, and Japan each have one.

Table 38: Top countries/regions and institutions producing citing papers in the Research Front "Kagome superconductors AV<sub>3</sub>Sb<sub>5</sub>"

| Image: Amount of the second | Country/<br>region<br>Ranking | Country/<br>Region | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                           | Affiliated<br>Country | Citing<br>Papers | Proportion |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|--------------------|------------------|------------|------------------------|---------------------------------------|-----------------------|------------------|------------|
| 3       Germany       56       15.6%       3       Renmin University of China       China       29       8.         4       Japan       43       12.0%       4       Songshan Lake Materials Laboratory       China       27       7.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 1                             |                    | 209              | 58.2%      | 1                      | · · · · · · · · · · · · · · · · · · · |                       |                  | 31.2%      |
| 3Germany5615.6%3Renmin University of ChinaChina298.4Japan4312.0%4Songshan Lake Materials LaboratoryChina277.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 2                             | 00/1               | 100              | 0,10 0     |                        | ,                                     |                       |                  | 10.9%      |
| 4 Japan 43 12.0% 4 Songshan Lake Materials Laboratory China 27 7.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 3                             | Germany            | 56               | 15.6%      | 3                      | Renmin University of China            | China                 | 29               | 8.1%       |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 4                             |                    |                  |            |                        | Songshan Lake Materials Laboratory    | China                 | 27               | 7.5%       |
| 5 Switzerland 29 8.1% 5 Nanjing University China 26 7.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Ŭ                             | officialia         |                  | 011 0      | 5                      | Nanjing University                    | China                 | 26               | 7.2%       |



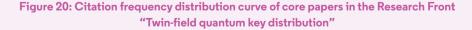
### **1.3 KEY HOT RESARCH FRONT- "Twin-field quantum key distribution"**

The field of quantum information has become a focal point of science and technology in major countries/ regions around the world. Quantum communication, one of the key areas of quantum information science, represents a fusion and innovation at the intersection of quantum physics, information technology, and cryptographic technology. Quantum key distribution (QKD) is a successful application in quantum communication and is theoretically secure using the principle of quantum mechanics. QKD realizes secure communication by the preparation, transmission, and detection of quantum states; the rules governing these methods are called QKD protocols. Researchers have proposed various QKD protocols, from the first QKD protocol (BB84 protocol), decoy-state QKD, to measurementdevice-independent QKD (MDI-QKD), to continuously improve the security of QKD.

Distance and secret key rate are important for practical applications of QKD. Research has shown that there exists an upper bound for both repeaterless QKD and opticalfiber communication. With the aid of a quantum repeater, it should be possible to overcome this barrier. However, the matter is still undergoing further development. In 2018, Toshiba Research Europe proposed twin-field quantum key distribution (TF-QKD) based on the idea of single-photon interference, showing the possibility of overcoming the rate-distance limit. TF-QKD is an efficient version of MDI-QKD and has become a hot topic in recent years, resulting in many variants and experimental demonstrations.

Regarding the citation frequency of individual core papers (Figure 20), the three most-cited papers were all published in 2017. These reports include the achievement of a transmission distance surpassing 1,200 kilometers in the satellite-to-ground QKD experiment reported by the University of Science and Technology of China (USTC) and its collaborators (cited 578 times as this writing); the fundamental rate-distance limit of QKD discovered by the University of York, UK (521 citations); and the quantum entanglement distribution over 1,200 kilometers reported by USTC and others (431 citations). Also highly cited is the above-mentioned paper proposing TF-QKD, published in 2018 by Toshiba Research Europe, now approaching 400 citations. Publications on the phase-matching QKD and on the question of sending or not sending TF-QKD, both reported by researchers at Tsinghua University and their collaborators in 2018, as well as the paper by researchers from USTC in 2021, reporting the achievement of more than 511 kilometers of on-site TF-QKD, have also garnered high citations.





China is the most active country in this front, participating in 23 core papers, and accounting for 74.2% of the total (Table 39). The USA, the UK, and Canada also actively engage in this specialty area. Among individual organizations, the Chinese Academy of Sciences contributed the highest numbers of core papers, followed by Tsinghua University, the University of York, and Jinan Institute of Quantum Technology. Among the top institutions, seven are based in China, while the UK contains two, and the USA and Canada are each home to one.

| Country<br>Ranking | Country        | Core<br>Papers | Proportion | Institution<br>Ranking |
|--------------------|----------------|----------------|------------|------------------------|
| 1                  | China          | 23             | 74.2%      | 1                      |
| 2                  | USA            | 7              | 22.6%      | 2                      |
| 3                  | UK             | 6              | 19.4%      | 3                      |
| 4                  | Canada         | 4              | 12.9%      | 3                      |
| 4                  | Japan          | 4              | 12.9%      | 5                      |
| 6                  | Australia      | 2              | 6.5%       | 5                      |
| 6                  | Singapore      | 2              | 6.5%       | 5                      |
| 6                  | Spain          | 2              | 6.5%       | 8                      |
| 9                  | Austria        | 1              | 3.2%       | 8                      |
| 9                  | Malaysia       | 1              | 3.2%       | 8                      |
| 9                  | Italy          | 1              | 3.2%       | 8                      |
| 9                  | Denmark        | 1              | 3.2%       | 8                      |
| 9                  | Russia         | 1              | 3.2%       |                        |
| 9                  | Switzerland    | 1              | 3.2%       |                        |
| 9                  | Czech Republic | 1              | 3.2%       |                        |

| Table 39: Top countries and institutions producing core papers in the Research Front |
|--------------------------------------------------------------------------------------|
| "Twin-field quantum key distribution"                                                |





Analysis of the citing papers (Table 40) indicates that China remains the most active in this area, with citing-paper counts far above those of other countries. The USA, the UK, and Germany also perform well. Among the top citing institutions, seven are based in China, while the UK contains two, and the USA and Singapore each claim one.

### Table 40: Top countries and institutions producing citing papers in the Research Front "Twin-field quantum key distribution"

| Country<br>Ranking | Country   | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                           | Affiliated<br>Country | Citing<br>Papers | Proportion |
|--------------------|-----------|------------------|------------|------------------------|-------------------------------------------------------|-----------------------|------------------|------------|
| 1                  | China     | 1218             | 46.5%      | 1                      | Chinese Academy of Sciences                           | China                 | 349              | 13.3%      |
| 2                  | USA       | 402              | 15.3%      | 2                      | Beijing University Posts &<br>Telecommunications      | China                 | 108              | 4.1%       |
| 3                  | UK        | 307              | 11.7%      | 3                      | Central South University                              | China                 | 76               | 2.9%       |
| 4                  | Germany   | 192              | 7.3%       | 4                      | Nanjing University of Posts and<br>Telecommunications | China                 | 73               | 2.8%       |
| 5                  | Canada    | 143              | 5.5%       | 5                      | University of York                                    | UK                    | 65               | 2.5%       |
| 6                  | Italy     | 126              | 4.8%       | 6                      | Tsinghua University                                   | China                 | 62               | 2.4%       |
| 7                  | Japan     | 114              | 4.4%       | 7                      | National University of Singapore                      | Singapore             | 61               | 2.3%       |
| 8                  | Austria   | 91               | 3.5%       | 8                      | Nanjing University                                    | China                 | 60               | 2.3%       |
| 9                  | India     | 90               | 3.4%       | 9                      | University of Southampton                             | UK                    | 58               | 2.2%       |
| 10                 | Singapore | 86               | 3.3%       | 10                     | Massachusetts Institute of<br>Technology (MIT)        | USA                   | 56               | 2.1%       |
|                    |           |                  | )          | 10                     | Shanghai Jiao Tong University                         | China                 | 56               | 2.1%       |
|                    |           |                  |            | 1                      |                                                       |                       |                  |            |



### 2. EMERGING RESEARCH FRONT

### 2.1 OVERVIEW OF EMERGING RESEARCH FRONTS IN PHYSICS

One topic in physics is highlighted as an emerging Research Front: "Theoretical research on high-precision measurement of the W boson mass".

#### Table 41: Emerging Research Front in physics



## 2.2 KEY EMERGING RESEARCH FRONT – "Theoretical research on high-precision measurement of the W boson mass"

The W boson is a fundamental particle that carries the weak force. It was discovered by researchers at the European Organization for Nuclear Research (CERN) in 1983 and is a milestone of the Standard Model's (SM) major successes. The mass of the W boson is an important fundamental parameter of the SM, which has been measured in international experiments with improving accuracy. In 2022, the Collider Detector at Fermilab (CDF) collaboration in the USA published the most precise measurement of W boson mass to date, which was seven standard deviations higher than the SM predicts. In particle physics, exceeding five standard deviations usually means a new discovery. If the result is confirmed, it would necessitate the introduction of new physics to amend the SM. Therefore, the work stimulated great interest among physicists. There are 25 high cited papers in this front, with significant contributions from countries such as the USA, Italy, Switzerland, China, and Japan. The most-cited paper concerns the latest high-precision measurement of the W boson mass by the CDF collaboration in 2022; the report has been cited 60 times at this writing. Other papers have reported theoretical research on the implications for new physics resulting from these advances. **2023** RESEARCH FRONTS

# ASTRONOMY AND ASTROPHYSICS

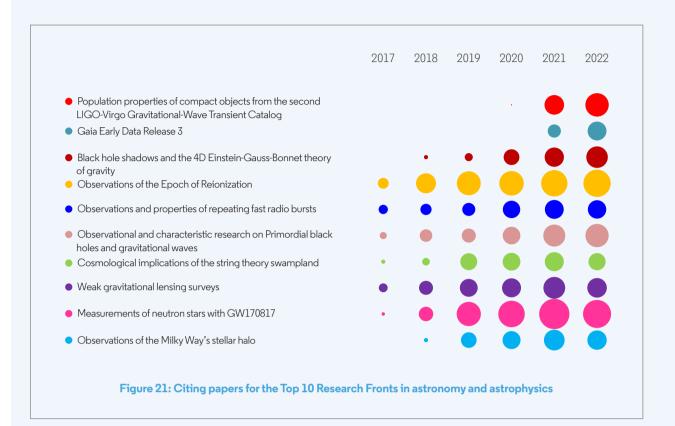
### **1. HOT RESEARCH FRONT**

### 1.1 TREND OF THE TOP 10 RESEARCH FRONTS IN ASTRONOMY AND ASTROPHYSICS

The Top 10 Research Fronts in astronomy and astrophysics focus on diverse topics, including gravitational waves, primordial black holes on the Epoch of Reionization, fast radio bursts, weak gravitational lensing surveys, string theory and cosmology, and the Milky Way's stellar halo. In general, gravitational waves present a prominent area of research, with a notable focus on both observational discoveries and theoretical investigations. Related Research Fronts include periodical achievements of LIGO-Virgo, measurements of neutron stars with GW170817, primordial black holes and gravitational waves observation, and black hole shadows and the 4D Einstein-Gauss-Bonnet theory of gravity. The observations and properties of repeating fast radio bursts have been selected among the hot Research Fronts again. An emerging Research Front in 2020, concerning string theory swampland conjectures and its impact on cosmology, has now became one of the hot Research Fronts of 2023. The large-scale scientific platforms, such as LIGO-Virgo and Gaia, continue to exert a very high influence.

| Rank | Hot Research Fronts                                                                                         | Core<br>Papers | Citations | Mean Year of<br>Core Papers |
|------|-------------------------------------------------------------------------------------------------------------|----------------|-----------|-----------------------------|
| 1    | Population properties of compact objects from the second LIGO-Virgo<br>Gravitational-Wave Transient Catalog | 2              | 899       | 2021.0                      |
| 2    | Gaia Early Data Release 3                                                                                   | 2              | 485       | 2021.0                      |
| 3    | Black hole shadows and the 4D Einstein-Gauss-Bonnet theory of gravity                                       | 36             | 3290      | 2020.3                      |
| 4    | Observations of the Epoch of Reionization                                                                   | 45             | 5529      | 2019.8                      |
| 5    | Observations and properties of repeating fast radio bursts                                                  | 48             | 6964      | 2019.6                      |
| 6    | Observational and characteristic research on Primordial black holes and gravitational waves                 | 48             | 6241      | 2019.2                      |
| 7    | Cosmological implications of the string theory swampland                                                    | 23             | 3322      | 2019.2                      |
| 8    | Weak gravitational lensing surveys                                                                          | 12             | 2948      | 2019.2                      |
| 9    | Measurements of neutron stars with GW170817                                                                 | 35             | 9158      | 2019.0                      |
| 10   | Observations of the Milky Way's stellar halo                                                                | 13             | 2796      | 2018.9                      |

#### Table 42: Top 10 Research Fronts in astronomy and astrophysics



## 1.2 KEY HOT RESEARCH FRONT – "Population properties of compact objects from the second LIGO-Virgo Gravitational-Wave Transient Catalog"

Gravitational waves are "ripples" in space-time generated by intense physical processes such as the collision and merging of dense celestial bodies in the cosmos. Gravitational wave signals were first observed by Laser Interferometer Gravitational-Wave Observatory (LIGO) in 2015. This confirmed a major prediction of Einstein's theory of general relativity and opened an unprecedented new window onto the cosmos. Virgo Gravitational Wave Interferometer (Virgo) and Kamioka Gravitational Wave Detector (KAGRA) joined together with LIGO in 2017 and 2020, respectively, comprising the

advanced gravitational wave detector network. Together the collaborations detect, localize, and characterize the coalescence of compact binary mergers, continuous gravitational waves, and burst gravitational waves. Coordinated observations of the same astrophysical sources enable improved understanding of those sources, especially in localizing their directions via triangulation from different points on the Earth's surface.

The hot Research Front "Population properties of compact objects from the second LIGO-Virgo Gravitational-Wave Transient Catalog" includes

two core papers, which provide indepth discussion of gravitational wave detection by LIGO and Virgo in the first half of the third observing run (O3a), the second Gravitational-Wave Transient Catalog (GWTC-2), and the population properties of compact objects from GWTC-2, including mass distribution, spin distribution, and rate evolution with redshift. O3a ran from April 1st to October 1st, 2019, and added 39 gravitational-wave events to the 11 confirmed events listed in GWTC-1, bringing the total to 50 events in GWTC-2. The discoveries of O3a span a wide range of astrophysical parameters and represent sources



consistent with the coalescences of binary black holes, binary neutron stars, and neutron star black hole binaries. Some especially interesting O3a events include the second-ever gravitationalwave observation consistent with a binary neutron star merger, the first events with unequivocally unequal masses, and a very massive black hole binary with a total mass of about 150 times that of the Sun. The collaborative efforts of more than 200 research institutions worldwide were instrumental in the completion of the two core papers, underscoring the international cooperative characteristics of the gravitational wave detection efforts. Considering the extensive involvement of various countries/regions and institutions, it is not feasible to list them individually.

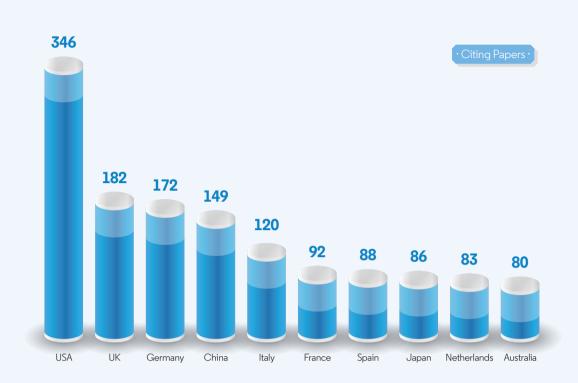
As for citing papers, the USA ranks  $1^{st}$ , accounting for 45.6% of the citating papers. The UK, Germany, and China rank  $2^{nd}$ ,  $3^{rd}$ , and  $4^{th}$ , respectively, with

comparable proportions. The Max Planck Society ranks 1<sup>st</sup> among the Top 10 citing institutions, followed by the National Institute of Nuclear Physics of Italy, the California Institute of Technology, and the Chinese Academy of Sciences. US-based entities occupy three positions among the Top 10 citing institutions, while the other seven positions are evenly occupied by Germany, Italy, China, France, Australia, Japan, and the UK.

| Country<br>Ranking | Country     | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                                 | Affiliated<br>Country | Citing<br>Papers | Proportion |
|--------------------|-------------|------------------|------------|------------------------|-------------------------------------------------------------|-----------------------|------------------|------------|
| 1                  | USA         | 346              | 45.6%      | 1                      | Max Planck Society                                          | Germany               | 113              | 14.9%      |
| 2                  | UK          | 182              | 24.0%      | 2                      | National Institute of Nuclear Physics<br>(INFN)             | Italy                 | 105              | 13.9%      |
| 3                  | Germany     | 172              | 22.7%      | 3                      | California Institute of Technology                          | USA                   | 93               | 12.3%      |
| 4                  | China       | 149              | 19.7%      | 4                      | Chinese Academy of Sciences                                 | China                 | 91               | 12.0%      |
| 5                  | Italy       | 120              | 15.8%      | 5                      | National Center for Scientific Research of<br>France (CNRS) | France                | 81               | 10.7%      |
| 6                  | France      | 92               | 12.1%      | 6                      | Northwestern University                                     | USA                   | 61               | 8.0%       |
| 7                  | Spain       | 88               | 11.6%      | 7                      | Monash University                                           | Australia             | 56               | 7.4%       |
| 8                  | Japan       | 86               | 11.3%      | 7                      | University of Tokyo                                         | Japan                 | 56               | 7.4%       |
| 9                  | Netherlands | 83               | 10.9%      | 9                      | Massachusetts Institute of Technology<br>(MIT)              | USA                   | 54               | 7.1%       |
| 10                 | Australia   | 80               | 10.6%      | 9                      | University of Birmingham                                    | UK                    | 54               | 7.1%       |

 Table 43: Top countries and institutions producing citing papers in the Research Front

 "Population properties of compact objects from the second LIGO-Virgo Gravitational-Wave Transient Catalog"



## 1.3 KEY HOT RESEARCH FRONT – "Observations and properties of repeating fast radio bursts"

In 2007, scientists first discovered the mysterious astronomical phenomenon of fast radio bursts (FRBs) while analyzing the Parkes Pulsar Data Archive. FRBs are the most intense cosmic explosions observed in the radio wave band. These extraordinary events generate as much energy in a thousandth of a second as the Sun does in a year, yet their physical origin is unknown, making them one of the hotspots of astronomical research. Based on observational characteristics, astronomers now generally believe that FRBs are related to the bursting of dense objects, especially those with strong magnetic fields. FRBs can emit radio waves at multiple frequencies and can appear anywhere in the sky at any time. As of July 2023, 675 FRBs events have been reported worldwide.

In observations, although most FRBs are perceived as single events, a small fraction have been observed to repeat on different timescales; the observed FRB population can be divided into one-off and repeating FRBs. During the active period of repeating bursts, astronomers can proactively carry out localization and monitoring. These efforts aid in precise positioning and the search for multi-band counterparts and host galaxies, potentially helping to address key questions regarding the origin and evolution of FRBs. Astronomers discovered the first repeating FRB, designated 20121102A in 2016, and subsequent observations localized it to a metal-poor dwarf galaxy. Researchers also discovered for the first time that FRB 20121102A has a dense persistent radio source that is significantly brighter than the radio sources in the Milky Way, and that there should therefore be an inevitable link between the persistent radio source and FRB 20121102A.

Chinese research institutes used the Five-hundred-meter Aperture Spherical radio Telescope (FAST) to observe the first repeating FRB 20121102A, which accumulated a large number of pulses with high signal-to-noise ratio. In 2019, an international research team led by scientists at the National Astronomical Observatory of China (NAOC), using data from the FAST, discovered the only case to date of a continuously active repeating FRB (20190520B). By organizing collaborative observations from several space- and earthbased international observatories, and by integrating data from radio interferometry arrays, optical and infrared telescopes, and space-based high-energy observatories, the team has located FRB 20190520B in a metalpoor dwarf galaxy 3 billion light-years from Earth. This work confirmed that the proximate region possesses the highest known electron density, and also discovered the second radio continuum counterpart to FRBs to date. In the future, China's FAST is expected to make more significant discoveries in the field of FRBs. The hot Research Front "Observations and properties of repeating fast radio bursts" includes 48 core papers, providing detailed discussions on the observations and properties of the first repeating FRB 20121102A, and the first continuously active repeating FRB 20190520B, as well as the localization of their host galaxies. Other important research topics include the study of theoretical modelling of FRBs based on the observations mentioned above.

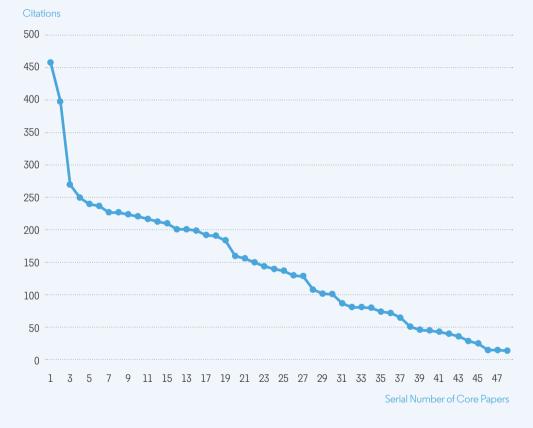


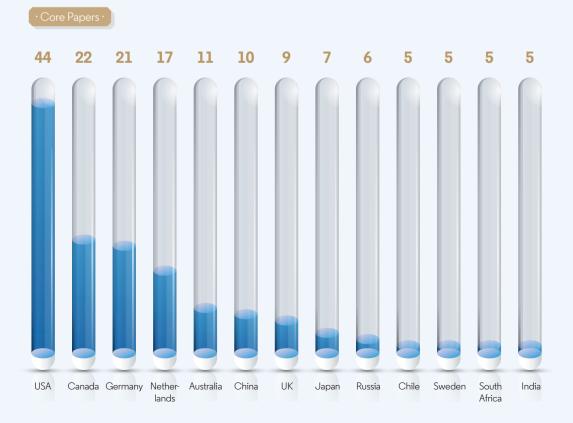
Figure 22: Citation frequency distribution curve of core papers in the Research Front "Observations and properties of repeating fast radio bursts"

The Arecibo Observatory in the USA, the Canadian Hydrogen Intensity Mapping Experiment (CHIME), and the FAST in China are important observational platforms for FRBs. The related countries and research institutes have performed well in terms of the output of core papers and citing papers, with the USA contributing the highest number of core papers (91.7%).

| _ |               |              |                |            | _       |
|---|---------------|--------------|----------------|------------|---------|
|   | untry<br>king | Country      | Core<br>Papers | Proportion | In<br>F |
|   | 1             | USA          | 44             | 91.7%      |         |
|   | 2             | Canada       | 22             | 45.8%      |         |
|   | 3             | Germany      | 21             | 43.8%      |         |
|   | 4             | Netherlands  | 17             | 35.4%      |         |
|   | 5             | Australia    | 11             | 22.9%      |         |
|   | 6             | China        | 10             | 20.8%      |         |
|   | 7             | UK           | 9              | 18.8%      |         |
|   | 8             | Japan        | 7              | 14.6%      |         |
| 1 | 9             | Russia       | 6              | 12.5%      |         |
| ] | LO            | Chile        | 5              | 10.4%      |         |
| ] | LO            | Sweden       | 5              | 10.4%      |         |
| ] | LO            | South Africa | 5              | 10.4%      |         |
| ] | LO            | India        | 5              | 10.4%      |         |

| Institution<br>Ranking | Institution                                           | Affiliated<br>Country | Core<br>Papers | Proportion |
|------------------------|-------------------------------------------------------|-----------------------|----------------|------------|
| 1                      | West Virginia University                              | USA                   | 22             | 45.8%      |
| 2                      | McGill University                                     | Canada                | 19             | 39.6%      |
| 3                      | Max Planck Society                                    | Germany               | 18             | 37.5%      |
| 3                      | National Radio Astronomy Observatory                  | USA                   | 18             | 37.5%      |
| 5                      | Netherlands Institute for Radio<br>Astronomy          | Netherlands           | 15             | 31.3%      |
| 5                      | California Institute of Technology                    | USA                   | 15             | 31.3%      |
| 7                      | University of Amsterdam                               | Netherlands           | 14             | 29.2%      |
| 7                      | National Research council of Canada                   | Canada                | 14             | 29.2%      |
| 9                      | University of Toronto                                 | Canada                | 13             | 27.1%      |
| 10                     | Perimeter Institute for Theoretical<br>Physics        | Canada                | 11             | 22.9%      |
| 10                     | National Aeronautics & Space<br>Administration (NASA) | USA                   | 11             | 22.9%      |
| 10                     | Massachusetts Institute of Technology<br>(MIT)        | USA                   | 11             | 22.9%      |

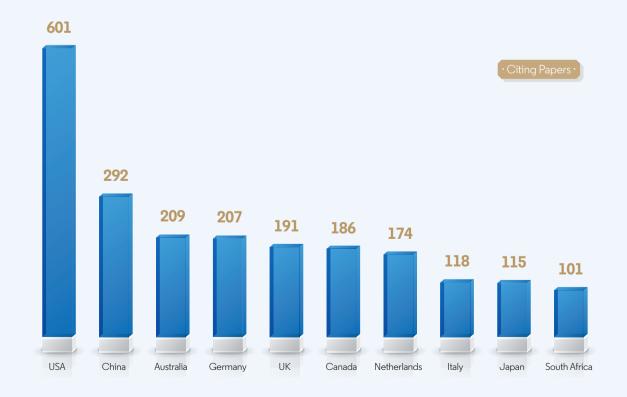
| Table 44: Top countries and institutions producing core papers in the Research Front |
|--------------------------------------------------------------------------------------|
| "Observations and properties of repeating fast radio bursts"                         |



As for citing papers: the USA maintains its leading position, with 53.7% of the total. China is actively pursuing follow-up research and ranks  $2^{nd}$ . Australia, Germany, and the UK rank  $3^{rd}$  to  $5^{th}$ , respectively. Among the top institutions, the Chinese Academy of Sciences contributes the greatest quantity of related research and ranks 1<sup>st</sup>. The Max Planck Society in Germany and the Commonwealth Scientific and Industrial Research Organisation (CSIRO) in Australia are also actively following up on this front, respectively ranking  $2^{nd}$  and  $3^{rd}$ , while US-based entities occupy three positions among the Top 10 citing institutions.

| Country<br>Ranking | Country      | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                                           | Affiliated<br>Country | Citing<br>Papers | Proportior |
|--------------------|--------------|------------------|------------|------------------------|-----------------------------------------------------------------------|-----------------------|------------------|------------|
| 1                  | USA          | 601              | 53.7%      | 1                      | Chinese Academy of Sciences                                           | China                 | 177              | 15.8%      |
| 2                  | China        | 292              | 26.1%      | 2                      | Max Planck Society                                                    | Germany               | 145              | 12.9%      |
| 3                  | Australia    | 209              | 18.7%      | 3                      | Commonwealth Scientific & Industrial<br>Research Organisation (CSIRO) | Australia             | 125              | 11.2%      |
| 4                  | Germany      | 207              | 18.5%      | 4                      | California Institute of Technology                                    | USA                   | 119              | 10.6%      |
| 5                  | UK           | 191              | 17.1%      | 5                      | University of Amsterdam                                               | Netherlands           | 109              | 9.7%       |
| 6                  | Canada       | 186              | 16.6%      | 6                      | West Virginia University                                              | USA                   | 103              | 9.2%       |
| 7                  | Netherlands  | 174              | 15.5%      | 7                      | University of Western Australia                                       | Australia             | 99               | 8.8%       |
| 8                  | Italy        | 118              | 10.5%      | 8                      | University of California, Berkeley                                    | USA                   | 94               | 8.4%       |
| 9                  | Japan        | 115              | 10.3%      | 9                      | University of Toronto                                                 | Canada                | 92               | 8.2%       |
| 10                 | South Africa | 101              | 9.0%       | 10                     | NationalInstitutefor Astrophysics (INAF)                              | Italy                 | 89               | 7.9%       |





### 2.EMERGING RESEARCH FRONT

### 2.1 OVERVIEW OF EMERGING RESEARCH FRONTS IN ASTRONOMY AND ASTROPHYSICS

Two emerging Research Fronts have been identified in astronomy and astrophysics: "Performance and results of eROSITA on the Russian-German space observatory Spektr-RG", and "Sagittarius A\* supermassive black hole observations by the Event Horizon Telescope".

#### Table 46: Emerging Research Fronts in astronomy and astrophysics

|   | Rank | Emerging Research Fronts                                                                |   | Core<br>Papers | Citations | Mean Year of<br>Core Papers |   |
|---|------|-----------------------------------------------------------------------------------------|---|----------------|-----------|-----------------------------|---|
|   | 1    | Performance and results of eROSITA on the Russian-German space<br>observatory Spektr-RG |   | 6              | 344       | 2021.7                      |   |
|   | 2    | Sagittarius A* supermassive black hole observations by the Event Horizon<br>Telescope   |   | 7              | 280       | 2022.0                      |   |
| ( |      |                                                                                         | 1 |                |           |                             | 1 |

### 2.2 KEY EMERGING RESARCH FRONT – "Performance and results of eROSITA on the Russian-German space observatory Spektr-RG"

Spektr-RG is a Russian-German collaborative astrophysical space observatory launched on July 13, 2019. The mission aims to study our Universe in the X-ray band of the electromagnetic spectrum, once the observatory is in position at the Lagrange point L2 of the Sun-Earth system, 1.5 million kilometers from Earth. This mission intends to map all massive structures in the observable Universe in the X-ray band. Spektr-RG carries two unique instruments: the eROSITA built by the Max Planck Institute for Extraterrestrial Physics, and ART-XC, built by the Space Research Institute of the Russian Academy of Sciences. Spektr-RG is designed to scan the celestial sphere to obtain X-ray maps of the entire sky in several energy ranges (from 0.2 to 8 keV with eROSITA, and from 4 to 30 keV with ART-XC). eROSITA started a survey of the entire sky in December 2019, and planned to perform eight complete

scans of the celestial sphere, each lasting six months, till the end of 2023, and followed by a phase of pointed observations. In February 2022, Russian-German cooperation on Spektr-RG was frozen. eROSITA was placed into safe mode, and science operations with the instrument were paused, although analysis of the existing eROSITA data continued.

This emerging Research Front brings together six core papers focusing on the Spektr-RG mission and its telescopes, eROSITA's performance as measured on ground and operation in space, and the results of eROSITA Final Equatorial Depth Survey during the calibration and performance verification phase. These results include the catalog of galaxy clusters and groups, the AGN catalog, the X-ray catalog, and identification and characterization of the counterparts to point-like sources. The nature of the mysterious dark energy, which drives the accelerated expansion of the Universe, is one of the most exciting questions in the fields of astronomy and physics today. Answers to this question could be the starting point of a fundamental revolution in physics. Clusters of galaxies are the largest collapsed objects in the Universe. Their formation and evolution are dominated by gravity, i.e. dark matter, while their large scale distribution and number density depend on the geometry of the Universe, i.e. dark energy. X-ray observations of clusters of galaxies provide information on the rate of expansion of the Universe, the fraction of mass in visible matter, and the amplitude of primordial fluctuations. eROSITA is designed to perform an allsky X-ray survey to provide insights into dark energy, dark matter, black holes, and perhaps new phenomena that have so far been invisible.

### 2023 RESEARCH FRONTS

**2023** RESEARCH FRONTS

# MATHEMATICS

 $\mathbf{I}_{\mathbf{X}} = \frac{1}{1} \frac{1}{1$ 

 $\int 1/\sqrt{(1-x^2)} \, dx = - \arccos x$ 

 $\int a^x dx =$ 

### **1. HOT RESEARCH FRONT**

### **1.1 TREND OF THE TOP 10 RESEARCH FRONTS IN MATHEMATICS**

The Top 10 Research Fronts in mathematics mainly focus on: extended physics-informed neural networks; proof of Onsager's conjecture; nonlinear time fractional-order reactiondiffusion equation; optimal estimation method of sample mean; secondorder energy stable BDF numerical scheme for a variety of equations; convergence of nonlinear dynamical systems; community detection based on stochastic block models; numerical algorithms for high-dimensional partial differential equations based on deep learning; regression discontinuity designs; and Bayesian multilevel modeling and its application. The Top 10 fronts in 2023 show both continuity and new development compared with the fronts selected in previous years. Research on the properties and solutions of partial differential equations and several fronts in the field of nonlinear systems have been consecutively selected among the hot or emerging Research Fronts in past years. In 2023, the proof of Onsager's conjecture stands out as a highlight of research in this area.

| Rank | Hot Research Fronts                                                                             | Core<br>Papers | Citations | Mean Year of<br>Core Papers |  |
|------|-------------------------------------------------------------------------------------------------|----------------|-----------|-----------------------------|--|
| 1    | Extended physics-informed neural networks                                                       | 8              | 860       | 2020.1                      |  |
| 2    | Proof of Onsager's conjecture                                                                   | 4              | 294       | 2019.5                      |  |
| 3    | Nonlinear time fractional-order reaction-diffusion equation                                     | 34             | 2708      | 2019.1                      |  |
| 4    | Optimal estimation method of sample mean                                                        | 2              | 962       | 2019.0                      |  |
| 5    | Second-order energy stable BDF numerical scheme for a variety of equations                      | 34             | 2534      | 2018.6                      |  |
| 6    | Convergence of nonlinear dynamical systems                                                      | 12             | 1270      | 2018.4                      |  |
| 7    | Community detection based on stochastic block models                                            | 7              | 561       | 2018.4                      |  |
| 8    | Numerical algorithms for high-dimensional partial differential equations based on deep learning | 7              | 3448      | 2018.3                      |  |
| 9    | Regression discontinuity designs                                                                | 7              | 1052      | 2018.3                      |  |
| 10   | Bayesian multilevel modeling and its application                                                | 14             | 9444      | 2018.0                      |  |

### Table 47: Top10 Research Fronts in mathematics

|                                                                                                 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 |
|-------------------------------------------------------------------------------------------------|------|------|------|------|------|------|
| Extended physics-informed neural networks                                                       |      |      |      | •    | •    |      |
| Proof of Onsager's conjecture                                                                   |      |      | •    | •    | •    | •    |
| Nonlinear time fractional-order reaction-diffusion equation                                     | •    | •    | •    | •    |      |      |
| Optimal estimation method of sample mean                                                        |      | •    | •    | •    | •    | •    |
| Second-order energy stable BDF numerical scheme for a variety of equations                      | •    | •    | •    | •    | •    | •    |
| Convergence of nonlinear dynamical systems                                                      | •    | •    | •    | •    | •    | •    |
| Community detection based on stochastic block models                                            |      | •    | •    | •    | •    | •    |
| Numerical algorithms for high-dimensional partial differential equations based on deep learning |      | •    | •    | •    |      |      |
| Regression discontinuity designs                                                                |      | •    | •    | •    |      |      |
| Bayesian multilevel modeling and its application                                                |      |      |      |      |      |      |
|                                                                                                 |      |      |      |      |      |      |

### 1.2 KEY HOT RESEARCH FRONT - "Proof of Onsager's conjecture"

In the field of fluid dynamics, Euler's equations were formulated by mathematician Leonhard Euler in 1757. These equations pertain to the movement of a non-viscosity fluid, illustrating the preservation of mass (continuity), momentum, and energy. Euler's equations correspond to Navier-Stokes equations with zero thermal conductivity and zero viscosity. Due to their fundamental nature, the equations are widely used in fluid dynamics. They have significant applications in various fields, including agriculture, geoscience, life science, and aerospace. Taking aerospace as an example: An aircraft design must account for the craft's aerodynamic structure, and air as a fluid will follow the fundamental principles of fluid dynamics exemplified by Euler's

equations.

In 1949, Lars Onsager, the Norwegianborn American chemist and Nobel laureate, deduced a conjecture regarding the ability of a weak solution of the 3D incompressible Euler's equations to obtain energy conservation in the study of turbulence phenomena. According to the conjecture, any solution with spatial Hölder  $\alpha$ -continuity maintains energy conservation when  $\alpha$ is greater than 1/3. In contrast, when  $\alpha$  is less than or equal to 1/3, there could be solutions with spatial Hölder  $\alpha$ -continuity that do not conserve energy. In other words, Onsager's conjecture suggests that for anomalous dissipation in the 3D incompressible Euler's equations, the critical index of spatial Hölder continuity is 1/3.

The positive part of the Onsager's conjecture ( $\alpha > 1/3$ ) was advanced by Gregory Eyink in 1994, and in the same year, Peter Constantin, Winan E, and Edriss Titi completed the proof for this part. There were also noteworthy advancements in the negative part of the conjecture. In 2009, Camillo De Lellis and László Székelyhidi, Jr. applied the theory of convex integral functionals to investigate the non-uniqueness of solutions for Euler's equation with low regularity, and later proved that Onsager's conjecture applied for  $\alpha \leq$ 1/10 in 2014. Between 2018 and 2019, Philip Isett and Tristan Buckmaster, with their colleagues, each established that the converse part of Onsager's conjecture is applied for  $\alpha < 1/3$ . The endpoint case for  $\alpha$  = 1/3, however,

currently remains an open question  $^{3}$ .

The hot Research Front "Proof of Onsager's conjecture" includes four core papers. The two most cited of these are the final proofs of the converse part of Onsager's conjecture by Isett and Buckmaster *et al.* The other two core papers focus on applying an analytical framework based on the theory of convex integral functionals to address diverse fluid equation problems, including the 3D incompressible Navier-Stokes equations, in order to construct non-unique solutions or solutions possessing special energy functions. Isett, Buckmaster, and Vlad Vicol, who are the main contributors to this research, were honored with the 2019 Clay Research Award for their accomplishments in this area.





Looking at the distribution of countries and institutions producing the core papers for this front (Table 48), the primary contributors are mainly based in the USA, including at Princeton University, New York University, California Institute of Technology, and the University of Texas at Austin. Research institutions from Switzerland and Germany, including the Swiss Federal Institute of Technology in Lausanne and the University of Zurich, as well as the University of Leipzig in Germany, are also actively involved in this hot front.

| Country<br>Ranking | Country     | Core<br>Papers | Proportion | Institution<br>Ranking | Institution                                          | Affiliated<br>Country | Core<br>Papers | Proportion |
|--------------------|-------------|----------------|------------|------------------------|------------------------------------------------------|-----------------------|----------------|------------|
| 1                  | USA         | 4              | 100.0%     | 1                      | Princeton University                                 | USA                   | 3              | 75.0%      |
| 2                  | Switzerland | 2              | 50.0%      | 2                      | New York University                                  | USA                   | 2              | 50.0%      |
| 3                  | Germany     | 1              | 25.0%      | 3                      | California Institute of Technology                   | USA                   | 1              | 25.0%      |
|                    |             |                |            | 3                      | Leipzig University                                   | Germany               | 1              | 25.0%      |
|                    |             |                |            | 3                      | University of Texas at Austin                        | USA                   | 1              | 25.0%      |
|                    |             |                |            | 3                      | Swiss federal Institute of Technology in<br>Lausanne | Switzerland           | 1              | 25.0%      |
|                    |             |                |            | 3                      |                                                      | Switzerland           | 1              | 25.0%      |

③ Partly from the article "Anomalous Dissipation" by Professor Jiajun Tong of Beijing International Center for Mathematical Research (BICMR).



In terms of the citing papers (Table 49), the USA remains dominant, contributing more than one-third of all citing papers. Germany and China are also actively following up on this front. Among the top-producing institutions, research institutions in the USA and Germany hold four and three positions, respectively. Notable contributing institutions with high participation include the French National Center for Science Research (CNRS), the University of Leipzig, Princeton University, New York University, and the Chinese Academy of Sciences.

Citing

Papers

11

11

11

9

8

8

8

7

6

6

6

6

6

Proportion

6.5%

6.5%

6.5%

5.4%

4.8%

4.8%

4.8%

4.2%

3.6%

3.6%

3.6%

3.6% 3.6%

Affiliated

Country

France

Germany

USA

USA

China

Czech

Republic USA

Switzerland

Germany

USA

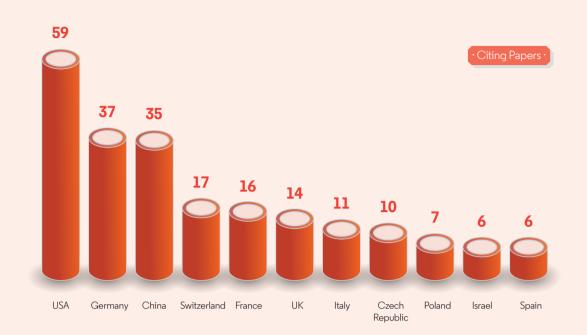
Germany

UK

Israel

| Country<br>Ranking | Country           | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                                 |
|--------------------|-------------------|------------------|------------|------------------------|-------------------------------------------------------------|
| 1                  | USA               | 59               | 35.1%      | 1                      | National Center for Scientific Research<br>of France (CNRS) |
| 2                  | Germany           | 37               | 22.0%      | 1                      | Leipzig University                                          |
| 3                  | China             | 35               | 20.8%      | 1                      | Princeton University                                        |
| 4                  | Switzerland       | 17               | 10.1%      | 4                      | New York University                                         |
| 5                  | France            | 16               | 9.5%       | 5                      | Chinese Academy of Sciences                                 |
| 6                  | UK                | 14               | 8.3%       | 5                      | Czech Academy of Sciences                                   |
| 7                  | Italy             | 11               | 6.5%       | 5                      | University of Illinois Chicago                              |
| 8                  | Czech<br>Republic | 10               | 6.0%       | 8                      | Swiss federal Institute of Technology in<br>Lausanne        |
| 9                  | Poland            | 7                | 4.2%       | 9                      | Technical University of Berlin                              |
| 10                 | lsrael            | 6                | 3.6%       | 9                      | Texas A&M University                                        |
| 10                 | Spain             | 6                | 3.6%       | 9                      | University of Bielefeld                                     |
|                    | -                 |                  |            | 9                      | University of Cambridge                                     |
|                    |                   |                  |            | 9                      | Weizmann Institute of Science                               |

| Table 49: Top countries and institutions producing citing papers in the Research Front "Proof of C |
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|----------------------------------------------------------------------------------------------------|



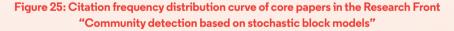
## 1.3 KEY HOT RESEARCH FRONT – "Community detection based on stochastic block models"

Given the ever-increasing research into complex networks-including social and biological networks—community detection has become a fundamental undertaking in network science. A community is typically defined as a subset of nodes in a network where interconnections amongst these nodes are more numerous than those with nodes outside the subset. Traditionally, many community detection methods have been proposed, but they often lack robust statistical models and face numerous challenges when addressing large-scale or multi-layered community structures. Random graph models, like the Erdős-Rényi model, often struggle to capture the subtleties of real-world community structures. However, the Stochastic Block Model (SBM) was introduced to address these limitations. By assigning nodes to distinct "blocks" or communities, the SBM uses specific probability matrices to describe connections between different communities. Additionally, by taking advantage of the heterogeneity of networks, the SBM can integrate diverse attributes of nodes and edges. establishing itself as a crucial statistical framework for community detection, providing a more adaptable, accurate, and systematic approach to interpreting network community structures. Currently, demand is increasing for improved SBM advancements that cater to diverse network data and intricate community configurations, particularly for large-scale networks. These advancements include model refinement and expansion, enhanced computational efficiency and algorithms, multi-layered networks, and dynamic community detection.

SBM is becoming an increasingly versatile tool, with wide-ranging applications in various fields, such as social networking, bioinformatics, market analysis, recommendation systems, security monitoring, disease propagation, and epidemiology.

The hot Research Front on "Community detection based on stochastic block models" contains seven core papers, representing cutting-edge research in the statistical domain of mathematical studies among the 2023 Research Fronts. This hot front outlines the seminal and prospective breakthroughs in SBM research, highlighting its application in community detection with respect to information-theoretic and computational thresholds. These include diverse algorithmic approaches to meeting different recovery precision requirements; the statistical clustering of temporal networks by dynamic SBM; the emphasis on efficient crossvalidation method tailored to SBM and its derivatives; the global spectral clustering detection techniques used for dynamic network community discovery; the incorporation of Semidefinite Programming (SDP) in community detection and structural identification optimization tasks; and the use of statistical machine learning techniques specific to community detection within SBM. Notably, the most-cited work within this hot front is authored by Emmanuel Abbe of the Department of Applied and Computational Mathematics at Princeton University. Cited more than 220 times at this writing, the paper explains the recent advances of SBM in community detection, with a keen focus on the information-theoretic and computational thresholds essential for extracting authentic community structures from datasets. It further delves into various recovery objectives such as exact, partial, and weak recoveries, and presents algorithms developed to meet these goals, as well as open research questions.



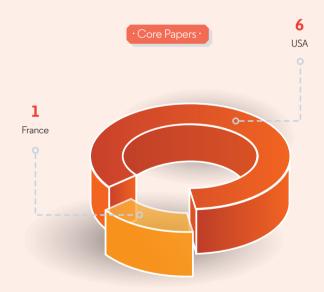


In terms of representation among the core papers in the front (Table 50), the USA holds an absolute advantage. Among the seven core papers included in this front, six originate from the USA, accounting for a substantial share of 85.7%. Apart from the USA, France contributes one core paper. In terms

of institutions producing core papers, the top three are all based in the USA namely, Princeton University, the University of Michigan, and Carnegie Mellon University, each contributing two core papers. Furthermore, among the eight institutions that have produced one core paper each, aside from the three US institutions—the University of California, Los Angeles, the University of Virginia, and the University of Pittsburgh—the remaining five are all based in France, demonstrating the international leadership of both the USA and France in this front.

| Country | Core<br>Papers | Proportion | Institution<br>Ranking | Institution                                                 | Affiliated<br>Country | Core<br>Papers | Proportion |
|---------|----------------|------------|------------------------|-------------------------------------------------------------|-----------------------|----------------|------------|
| USA     | 6              | 85.7%      | 1                      | Princeton University                                        | USA                   | 2              | 28.6%      |
| France  | 1              | 14.3%      | 1                      | University of Michigan                                      | USA                   | 2              | 28.6%      |
|         |                |            | 1                      | Carnegie Mellon University                                  | USA                   | 2              | 28.6%      |
|         |                |            | 4                      | University of Paris Cite                                    | France                | 1              | 14.3%      |
|         |                |            | 4                      | Lyon University                                             | France                | 1              | 14.3%      |
|         |                |            | 4                      | University of California Los Angeles                        | USA                   | 1              | 14.3%      |
|         |                |            | 4                      | University Clermont Auvergne                                | France                | 1              | 14.3%      |
|         |                |            | 4                      | Sorbonne University                                         | France                | 1              | 14.3%      |
|         |                |            | 4                      | University of Virginia                                      | USA                   | 1              | 14.3%      |
|         |                |            | 4                      | National Center for Scientific<br>Research of France (CNRS) | France                | 1              | 14.3%      |
|         |                |            | 4                      | University of Pittsburgh                                    | USA                   | 1              | 14.3%      |
|         |                |            | 1                      |                                                             |                       |                |            |

### Table 50: Top countries and institutions producing core papers in the Research Front "Community detection based on stochastic block models"



In terms of the citing papers (Table 51), the USA maintains its leading position, producing 168 citing papers, with a contribution rate of over 50%. It is worth noting that China is actively making progress on this front, contributing 98 citing papers and clearly occupying

Country

Ranking

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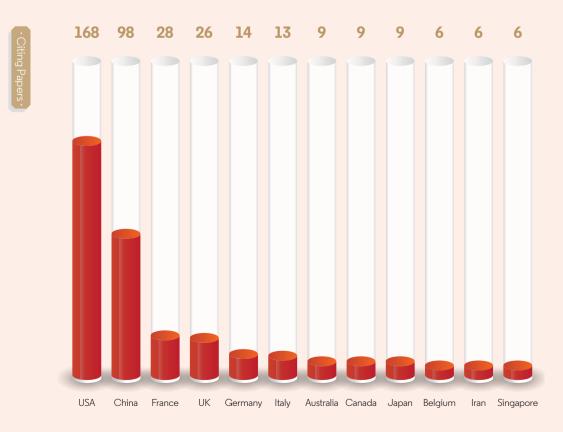
> the second position with a significant advantage. Among the top-contributing institutions, seven institutions in the USA are featured on the list, constituting the largest number. In particular, Carnegie Mellon University, Princeton University, and the University of Michigan, which

have performed prominently in contributing core papers, maintain their presence on the list. France is represented by three institutions, with France's CNRS demonstrating relatively prominent performance in terms of citing-paper production.

|   | Country<br>Ranking | Country   | Citing<br>Papers | Proportion |   | Inst<br>Ra |
|---|--------------------|-----------|------------------|------------|---|------------|
|   | 1                  | USA       | 168              | 52.5%      |   |            |
|   | 2                  | China     | 98               | 30.6%      |   |            |
|   | 3                  | France    | 28               | 8.8%       |   |            |
|   | 4                  | UK        | 26               | 8.1%       |   |            |
|   | 5                  | Germany   | 14               | 4.4%       |   |            |
|   | 6                  | Italy     | 13               | 4.1%       |   |            |
|   | 7                  | Australia | 9                | 2.8%       |   |            |
|   | 7                  | Canada    | 9                | 2.8%       |   |            |
|   | 7                  | Japan     | 9                | 2.8%       |   |            |
|   | 10                 | Belgium   | 6                | 1.9%       |   |            |
|   | 10                 | lran      | 6                | 1.9%       | 1 |            |
|   | 10                 | Singapore | 6                | 1.9%       |   |            |
| 1 |                    |           |                  |            |   |            |

| Table 51: Top countries and institutions producing citing papers in the Research Front |
|----------------------------------------------------------------------------------------|
| "Community detection based on stochastic block models"                                 |

| Institution<br>Ranking | Institution                                                          | Affiliated<br>Country | Citing<br>Papers | Proportion |
|------------------------|----------------------------------------------------------------------|-----------------------|------------------|------------|
| 1                      | Carnegie Mellon University                                           | USA                   | 21               | 6.6%       |
| 2                      | National Center for Scientific<br>Research of France (CNRS)          | France                | 15               | 4.7%       |
| 2                      | Princeton University                                                 | USA                   | 15               | 4.7%       |
| 2                      | University of Michigan                                               | USA                   | 15               | 4.7%       |
| 5                      | University of Pennsylvania                                           | USA                   | 12               | 3.8%       |
| 6                      | University of California Davis                                       | USA                   | 11               | 3.4%       |
| 7                      | National Research Institute for<br>Agriculture, Food and Environment | France                | 9                | 2.8%       |
| 7                      | Stanford University                                                  | USA                   | 9                | 2.8%       |
| 7                      | University of California Berkeley                                    | USA                   | 9                | 2.8%       |
| 7                      | University of Paris Saclay                                           | France                | 9                | 2.8%       |



$$\begin{aligned}
\int_{t=2}^{n} (Y_{t} - \overline{Y}_{t})^{2} \cdot \sum_{x} (Y_{t-1} - t_{x}) \\
\sum_{z \neq 2}^{n} e_{z}^{z} t^{z=2} \\
\sum_{z \neq 2}^{n} e_{z}^{z} t^{z=2} \\
\sum_{z \neq 2}^{n} (1) \quad y \neq \sum_{x} \sum_{x} y \\
\int_{q} \int_{q} \frac{1}{q} t^{z} \\
\sum_{z \neq 2}^{n} y_{t} \\
\int_{q} \sum_{z \neq 2}^{n} y_{t-1} \\
\int_{q} \frac{1}{q} t^{z} \\
\int_{q} \frac{$$

2023 RESEARCH FRONTS

# INFORMATION SCIENCE

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### **1. HOT RESEARCH FRONT**

### 1.1 TREND OF THE TOP 10 RESEARCH FRONTS IN INFORMATION SCIENCE

The Top 10 Research Fronts in information science mainly focus on basic theory and methods of artificial intelligence (AI), 6G communication, human-computer interaction, braininspired intelligence, and medical information processing (Table 52). In basic theory and methods of AI, emerging hot topics include Generative Adversarial Networks, broad learning systems, and federated learning for edge computing. Explainable Artificial Intelligence, which registered as an emerging front in the 2022 survey, has now made the list of hot fronts for 2023. Fronts related to reinforcement learning have appeared several times in previous annual surveys, and the corresponding focus of this latest installment is on the MuZero algorithm, which promotes reinforcement learning for solving realworld problems. In 6G communication, deep learning in physical layer communications emerges as a hot topic, while the specialty area of reconfigurable intelligent surfaces makes the transition from last year's emerging front to one of this year's hot fronts. In humancomputer interaction, next-generation real-time holographic near-eye display method for VR/AR becomes a hot front for the first time. In brain-inspired intelligence, spiking neural networks and their neuromorphic chips make their first appearance. Similarly, in the area of medical information processing, the specialty area of convolutional neural networks for EEG analysis makes its debut as a hot front.

#### Table 52: Top 10 Research Fronts in information science

| Rank | Hot Research Fronts                                                     | Core<br>Papers | Citations | Mean Year of<br>Core Papers |
|------|-------------------------------------------------------------------------|----------------|-----------|-----------------------------|
| 1    | Federated learning for edge computing                                   | 22             | 3682      | 2020.2                      |
| 2    | Broad learning systems                                                  | 6              | 1053      | 2020.0                      |
| 3    | Reconfigurable intelligent surfaces                                     | 32             | 9372      | 2019.7                      |
| 4    | Next-generation real-time holographic near-eye display method for VR/AR | 3              | 457       | 2019.3                      |
| 5    | Explainable Artificial Intelligence                                     | 4              | 2900      | 2019.0                      |
| 6    | Spiking neural networks and their neuromorphic chips                    | 13             | 2931      | 2018.6                      |
| 7    | Deep learning in physical layer communications                          | 13             | 2949      | 2018.5                      |
| 8    | Generative Adversarial Networks                                         | 8              | 15051     | 2018.4                      |
| 9    | MuZero reinforcement learning algorithm                                 | 6              | 3607      | 2018.3                      |
| 10   | Convolutional neural networks for EEG analysis                          | 9              | 2531      | 2018.2                      |

|                                                                                        | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 |
|----------------------------------------------------------------------------------------|------|------|------|------|------|------|
| Federated learning for edge computing                                                  |      |      |      | •    | •    |      |
| Broad learning systems                                                                 |      | •    | •    | •    | •    | •    |
| Reconfigurable intelligent surface                                                     |      |      | ٠    | •    |      |      |
| Next-generation real-time holographic near-eye                                         |      | •    | •    | •    | •    | •    |
| <ul><li>display method for VR/AR</li><li>Explainable Artificial Intelligence</li></ul> |      |      | •    | •    | •    | •    |
| Spiking neural networks and their neuromorphic chips                                   |      | •    | •    | •    | •    | •    |
| Deep learning in physical layer communications                                         |      | •    | •    | •    | •    |      |
| Generative Adversarial Networks                                                        | •    | •    |      |      |      |      |
| MuZero reinforcement learning algorithm                                                |      | •    |      |      |      |      |
| Convolutional neural networks for EEG analysis                                         |      | •    | •    | •    | •    | •    |
|                                                                                        |      | •    | •    |      | •    | • •  |

## 1.2 KEY HOT RESEARCH FRONT – "Spiking neural networks and their neuromorphic chips"

Two mainstream directions have become prominent in the development of Al chips: deep learning accelerators that support artificial neural networks, and brain-inspired chips that support spiking neural networks. The former approach, exemplified by Google's TPU and Intel's Gaudi2, accelerates the training process of deep learning through computer hardware to realize applications like natural language processing, computer vision, and reinforcement learning. The latter approach, as in IBM's TrueNorth and Intel's Loihi, utilizes large-scale neuromorphic devices, chips, and systems to support neurosciencederived spiking neural networks (SNNs) by borrowing the working mechanism of the human brain, thus realizing braininspired intelligence. Therefore, pulsed neural networks that combine biological rationality and computational efficiency are foundational to further progress in brain-inspired intelligence. Due to the differences between algorithms and models, AI chips usually only support either artificial neural networks or spiking neural networks, and it is difficult to leverage the cross-disciplinary advantages of computer science and neuroscience. The heterogeneous fusion chip Tianji, developed by Tsinghua University in China, integrates these two methods to provide a hybrid and collaborative platform for the development of Artificial General Intelligence.

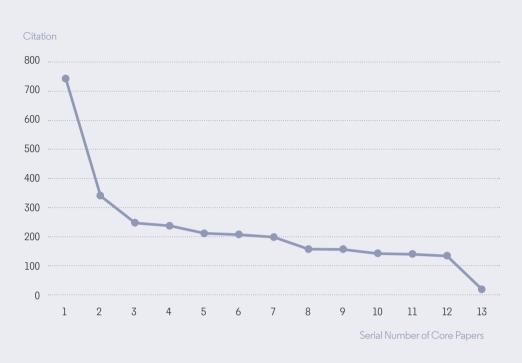


Figure 27: Citation frequency distribution curve of core papers in the Research Front "Spiking neural networks and their neuromorphic chips"

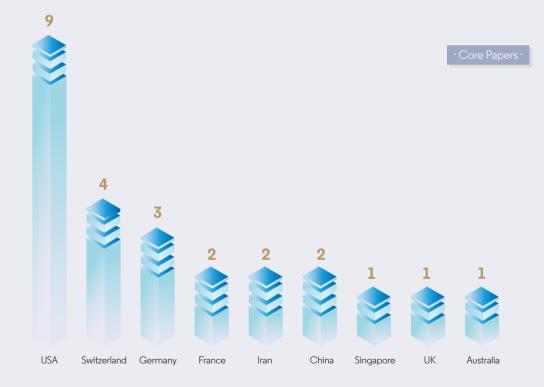
The 13 core papers mainly center on the training methods and neuromorphic hardware of SNNs. In training methods, topics include the conversion of conventional deep networks into SNNs, constrained training before conversion, spiking variants of backpropagation, and biologically motivated variants of spike-timing-dependent plasticity (STDP). In the area of neuromorphic chips, the primary focus includes Intel's Loihi, introduced in 2017, with the related paper besting the rest of the core literature for citations to date; Tsinghua University's "Tianji Chip", developed in 2019, with its related paper ranking 4<sup>th</sup> by citations; and a neuralmorphic computing system proposed by researchers at Yale University in 2018. In 2019, Kaushik Roy *et al.* at Purdue University published a review article in *Nature*; this is the second-mostcited core paper in the core, outlining developments in neuromorphic computing for both algorithms and hardware, and discussing the main challenges and prospects in this specialty area. As for the distribution of countries and institutions producing the core papers for this front (Table 53): the USA contributes more than half the core literature, with Switzerland and Germany ranking 2<sup>nd</sup> and 3<sup>rd</sup>. In terms of institutions, eight contribute two core papers each, with notable representation by Purdue University, the University of California at Santa Barbara, the Swiss Federal Institute of Technology Zurich, and Tsinghua University, as well as two research institutions based in Switzerland and France.

and France

| Country<br>Ranking | Country     | Core<br>Papers | Proportion |
|--------------------|-------------|----------------|------------|
| 1                  | USA         | 9              | 69.2%      |
| 2                  | Switzerland | 4              | 30.8%      |
| 3                  | Germany     | 3              | 23.1%      |
| 4                  | France      | 2              | 15.4%      |
| 4                  | Iran        | 2              | 15.4%      |
| 4                  | China       | 2              | 15.4%      |
| 7                  | Singapore   | 1              | 7.7%       |
| 7                  | UK          | 1              | 7.7%       |
| 7                  | Australia   | 1              | 7.7%       |

| Table 53: Top countries and institutions producing core papers in the Research Front |  |
|--------------------------------------------------------------------------------------|--|
| "Spiking neural networks and their neuromorphic chips"                               |  |

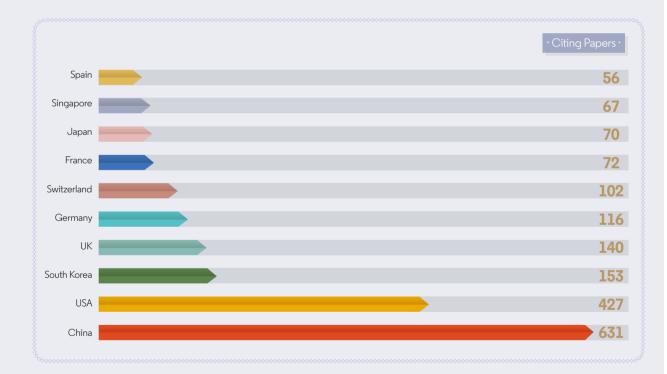
| rtion | Institution<br>Ranking | Institution                                             | Affiliated<br>Country | Core<br>Papers | Proportion |
|-------|------------------------|---------------------------------------------------------|-----------------------|----------------|------------|
| 2%    | 1                      | Purdue University                                       | USA                   | 2              | 15.4%      |
| 3%    | 1                      | University of California Santa Barbara                  | USA                   | 2              | 15.4%      |
| %     | 1                      | Swiss Federal Institute of Technology<br>Zurich         | Switzerland           | 2              | 15.4%      |
| %     | 1                      | University of Zurich                                    | Switzerland           | 2              | 15.4%      |
| %     | 1                      | Friedrich Miescher Institute for<br>Biomedical Research | Switzerland           | 2              | 15.4%      |
| 1%    | 1                      | French National Centre for Scientific<br>Research       | France                | 2              | 15.4%      |
| %     | 1                      | Tsinghua University                                     | China                 | 2              | 15.4%      |
| %     | 1                      | Université Toulouse III-Paul Sabatier                   | France                | 2              | 15.4%      |
| %     |                        |                                                         |                       | •              |            |



Analysis of the citing papers (Table 54) indicates that China and the USA are the most active countries in terms of follow-up research in this front, while South Korea, the UK, Germany, and Switzerland are also actively pursuing advances. Among the most-prolific citing institutions, the Chinese Academy of Sciences and Tsinghua University rank at the top, with four other Chinabased universities registering on the list. Although South Korea is not among the top countries based on output of core papers, Seoul National University ranks 3<sup>rd</sup> in Table 54 with 54 citing papers. Tsinghua University, the Swiss Federal Institute of Technology Zurich, the University of Zurich, the French National Centre for Scientific Research, and Purdue University are also among the most-prolific institutions producing core papers, demonstrating the depth and continuity of their research in this front.

| Country<br>Ranking | Country     | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                       | Affiliated<br>Country | Citing<br>Papers | Proportion |
|--------------------|-------------|------------------|------------|------------------------|---------------------------------------------------|-----------------------|------------------|------------|
| 1                  | China       | 631              | 37.6%      | 1                      | Chinese Academy of Sciences                       | China                 | 98               | 5.8%       |
| 2                  | USA         | 427              | 25.5%      | 2                      | Tsinghua University                               | China                 | 76               | 4.5%       |
| 3                  | South Korea | 153              | 9.1%       | 3                      | Seoul National University                         | South<br>Korea        | 54               | 3.2%       |
| 4                  | UK          | 140              | 8.4%       | 4                      | Swiss Federal Institute of Technology<br>Zurich   | Switzerland           | 52               | 3.1%       |
| 5                  | Germany     | 116              | 6.9%       | 4                      | University of Zurich                              | Switzerland           | 52               | 3.1%       |
| 6                  | Switzerland | 102              | 6.1%       | 6                      | French National Centre for Scientific<br>Research | France                | 45               | 2.7%       |
| 7                  | France      | 72               | 4.3%       | 7                      | Peking University                                 | China                 | 43               | 2.6%       |
| 8                  | Japan       | 70               | 4.2%       | 8                      | Zhejiang University                               | China                 | 39               | 2.3%       |
| 9                  | Singapore   | 67               | 4.0%       | 9                      | Purdue University                                 | USA                   | 36               | 2.1%       |
| 10                 | Spain       | 56               | 3.3%       | 10                     | Fudan University                                  | China                 | 35               | 2.1%       |
|                    |             |                  |            | 10                     | Huazhong University of<br>Science and Technology  | China                 | 35               | 2.1%       |

#### Table 54: Top countries and institutions producing citing papers in the Research Front "Spiking neural networks and their neuromorphic chips"



### 1.3 KEY HOT RESEARCH FRONT – "Generative Adversarial Networks"

In 2014, Ian J. Goodfellow, a scientist at Google Brain, and colleagues proposed Generative Adversarial Networks (GANs). Since then, the GANs craze has swept through the top AI conferences, with a continuous influx of high-quality papers. Yann LeCun, a 2018 Turing Award winner, has called GANs "the coolest idea in deep learning in the last

#### 20 years".

The basic principle of GANs is to make two neural networks—generator and discriminator—mutually adversarial, thereby learning the distribution of data. GANs can learn generative tasks without using labeled data. Currently, GANs has achieved amazing results in computer vision, language processing, and other areas, including image generation, image style transfer, image repair, image enhancement, image super-resolution recovery, text generation, speech generation, and video generation. The core principle of the most representative large language model, ChatGPT, also employs GANs.

"Generative Adversarial Networks" includes eight core papers, covering the overview of GANs research, globally and locally consistent image completion, and databases for scene recognition. Among these papers, Goodfellow et al.'s "Generative Adversarial Networks" published in the journal Communications of the ACM in 2020, is the pioneering work, with nearly 13,000 citations to date (Figure 28). The paper introduces the principles, architectures, and recent applications of GANs, emphasizing the technology's key features, as follows: (1) GANs is a generative model based on game theory, and the adversarial relationship between the generator and the discriminator allows for higher generative and discriminative capabilities; (2) GANs can generate high-quality and diverse samples suitable for various scenarios; (3) GANs is robust and can handle input data of different scales and shapes. The paper also discusses the challenges and problems faced by GANs, such as mode collapse and unstable training.



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Figure 28: Citation frequency distribution curve of core papers in Research Front "Generative Adversarial Networks"

Among the eight core papers, the USA contributes three, while Canada and China each contribute two. Countries including South Korea, Spain, and Japan each account for one. In terms of institutions producing core papers, the University of Montreal in Canada registers two papers, while various other institutions each contribute one. Among them, the above-mentioned paper "Generative Adversarial Networks" holds absolute leading position in citation impact in this front.

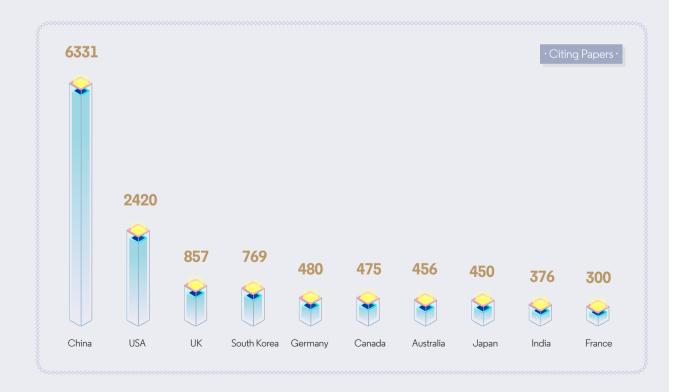
From the perspective of citing papers

(Table 55), China is the largest national source of follow-up reports in this field, with 6,331 papers at this writing, accounting for 53.1% of the total. The

USA ranks 2<sup>nd</sup>, fielding more than 20%. In terms of citing institutions, China-based entities occupy the entire top 10. Among them, the Chinese Academy of Sciences is the most active and ranks 1<sup>st</sup>, and nine other noted universities such as Tsinghua University, Zhejiang University, and Wuhan University have also made the list.

#### Table 55: Top countries and institutions producing citing papers in the Research Front "Generative Adversarial Networks"

| Country<br>Ranking | Country     | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                                 | Affiliated<br>Country | Citing<br>Papers | Proportion |
|--------------------|-------------|------------------|------------|------------------------|-------------------------------------------------------------|-----------------------|------------------|------------|
| 1                  | China       | 6331             | 53.1%      | 1                      | Chinese Academy of Sciences                                 | China                 | 747              | 6.3%       |
| 2                  | USA         | 2420             | 20.4%      | 2                      | Tsinghua University                                         | China                 | 244              | 2.1%       |
| 3                  | UK          | 857              | 7.2%       | 3                      | Zhejiang University                                         | China                 | 212              | 1.8%       |
| 4                  | South Korea | 769              | 6.5%       | 4                      | Wuhan University                                            | China                 | 204              | 1.7%       |
| 5                  | Germany     | 480              | 4.0%       | 5                      | Shanghai Jiao Tong University                               | China                 | 183              | 1.5%       |
| 6                  | Canada      | 475              | 4.0%       | 5                      | Xidian University                                           | China                 | 183              | 1.5%       |
| 7                  | Australia   | 456              | 3.8%       | 7                      | University of Electronic Science and<br>Technology of China | China                 | 180              | 1.5%       |
| 8                  | Japan       | 450              | 3.8%       | 8                      | Peking University                                           | China                 | 174              | 1.5%       |
| 9                  | India       | 376              | 3.2%       | 9                      | Harbin Institute of Technology                              | China                 | 159              | 1.3%       |
| 10                 | France      | 300              | 2.5%       | 10                     | Beihang University                                          | China                 | 153              | 1.3%       |



**2023 RESEARCH FRONTS** 

ECONOMICS, PSYCHOLOGY AND OTHER SOCIAL SCIENCES

# **1. HOT RESEARCH FRONT**

### 1.1 TREND OF THE TOP 10 RESEARCH FRONTS IN ECONOMICS, PSYCHOLOGY AND OTHER SOCIAL SCIENCES

The Top 10 hot Research Fronts in economics, psychology and other social sciences reflect the trend of the digital and "green" transitions currently transforming many aspects of economic and social life. Unlike previous years, when hot Research Fronts in psychology dominated, three of the current hot fronts are related to digital and intelligent transformation, including "Supply chain risk management and the application of blockchain technology", "Research on consumers' use and acceptance of online meal ordering services", and "Artificial Intelligence (AI) ethics". These fronts all focus on analyzing the impact of digital and intelligent development on the economy and society. Green sustainable development is another salient theme of the hot Research Fronts in this field, as embodied by three specialty areas: "Research on the uncertainty of green energy consumption and economic policy", "Land use efficiency and sustainable development issues", and "Green innovation and environmental performance".

"Research on sports psychology" is the only hot front pertaining to psychology on the Top 10 list, focusing on the psychological characteristics and patterns observed in people engaging in sports activities. This interdisciplinary research direction is closely associated with sports science, sports sociology, as well as various theories and methodologies related to organized or competitive physical activities. Moreover, "Research on physical exercise interventions for children and adolescents" also becomes a hot Research Front in 2023, revealing the attention to research areas such as the physical health of adolescents in the wake of the COVID-19 pandemic.

In terms of research methodologies in the spheres of economics and sociology, the two hot Research Fronts on "Application of two-way fixed effects regression model in causal relationship and inverse relationship" and "Analysis of selection factors of asset pricing model" focus on the application of correlation models.

| Rank | Hot Research Fronts                                                                                   | Core<br>Papers | Citations | Mean Year of<br>Core Papers |
|------|-------------------------------------------------------------------------------------------------------|----------------|-----------|-----------------------------|
| 1    | Research on the uncertainty of green energy consumption and economic policy                           | 39             | 1961      | 2021.4                      |
| 2    | Land use efficiency and sustainable development issues                                                | 17             | 1339      | 2020.8                      |
| 3    | Supply chain risk management and the application of blockchain technology                             | 25             | 3377      | 2020.5                      |
| 4    | Application of two-way fixed effects regression model in causal relationship and inverse relationship | 10             | 1854      | 2020.5                      |
| 5    | Research on consumers' use and acceptance of online meal ordering services                            | 42             | 2783      | 2020.4                      |
| 6    | Green innovation and environmental performance                                                        | 3              | 585       | 2020.3                      |
| 7    | Research on physical exercise interventions for children and adolescents                              | 16             | 5386      | 2019.8                      |
| 8    | Analysis of selection factors of asset pricing model                                                  | 13             | 1586      | 2019.5                      |
| 9    | Research on sports psychology                                                                         | 7              | 6864      | 2019.4                      |
| 10   | Artificial Intelligence (AI) ethics                                                                   | 4              | 738       | 2019.3                      |

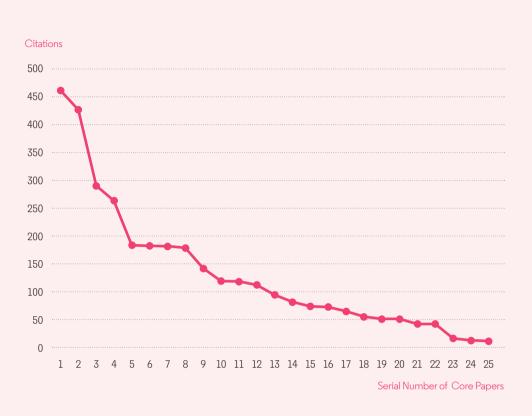
#### Table 56: Top 10 Research Fronts in economics, psychology and other social sciences

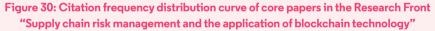
| Research on the uncertainty of green energy consumption and economic policy                           |   |   |   | • | • |   |
|-------------------------------------------------------------------------------------------------------|---|---|---|---|---|---|
| Land use efficiency and sustainable development issues                                                | • | • | ٠ | ٠ | • |   |
| Supply chain risk management and the application of blockchain technology                             |   |   | • | • | • | • |
| Application of two-way fixed effects regression model in causal relationship and inverse relationship |   |   |   | • | • |   |
| Research on consumers' use and acceptance of online meal ordering services                            |   | • | • | • | • | • |
| Green innovation and environmental performance                                                        |   |   |   | • | • | • |
| Research on physical exercise interventions for children and adolescents                              |   | • | • |   |   |   |
| Analysis of selection factors of asset pricing model                                                  | • | • | • | • | • | • |
| Research on sports psychology                                                                         |   | • | • |   |   |   |
| Artificial Intelligence (AI) ethics                                                                   |   |   | • | • | • | · |
|                                                                                                       |   |   |   |   |   |   |

# 1.2 KEY HOT RESEARCH FRONTS - "Supply chain risk management and the application of blockchain technology"

The COVID-19 pandemic, geopolitics, and green transformation have reshaped global supply chains. Many random factors—including demand uncertainty, information asymmetry, and supplier instability during the operation of enterprise supply chains—have led to enormous risks in supply chain management. Enterprises now pay more attention to the difficulty of obtaining expected profits and how to cope with a host of risks. In this context, research on supply chain risk management has once again become a hot topic, and specific analysis is being carried out from the perspectives of supply chain resilience, sustainability, adaptability, and more.

In terms of technical applications, the decentralized architecture of blockchain technology, transparent data flow, highsecurity data protection, and automatic operation of smart contracts have become hot topics in research on supply chain risk management. For example, to achieve more efficient, safer, and more reliable operations of the supply chain, relevant studies explore the application of blockchain technology to various operations, including information transparency and traceability; contract and payment automation; inventory management and logistics optimization; and anti-fraud and intellectual property rights protection.





Twenty-five core papers (Figure 30), primarily published between 2020 and 2021, underlie this Research Front. Four papers analyze the concept and measurement of the supply chain, reconfigurability, resilience, ripple effect, and other factors. Nine papers focus on the risks brought by the COVID-19 pandemic to the supply chain as well as post-COVID development and suggestions. Twelve papers focus on the application of blockchain technology to supply chain traceability, cracking down on counterfeit and shoddy products, information disclosure, among other topics. The most-cited core paper, analyzing the impact of the pandemic on global supply chains, was published in Transportation Research Part E by researchers at the Berlin School of Economics and Law, Germany; at this writing, the paper has been cited 467 times. The work entailed the construction of a simulation model to examine and predict the impact of epidemic outbreaks on the global supply chain and explore the differences in the impact of epidemic transmission speed, time of interruption to upstream and downstream areas, time of facility shutdown and opening, and other factors, on the supply chain.

Fourteen of the core papers in this hot Research Fronts are attributed to institutions based in Germany, accounting for 56% of the core. Chinese Mainland contributed 9 papers, ranking 2<sup>nd</sup>. In terms of contributing institutions, four of the top seven are based in France, while the other three are the Berlin School of Economics and Law, Germany, which has produced the most core papers, the Hong Kong Polytechnic University, which ranks 2<sup>nd</sup>, and, sharing the 6<sup>th</sup> tier, Donghua University in China (Table 57).

| Country/<br>region<br>Ranking | Country/<br>Region   |    | Proportion | Ir |
|-------------------------------|----------------------|----|------------|----|
| 1                             | Germany              | 14 | 56.0%      |    |
| 2                             | Chinese<br>Mainland  | 9  | 36.0%      |    |
| 3                             | USA                  | 7  | 28.0%      |    |
| 4                             | Chinese<br>Hong Kong | 6  | 24.0%      |    |
| 5                             | France               | 4  | 16.0%      |    |
| 6                             | ltaly                | 2  | 8.0%       |    |
| 6                             | UK                   | 2  | 8.0%       |    |
| 8                             | Russia               | 1  | 4.0%       |    |
| 8                             | Morocco              | 1  | 4.0%       |    |
| 8                             | Brazil               | 1  | 4.0%       |    |
| 8                             | Canada               | 1  | 4.0%       |    |
|                               |                      |    |            |    |

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| Table 57: Top countries/regions and institutions producing core papers in the Research Front |
|----------------------------------------------------------------------------------------------|
| "Supply chain risk management and the application of blockchain technology"                  |

| Institution<br>Ranking | Institution                                                 | Affiliated<br>Country/<br>Region | Core<br>Papers | Proportion |
|------------------------|-------------------------------------------------------------|----------------------------------|----------------|------------|
| 1                      | Berlin School of Economics and Law                          | Germany                          | 12             | 48.0%      |
| 2                      | Hong Kong Polytechnic University                            | Chinese<br>Hong Kong             | 6              | 24.0%      |
| 3                      | IMT Inst Mines Telecommunication                            | France                           | 3              | 12.0%      |
| 3                      | IMT Atlantique                                              | France                           | 3              | 12.0%      |
| 3                      | University of Bretagne Loire                                | France                           | 3              | 12.0%      |
| 6                      | Donghua University                                          | Chinese<br>Mainland              | 2              | 8.0%       |
| 6                      | National Center for Scientific<br>Research of France (CNRS) | France                           | 2              | 8.0%       |
|                        |                                                             |                                  |                |            |



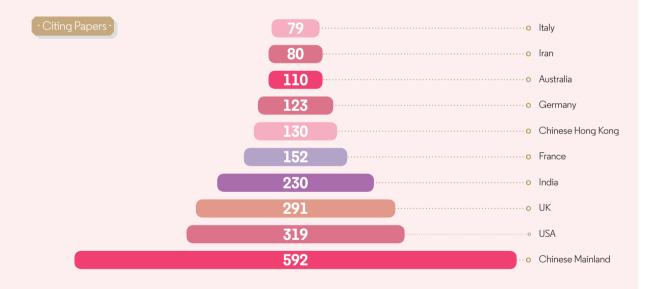
In terms of citing papers, Chinese Mainland ranks 1<sup>st</sup> with 592 papers, USA ranks 2<sup>nd</sup>, while the UK, India, and France rank 3<sup>rd</sup>, 4<sup>th</sup>, and 5<sup>th</sup> respectively. In terms of citing institutions, the Hong Kong Polytechnic University has the most citing papers, followed by the Indian Institute of Management and the Indian Institute of Technology. South China University of Technology, the Chinese Academy of Sciences, and National Taiwan University respectively rank 7<sup>th</sup>, 8<sup>th</sup>, and 9<sup>th</sup>.

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| Country/<br>region<br>Ranking | Country/<br>Region   | Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                                           | Affiliated<br>Country/<br>Region | Citing<br>Papers | Proportion |
|-------------------------------|----------------------|------------------|------------|------------------------|-------------------------------------------------------|----------------------------------|------------------|------------|
| 1                             | Chinese<br>Mainland  | 592              | 33.7%      | 1                      | Hong Kong Polytechnic University                      | Chinese<br>Hong Kong             | 104              | 5.9%       |
| 2                             | USA                  | 319              | 18.2%      | 2                      | Indian Institute of Management                        | India                            | 55               | 3.1%       |
| 3                             | UK                   | 291              | 16.6%      | 3                      | Indian Institute of Technology (IIT)                  | India                            | 43               | 2.4%       |
| 4                             | India                | 230              | 13.1%      | 4                      | Berlin School of Economics and Law                    | Germany                          | 37               | 2.1%       |
| 5                             | France               | 152              | 8.7%       | 5                      | University of Liverpool                               | UK                               | 35               | 2.0%       |
| 6                             | Chinese<br>Hong Kong | 130              | 7.4%       | 6                      | University of Technology Sydney                       | Australia                        | 32               | 1.8%       |
| 7                             | Germany              | 123              | 7.0%       | 7                      | South China University of<br>Technology               | Chinese<br>Mainland              | 31               | 1.8%       |
| 8                             | Australia            | 110              | 6.3%       | 8                      | Chinese Academy of Sciences                           | Chinese<br>Mainland              | 30               | 1.7%       |
| 9                             | lran                 | 80               | 4.6%       | 9                      | National Institute of Industrial<br>Engineering India | India                            | 28               | 1.6%       |
| 10                            | Italy                | 79               | 4.5%       | 9                      | National Taiwan University                            | Chinese<br>Taiwan                | 28               | 1.6%       |

 Table 58: Top countries/regions and institutions producing citing papers in the Research Front

 "Supply chain risk management and the application of blockchain technology"



#### 1.3 KEY HOT RESEARCH FRONT - "Artificial Intelligence (AI) ethics"

New-generation artificial intelligence (AI) technology featuring deep learning is a great success. The continually developing large models greatly empower the performance of AI in downstream tasks. New AI technologies, as they increasingly integrate with human society, are constantly refreshing people's cognitive limits, disruptively reshaping the ways people live, work, and communicate. However, while the Al industry continues to maintain a highspeed momentum in development, the advancement of Al itself faces many challenges. Ethical issues such as privacy disclosure, bias and discrimination, attribution of responsibility, and technology abuse brought about by AI attract widespread attention. AI ethics has become a significant and unavoidable topic of discussion.



Figure 31: Citation frequency distribution curve of core papers in the Research Front "Artificial Intelligence (AI) ethics"

Four core papers anchor this hot Research Front, focusing on governance criteria, rules and methods, action path, and policy evaluation of AI ethics. The most frequently cited paper is "The global landscape of ethical criteria for artificial intelligence" published in Nature Machine Intelligence by researchers at the Swiss Federal Institute of Technology (ETH), Zurich. This paper analyzes the principles and guidelines of AI ethics in major countries/regions around the world, revealing the global convergence of Al governance in five ethical principles: transparency, justice and fairness, non-maleficence, responsibility, and privacy. The second and third mostcited papers, both published in the journal Minds and Machines, analyze the core opportunities and risks brought by AI to society from the perspective of

Al ethical analysis framework and guide evaluation. The fourth paper, published in *Science and Engineering Ethics* in 2020, focuses on means and tools for advancing Al ethics from principles to practice.

Among the top countries in terms of the output of core papers, Switzerland, Germany, and the UK each published two core papers. In terms of institutions, ETH Zurich and Oxford University are star performers, each publishing two core papers. Moreover, European and American institutions have engaged in close cooperation. Oxford University, the Alan Turing Institute, the University of Grenoble, the French National Centre for Scientific Research (CNRS), Delft University of Technology in the Netherlands, the University of Edinburgh, Technical University of Munich in Germany, the University of Turin in Italy, and other institutions have devoted particular attention to this specialty area and have collaboratively published relevant papers.

In terms of citing papers, the UK ranks 1<sup>st</sup> with 144 papers that cite the core literature, followed by the USA with 132, while Germany, Australia, and the Netherlands rank 3<sup>rd</sup>, 4<sup>th</sup>, 5<sup>th</sup> respectively. In terms of citing institutions, the University of Oxford fields the most citing papers. The Alan Turing Institute, University College London, and the University of Toronto in Canada are also actively following up on research in this direction.

| Citing<br>Papers | Proportion | Institution<br>Ranking | Institution                    | Affiliated<br>Country | Citing<br>Papers | Proportion |  |
|------------------|------------|------------------------|--------------------------------|-----------------------|------------------|------------|--|
| 144              | 24.5%      | 1                      | University of Oxford           | UK                    | 35               | 6.0%       |  |
| 132              | 22.5%      | 2                      | Alan Turing Institute          | UK                    | 19               | 3.2%       |  |
| 96               | 16.4%      | 3                      | University College London      | UK                    | 18               | 3.1%       |  |
| 56               | 9.5%       | 4                      | University of Toronto          | Canada                | 16               | 2.7%       |  |
| 48               | 8.2%       | 5                      | Technical University of Munich | Germany               | 13               | 2.2%       |  |
| 40               | 6.8%       | 5                      | University of Cambridge        | UK                    | 13               | 2.2%       |  |
| 38               | 6.5%       | 7                      | Delft University of Technology | The<br>Netherlands    | 12               | 2.0%       |  |
| 32               | 5.5%       | 7                      | Harvard University             | USA                   | 12               | 2.0%       |  |
| 30               | 5.1%       | 9                      | Imperial College London        | UK                    | 11               | 1.9%       |  |
| 29               | 4.9%       | 10                     | Stanford University            | USA                   | 9                | 1.5%       |  |
|                  |            | 10                     | University of Amsterdam        | The<br>Netherlands    | 9                | 1.5%       |  |
|                  |            | 10                     | University of Bonn             | Germany               | 9                | 1.5%       |  |
|                  |            | 10                     | University of Twente           | The<br>Netherlands    | 9                | 1.5%       |  |
|                  |            |                        |                                |                       |                  |            |  |

# Table 59: Top countries/regions and institutions producing citing papers in the Research Front "Artificial Intelligence (AI) ethics"

Country

Ranking

1

2

3

4

5

6

7

8

9

10

Country

UK

USA

Germany

Australia

Netherlands

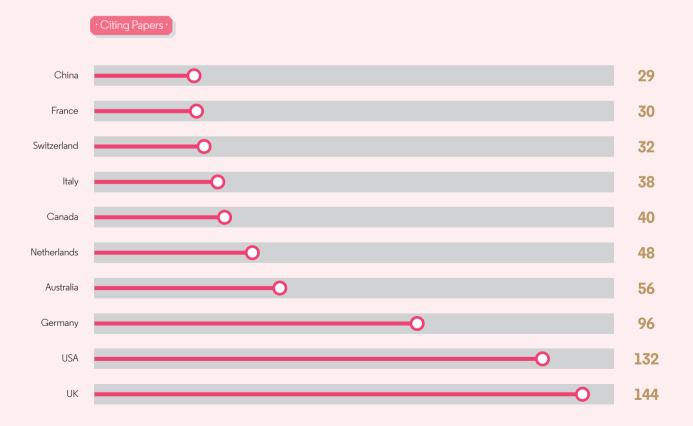
Canada

Italy

Switzerland

France

China



# 2.EMERGING RESEARCH FRONT

#### 2.1 OVERVIEW OF EMERGING RESEARCH FRONTS IN ECONOMICS, PSYCHOLOGY AND OTHER SOCIAL SCIENCES

One emerging Research Front has been identified in the field of economics, psychology and other social sciences—namely, "Development of the human-centric, sustainable and resilient Industry 5.0".



#### Table 60: Emerging Research Fronts in economics, psychology and other social sciences

## 2.2 KEY EMERGING RESEARCH FRONT – "Development of the human-centric, sustainable and resilient Industry 5.0"

The progression of the Industrial Revolution propelled transformative development across all social subsystems. However, with the introduction of modern concepts such as sustainable development, the concept of "people-oriented," and Carbon Peaking / Carbon Neutrality, manufacturing systems and paradigms have struggled to adapt to the demands of an innovative society. As an emerging concept, Industry 5.0 puts the well-being of workers at the heart of the manufacturing system, with the aim of achieving social goals apart from employment and economic growth, and promoting allround and sustainable development of human populations. Since the release of the EU Industry 5.0 white paper, research interest in Industry 5.0 has grown, as evinced by the concept's new status as an emerging Research Front.

The European Commission spells out

three key pillars of Industry 5.0: humancentric, sustainability, and resilience. Specifically, Industry 5.0 requires that industrial production must respect and protect the Earth's ecology and put the interests of workers at the heart of the production process, so that industry can achieve social goals apart from employment and economic growth and become the cornerstone of social stability and prosperity. Centering on the content related to Industry 5.0, social scientists carry out research and analysis on the comparative aspects between the previous model— Industry 4.0 and Industry 5.0, including technical dimensions, application dimensions, and other aspects.

Researchers have conducted comparative studies of Industry 4.0 and Industry 5.0 in terms of conceptual change, framework comparison, and reasons for transformation. On this topic,

researchers have noted that the most important distinction between Industry 4.0 and Industry 5.0 lies in the relationship between human and machine in the production process. In Industry 5.0. the core is "people". The concept pays more attention to the combination of labor and technology, and focuses on the sustainability of the skills and training required by people. Industry 5.0 is intended to achieve the goals of a super-intelligent society and sustainable development of ecological value. It will promote the building of a community with a shared future for industry and facilitate the stability and sustainable development of society.

From the perspective of technology, studies such as "Industry 5.0: A Survey on Enabling Technologies and Potential Applications" (PKR Maddikunta, et al., Journal of Industrial Information Integration, 2022) point out that enabling technologies such as the Internet of Things, cloud computing, and AI are combined with cognitive skills and development concepts in innovation, thereby realizing the flow of advanced knowledge among technologies and realizing the value-driven Industry 5.0 model with technical support.

In terms of application dimension, researchers propose that from the perspective of the evolution of the Industrial Revolution, Industry 5.0 has begun to take shape, although it is not yet widely recognized. The concept's goals cannot be achieved overnight, and its progress must be guided by the actual needs of industrial development. Industry 5.0 might achieve its first implementation in scenarios such as smart manufacturing, medical and health care, supply chain management, shipping, engineering education, and smart cities.

**2023 RESEARCH FRONTS** 

**2023** RESEARCH FRONTS

# APPENDIX RESEARCH FRONTS: IN SEARCH OF THE STRUCTURE OF SCIENCE

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When Eugene Garfield introduced the concept of a citation index for the sciences in 1955, he emphasized its several advantages over traditional subject indexing.<sup>[1]</sup> Since a citation index records the references in each article indexed, a search can proceed from a known work of interest to more recently published items that cited that work. Moreover, a search in a citation index, either forward in time or backward through cited references, is both highly efficient and productive because it relies upon the informed judgments of researchers themselves, reflected in the references appended to their papers, rather than the choices of indexing terms by cataloguers who are less familiar with the content of each publication than are the authors. Garfield called these authors "an army of indexers" and his invention "an association-ofideas index". He recognized citations as emblematic of specific topics, concepts, and methods: "the citation is a precise, unambiguous representation of a subject that requires no interpretation and is immune to changes in terminology."<sup>[2]</sup> In addition, a citation index is inherently cross-disciplinary and breaks through limitations imposed by source coverage. The connections represented by citations are not confined to one field or several - they naturally roam throughout the entire landscape of research. That is a particular strength of a citation index for science since interdisciplinary territory is well recognized as fertile ground for discovery. An early supporter of Garfield's idea, Nobel laureate Joshua Lederberg, saw this specific benefit of a citation index in his own field of genetics, which interacted with biochemistry, statistics, agriculture, and medicine. Although it took many years before the Science Citation Index (now the Web of Science) was fully accepted by librarians and the researcher community, the power of the idea and the utility of its implementation could not be denied. This year marks the 56<sup>th</sup> anniversary of the Science Citation Index, which first became commercially available in 1964. <sup>[3]</sup>

While the intended and primary use of the Science Citation Index was for information retrieval, Garfield knew almost from the start that his data could be exploited for the analysis of scientific research itself. First, he recognized that citation frequency was a method for identifying significant papersones with "impact"— and that such papers could be associated with specific specialties. Beyond this, he understood that there was a meaningful, if complex, structure represented in this vast database of papers and their associations through citations. In "Citation indexes for sociological and historical research," published in 1963, he stated that citation indexing provided an objective method for defining a field of inquiry.<sup>[4]</sup> That assertion rested on the same logical foundation that made information retrieval in a citation index effective: citations revealed the expert

decisions and self-organizing behavior of researchers, their intellectual as well as their social associations. In 1964, with colleagues Irving H. Sher and Richard J. Torpie, Garfield produced his first historiograph, a linear mapping through time of influences and dependencies. illustrated by citation links, concerning the discovery of DNA and its structure. <sup>[5]</sup> Citation data, Garfield saw, provided some of the best material available for building out a picture of the structure of scientific research as it really was, even for sketching its terrain. Aside from making historiographs of specific sets of papers, however, a comprehensive map of science could not yet be charted.

Garfield was not alone in his vision. During the same era, the physicist and historian of science, Derek J. de Solla Price, was exploring the characteristic features and structures of the scientific research enterprise. The Yale University professor used the measuring tools of science on scientific activity, and he demonstrated in two influential books, of 1961 and 1963, how science had grown exponentially since the late 17<sup>th</sup> century, both in terms of number of researchers and publications.<sup>[6, 7]</sup> There was hardly a statistic about the activity of scientific research that his restless mind was not eager to obtain, interrogate, and play with. Price and Garfield became acquainted at this time, and Price, the son of a tailor, was soon receiving data, as he said, "from the cutting- room floor of ISI's computer room." [8] In 1965, Price published

"Networks of scientific papers," which used citation data to describe the nature of what he termed "the scientific research front." <sup>[9]</sup> Previously, he had used the term "research front" in a generic way, meaning the leading edge of research and including the most knowledgeable scientists working at the coalface. But in this paper, and using the short-lived field of research on N-rays as his example, he described the research front more specifically in terms of its density of publications and time dynamics as revealed by a network of papers arrayed chronologically and their inter-citation patterns. Price observed that a research front builds upon recently published work and that it displays a tight network of relationships.

"The total research front of science has never been a single row of knitting. It is, instead, divided by dropped stitches into quite small segments and strips. Such strips represent objectively defined subjects whose description may vary materially from year to year but which remain otherwise an intellectual whole. If one would work out the nature of such strips, it might lead to a method for delineating the topography of current scientific literature. With such a topography established, one could perhaps indicate the overlap and relative importance of journals and, indeed, of countries, authors, or individual papers by the place they occupied within the map, and by their degree of strategic centralness within a given strip."[10]

The year is 1972. Enter Henry Small, a young historian of science previously working at the American Institute of Physics in New York City who now joined the Institute for Scientific Information in Philadelphia hoping to make use of the Science Citation Index data and its wealth of title and key words. After his arrival, Small quickly changed allegiance from words to citations for the same reasons that had captivated and motivated Garfield and Price: their power and potential. In 1973, Small published a paper that was as groundbreaking in its own way as Garfield's 1955 paper introducing citation indexing for science. This paper, "Cocitation in the scientific literature: a new measure of relationship between two documents," introduced a new era in describing the specialty structure of science.<sup>[11]</sup> Small measured the similarity of two documents in terms of the number of times they were cited together, in other words their cocitation frequency. He illustrated his method of analysis with an example from recent papers in the literature of particle physics. Having found that such co-citation patterns indicated "the notion of subject similarity" and "the association or co-occurrence of ideas," he suggested that frequently cited papers, reflecting key concepts, methods, or experiments, could be used as a starting point for a co-citation analysis as an objective way to reveal the social and intellectual, or the sociocognitive, structure of a specialty area.

Like Price's research fronts, consisting of a relatively small group of recent papers tightly knit together, so too Small found co-citation analysis pointed to the specialty as the natural organizational unit of research, rather than traditionally defined and larger fields. Small also saw the potential for co-citation analysis to make, by analogy, movies and not merely snapshots. "The pattern of linkages among key papers establishes a structure or map for the specialty which may then be observed to change through time," he stated. "Through the study of these changing structures, cocitation provides a tool for monitoring the development of scientific fields. and for assessing the degree of interrelationship among specialties."

It should be noted that the Russian information scientist Irena V. Marshakova-Shaikevich also introduced the idea of co-citation analysis in 1973.<sup>[12]</sup> Since neither Small nor Marshakova-Shaikevich knew of each other's work. this was an instance of simultaneous and independent discovery. The sociologist of science Robert K. Merton designated the phenomenon "multiple discovery" and demonstrated that it is more common in the history of science than most recognize. <sup>[13,14]</sup> Both Small and Marshakova-Shaikevich contrasted co-citation with bibliographic coupling, which had been described by Myer Kessler in 1963. [15] Bibliographic coupling measures subject similarity between documents based on the frequency of shared cited references: if

two works often cite the same literature. there is a probability they are related in their subject content. Co-citation analysis inverts this idea: instead of the similarity relation being established by what the publications cited, co-citation brings publications together by what cites them. With bibliographic coupling, the similarity relationships are static because their cited references are fixed. whereas similarity between documents determined by co-citation can change as new citing papers are published. Small has noted that he preferred cocitation to bibliographic coupling because he "sought a measure that reflected scientists' active and changing perceptions."[16]

The next year, 1974, Small and Belver C. Griffith of Drexel University in Philadelphia published a pair of landmark articles that laid the foundations for defining specialties using co-citation analysis and mapping them according to their similarity. <sup>[17,18]</sup> Although there have since been significant adjustments to the methodology used by Small and Griffith, the general approach and underlying principles remain the same. A selection is made of highly cited papers as the seeds for a co-citation analysis. The restriction to a small number of publications is justified because it is assumed that the citation histories of these publications mark them as influential and likely representative of key concepts in specific specialties, or research fronts. (The characteristic hyperbolic distribution of papers by citation frequency also suggests that this selection will be robust and representative.) Once these highly cited papers are harvested, they are analyzed for co-citation occurrence, and, of course, there are many zero matches. The co-cited pairs that are found are then connected to others through single-link clustering, meaning only one co-citation link is needed to bring a co-cited pair in association with another co-cited pair (the cocited pair A and B is linked to the cocited pair C and D because B and C are also co-cited). By raising or lowering a measure of co-citation strength for pairs of co-cited papers, it is possible to obtain clusters, or groupings, of various sizes. The lower the threshold, the more papers group together in large sets and setting the threshold too low can result in considerable chaining. Setting a higher threshold produces discrete specialty areas, but if the similarity threshold is set too high, there is too much disaggregation and many "isolates" form. The method of measuring co-citation similarity and the threshold of co-citation strength employed in creating research fronts has varied over the years. Today, we use cosine similarity, calculated as the co-citation frequency count divided by the square root of the product of the citation counts for the two papers. The minimum threshold for co-citation strength is a cosine similarity measure of .1, but this can be raised incrementally

to break apart large clusters if the front exceeds a maximum number of core papers, which is set at 50. Trial and error has shown this procedure yields consistently meaningful research fronts.

To summarize, a Research Front consists of a group of highly cited papers that have been co-cited above a set threshold of similarity strength and their associated citing papers. In fact, the Research Front should be understood as both the co-cited core papers, representing a foundation for the specialty, and the citing papers that represent the more recent work and the leading edge of the Research Front. The name of the Research Front can be derived from a summarization of the titles of the core papers or the citing papers. The naming of Research Fronts in Essential Science Indicators relies on the titles of core papers. In other cases, the citing papers have been used: just as it is the citing authors who determine in their co-citations the pairing of important papers, it is also the citing authors who confer meaning on the content of the resulting Research Front. Naming Research Fronts is not a wholly algorithmic process, however. A careful, manual review of the cited or citing papers sharpens accuracy in naming a Research Front.

In the second of their two papers in 1974, <sup>[19]</sup> Small and Griffith showed that individual research fronts could be measured for their similarity with one another. Since co- citation defined core papers forming the nucleus of a specialty based on their similarity, cocitation could also define research fronts with close relationships to others. In their mapping of research fronts, Small and Griffith used multidimensional scaling and plotted similarity as proximity in two dimensions.

Price hailed the work of Small and Griffith, remarking that while cocitation analyses of the scientific literature into clusters that map on a two dimensional plane "may seem a rather abstruse finding," it was "revolutionary in its implications." He asserted: "The finding suggests that there is some type of natural order in science crying out to be recognized and diagnosed. Our method of indexing papers by descriptors or other terms is almost certainly at variance with this natural order. If we can successfully define the natural order, we will have created a sort of giant atlas of the corpus of scientific papers that can be maintained in real time for classifying and monitoring developments as they occur."<sup>[20]</sup> Garfield remarked that "the work by Small and Griffith was the last theoretical rivet needed to get our flying machine off the ground."[21] Garfield, ever the man of action, transformed the basic research findings into an information product offering benefits of both retrieval and analysis. The flying machine took off in 1981 as the ISI Atlas of Science: Biochemistry and Molecular Biology, 1978/80. <sup>[22]</sup> This book presented 102 research fronts, each including a map of the core papers and their relationships laid out by multidimensional scaling. A list of the core papers was provided with their citation counts, as well as a list of key citing documents, including a relevance weight for each that was the number of core documents cited. A short review, written by an expert in the specialty, accompanied these data. Finally, a large, foldout map showed all 102 research fronts plotted according to their similarities. It was a bold, cutting edge effort and a real gamble in the marketplace, but of a type wholly characteristic of Garfield.

The ISI Atlas of Science in its successive forms— another in book format and then a series of review journals <sup>[23,24]</sup> -did not survive beyond the 1980s, owing to business decisions at the time in which other products and pursuits held greater priority. But Garfield and Small both continued their research and experiments in science mapping over the decade and thereafter. In two papers published in 1985, Small introduced an important modification to his method for defining research fronts: fractional co-citation clustering. <sup>[25]</sup> By counting citation frequency fractionally, based on the length of the reference list in the citing papers, he was able to adjust for differences in the average rate of citation among fields and therefore remove the bias that whole counting gave to biomedical and other "high citing" fields. As a consequence, mathematics, for

example, emerged more strongly, having been underrepresented by integer counting. He also showed that research fronts could be clustered for similarity at levels higher than groupings of individual fronts.<sup>[26]</sup> The same year. he and Garfield summarized these advances in "The geography of science: disciplinary and national mappings," which included a global map of science based on a combination of data in the Science Citation Index and the Social Sciences Citation Index, as well as lower level maps that were nested below the areas depicted on the global map.<sup>[27]</sup> "The reasons for the links between the macro-clusters are as important as their specific contents," the authors noted. "These links are the threads which hold the fabric of science together."

In the following years, Garfield focused on the development of historiographs and, with the assistance of Alexander I. Pudovkin and Vladimir S. Istomin, introduced the software tool HistCite. Not only does the HistCite program automatically generate chronological drawings of the citation relationships of a set of papers, thereby offering in thumbnail a progression of antecedent and descendant papers on a particular research topic, it also identifies related papers that may not have been considered in the original search and extraction. It is, therefore, also a tool for information retrieval and not only for historical analysis and science mapping. <sup>[28, 29]</sup> Small continued to refine his cocitation clustering methods and to analyze in detail and in context the cognitive connections found between fronts in the specialty maps. <sup>[30, 31]</sup> A persistent interest was the unity of the sciences. To demonstrate this unity, Small showed how one could identify strong co- citation relationships leading from one topic to another and travel along these pathways across disciplinary boundaries, even from economics to astrophysics.<sup>[32, 33]</sup>

In this, he shared the perspective of E. O. Wilson, expressed in the 1998 book Consilience: The Unity of Knowledge.<sup>[34]</sup> Early in the 1990s, Small developed SCI- MAP, a PC based system for interactively mapping the literature. <sup>[35]</sup> Later in the decade, he introduced Research Front data into the new database Essential Science Indicators (ESI), intended mainly for research performance analysis. The Research Fronts presented in ESI had the advantage of being updated every two months, along with the rest of the data and rankings in this product. It was at this time, too, that Small became interested in virtual reality software for its ability to create immersive, threedimensional visualizations and to handle large datasets in real time.<sup>[36, 37]</sup> For example, in the late 1990s, Small played a leading role in a project to visualize and explore the scientific literature through co-citation analysis that was undertaken with Sandia National Laboratories using its virtual reality software tool called VxInsight. <sup>[38,39]</sup> This effort, with farsighted support of Sandia's senior research manager Charles E. Meyers, was an important step forward in exploiting rapidly developing technology that provided detailed and dynamic views of the literature as a geographic space with, for example, dense and prominent features depicted as mountains. Zooming into and out of the landscape allowed the user to travel from the specific to the general and back. Answers to queries made against the underlying data could be highlighted for visual understanding.

In fact, this moment—the late 1990s was a turning point for science mapping, after which interest in and research about defining specialties and visualizing their relationships exploded. There are now a dozen academic centers across the globe focusing on science mapping, using a wide variety of techniques and tools. Developments over the last decade are summarized and illustrated in Indiana University professor Katy Borner's 2010 book, which carries a familiar-sounding title: Atlas of Science – Visualizing What We Know.<sup>[40]</sup>

The long interval between the advent of co-citation clustering for science mapping and the blossoming of the field, a period of about 25 years, is curiously about the same time it took from the introduction of citation

indexing for science to the commercial success of the Science Citation Index. In retrospect, both were clearly ideas ahead of their time. While the adoption of the Science Citation Index faced ingrained perceptions and practice in the library world (and by extension among researchers whose patterns of information seeking were traditional), delayed enthusiasm for science mapping— a wholly new domain and activity—can probably be attributed to a lack of access to the amount of data required for the work as well as technological limitations that were not overcome until computing storage, speed, and software advanced substantially in the 1990s. Data are now more available and in larger quantity than in the past and personal computers and software adequate to the task. Today, the use of the Web of Science for information retrieval and research analysis and the use of Research Front data for mapping and analyzing scientific activity have found not only their audiences but also their advocates.

What Garfield and Small planted many seasons ago has firmly taken root and is growing with vigor in many directions. A great life, according to one definition, is "a thought conceived in youth and realized in later life." This adage applies to both men. Clarivate is committed to continuing and advancing the pioneering contributions of these two legends of information science.

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The missions of CASISD are to offer scientific and policy evidence to the government for its macroscopic decisionmaking through:

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